

# ZAHLENTHEORIE SS 2019 - NOTE 5

## § DISCRIMINANT

Let  $K/\mathbb{Q}$  be a number field of degree  $[K:\mathbb{Q}] = n$

Then for any  $n$ -tuple (note:  $n = [K:\mathbb{Q}]$ )

$(\alpha_1, \dots, \alpha_n) \in K^n$  we can form the matrix

$$(\text{Tr}_{K/\mathbb{Q}}(\alpha_i \alpha_j))_{ij} \in \mathbb{Q}^{n \times n}$$

The significance of this matrix is that for

$(a_1, \dots, a_n), (b_1, \dots, b_n) \in \mathbb{Q}^n$  we have

$$(a_1 \dots a_n) \left( \text{Tr}_{K/\mathbb{Q}}(\alpha_i \alpha_j) \right) \begin{bmatrix} b_1 \\ \vdots \\ b_n \end{bmatrix} = \sum_{i,j} a_i b_j \text{Tr}_{K/\mathbb{Q}}(\alpha_i \alpha_j)$$
$$= \overline{\text{Tr}_{K/\mathbb{Q}}((a_1 \alpha_1 + \dots + a_n \alpha_n) \cdot (b_1 \alpha_1 + \dots + b_n \alpha_n))}$$

def: DISCRIMINANT of an  $n$ -TUPLE

$K/\mathbb{Q}$  = number field,  $[K:\mathbb{Q}] = n$ . The discriminant of an  $n$ -tuple is defined as

$$\text{disc}(\alpha_1, \dots, \alpha_n) := \det \left( \text{Tr}_{K/\mathbb{Q}}(\alpha_i \alpha_j) \right).$$

Lemma:  $K/\mathbb{Q}$  = number field,  $[K:\mathbb{Q}] = n$

Let  $\sigma_1, \dots, \sigma_n : K \hookrightarrow \overline{\mathbb{Q}}$  be the embeddings st.

$$\text{disc}(\alpha_1, \dots, \alpha_n) = (\det(\sigma_i(\alpha_j)))$$

Proof: Let  $A$  be the matrix  $A = (\sigma_i(\alpha_j))$ . Then

$$(A^t A)_{ij} = \sum_{k=1}^n A_{ik}^t A_{kj} = \sum_{k=1}^n \sigma_k(\alpha_i) \sigma_k(\alpha_j)$$

$$= \sum_{k=1}^n \sigma_k(\alpha_i \alpha_j) = \text{Tr}(\alpha_i \alpha_j). \text{ Hence}$$

$$(\text{Tr}(\alpha_i \alpha_j)) = A^t A \text{ and}$$

$$\text{disc}(\alpha_1, \dots, \alpha_n) = \det(\text{Tr}(\alpha_i \alpha_j)) = (\det A)^2.$$

Now:  $\text{disc}(\alpha_1, \dots, \alpha_n) = 0 \Leftrightarrow$  the  $\alpha_i$  are linearly dependent over  $\mathbb{Q}$

Proof:  $\text{disc}(\alpha_1, \dots, \alpha_n) = 0 \Leftrightarrow \det(\text{Tr}_{k/\mathbb{Q}}(\alpha_i \alpha_j)) = 0$

$\Leftrightarrow \exists (y_1, \dots, y_n) \in \mathbb{Q}^n \setminus \{0\}$  st.

$$(\text{Tr}_{k/\mathbb{Q}}(\alpha_i \alpha_j)) \begin{pmatrix} y_1 \\ \vdots \\ y_n \end{pmatrix} = 0.$$

$$\Leftrightarrow (x_1, \dots, x_n) (\text{Tr}_{k/\mathbb{Q}}(\alpha_i \alpha_j)) \begin{pmatrix} y_1 \\ \vdots \\ y_n \end{pmatrix} = 0 \quad \forall x_i \in \mathbb{Q}$$

$$\Leftrightarrow \text{Tr}_{k/\mathbb{Q}}((x_1 \alpha_1 + \dots + x_n \alpha_n)(y_1 \alpha_1 + \dots + y_n \alpha_n)) = 0 \quad \forall x_i \in \mathbb{Q}$$

$\Rightarrow$  Assume that  $\text{disc} = 0$  and that the  $\alpha_i$  are lin. indep. Then they are a basis of  $k/\mathbb{Q}$ , hence we get

$$\text{Tr}_{k/\mathbb{Q}}(x \cdot (y_1 \alpha_1 + \dots + y_n \alpha_n)) = 0 \quad \forall x \in \mathbb{Q}$$

$(K/\mathbb{Q})$  is a number field, this means  
 Since the  $\alpha_i$  are independent, this means  
 $y_1\alpha_1 + \dots + y_n\alpha_n = 0$   
 hence  $y_i = 0$  for all  $i$ , contradiction.

$\Leftarrow$ ) If the  $\alpha_i$  are linearly dependent, there is  
 $(y_1, \dots, y_n) \in \mathbb{Q}^n \setminus \{0\}$  s.t.  $y_1\alpha_1 + \dots + y_n\alpha_n = 0$   
 hence  $\text{Tr}_{K/\mathbb{Q}}((y_1\alpha_1 + \dots + y_n\alpha_n)(y_1\alpha_1 + \dots + y_n\alpha_n)) = 0$   
 $\forall x \in \mathbb{Q}$ .  $\square$

Lemma:  $K/\mathbb{Q}$  = number field,  $[K:\mathbb{Q}] = n$

$\alpha_1, \dots, \alpha_n$  integral basis of  $\mathcal{O}_K$

$\beta_1, \dots, \beta_n$  integral basis of  $\mathcal{O}_K$ . Then

$$\text{disc}(\alpha_1, \dots, \alpha_n) = \text{disc}(\beta_1, \dots, \beta_n).$$

Proof: let  $M$  be the matrix that represents the  
 change of basis from  $\alpha_1, \dots, \alpha_n$  to  $\beta_1, \dots, \beta_n$

Then  $M, M^{-1}$  have integer coefficients, hence  
 $\det(M) \in \mathbb{Z}^\times$ , so that  $\det M = \pm 1$ . Now  
 since the matrices  $(\text{Tr}(\alpha_i\alpha_j)), (\text{Tr}(\beta_i\beta_j))$   
 represent the bilinear form  $\text{Tr}: K \times K \rightarrow \mathbb{R}$   
 w.r.t. the bases  $(\alpha_1, \dots, \alpha_n)$  and  $(\beta_1, \dots, \beta_n)$ , we have

$$(\text{Tr}(\alpha_i\alpha_j)) = M^t (\text{Tr}(\beta_i\beta_j)) M, \text{ hence}$$

$$\det(\text{Tr}(\alpha_i\alpha_j)) = \det(\text{Tr}(\beta_i\beta_j)) / (\det M)^2$$

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def: DISCRIMINANT of a NUMBER FIELD

K/Q = number field. We define the DISCRIMINANT of K as the discriminant of any integral basis of  $\mathcal{O}_K$ .

Sometimes we write

$$\text{disc}(K) = \Delta_K.$$

We want to give some examples, but first a method to compute the discriminant:

Notation: If  $K = \mathbb{Q}(\alpha)$  and  $[K:\mathbb{Q}] = n$

then  $1, \alpha, \alpha^2, \dots, \alpha^{n-1}$  is a basis of  $K/\mathbb{Q}$ . We denote

$$\text{disc}(\alpha) = \text{disc}(1, \alpha, \alpha^2, \dots, \alpha^{n-1}).$$

Prop:  $K = \mathbb{Q}(\alpha)$  and  $[K:\mathbb{Q}] = n$ . Then

Let  $\sigma_1, \dots, \sigma_n : K \hookrightarrow \overline{\mathbb{Q}}$  embeddings of  $K/\mathbb{Q}$

$m_\alpha(x) = \min \text{poly of } \alpha \text{ over } \mathbb{Q}$ .

Then

$$\text{disc}(\alpha) = \prod_{i < j} (\sigma_i(\alpha) - \sigma_j(\alpha))^2 = (-1)^{\frac{n(n-1)}{2}} N_{K/\mathbb{Q}}(m_\alpha^1(\alpha)).$$

Proof: Exercise.

## Example : QUADRATIC EXTENSIONS

Let  $d$  be a squarefree integer. We know

$$O_{\mathbb{Q}(\sqrt{d})} = \begin{cases} \mathbb{Z}[\sqrt{d}], d \equiv 2, 3 \pmod{4} \\ \mathbb{Z}\left[1 + \frac{\sqrt{d}}{2}\right], d \equiv 1 \pmod{4} \end{cases}$$

- $d \equiv 2, 3 \pmod{4}$

An integral basis of  $\mathbb{Z}[\sqrt{d}]$  is given by  
 $1, \sqrt{d}$ . Then

$$\Delta_{\mathbb{Q}(\sqrt{d})} = \text{disc}(\sqrt{d}) = (\sqrt{d} - (-\sqrt{d}))^2 = (2\sqrt{d})^2 = 4d$$

Also, we see that

$$m_{\sqrt{d}}(x) = x^2 - d$$

$$m'_{\sqrt{d}}(x) = 2x$$

$$m''_{\sqrt{d}}(x) = 2\sqrt{d}$$

$$N_{\mathbb{Q}(\sqrt{d})/\mathbb{Q}}(2\sqrt{d}) = 2^2(\sqrt{d})(-\sqrt{d}) = -4d$$

We can also compute it from the definition; the matrix with the traces is

$$\begin{pmatrix} \text{Tr}_{\mathbb{Q}(\sqrt{d})/\mathbb{Q}}(1) & \text{Tr}_{\mathbb{Q}(\sqrt{d})/\mathbb{Q}}(\sqrt{d}) \\ \text{Tr}_{\mathbb{Q}(\sqrt{d})/\mathbb{Q}}(\sqrt{d}) & \text{Tr}_{\mathbb{Q}(\sqrt{d})/\mathbb{Q}}(d) \end{pmatrix}$$

$$= \begin{pmatrix} 2 & 0 \\ 0 & 1 \end{pmatrix} \text{ has determinant } 4d$$

$\text{Lo}$        $2d$       |

$$\circ d = 1 \quad (4)$$

An integral basis is  $1, \alpha$  with  $\alpha = \frac{1+\sqrt{d}}{2}$ .

Then

$$\Delta_{\mathcal{O}(\sqrt{d})} = \text{disc}(\alpha) = \left( \frac{1+\sqrt{d}}{2} - \frac{1-\sqrt{d}}{2} \right)^2$$

$$= (\sqrt{d})^2 = d.$$

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We present an application of the discriminant:

Prop:  $K/\mathbb{Q}$  = number field, with  $[K:\mathbb{Q}] = n$

$\alpha_1, \dots, \alpha_n$  basis of  $K/\mathbb{Q}$ , with  $\alpha_i \in \mathcal{O}_K$ ,  $d = \text{disc}(\alpha_1, \dots, \alpha_n)$

Then every  $\alpha \in \mathcal{O}_K$  can be written as

$$\alpha = m_1 \frac{\alpha_1}{d} + m_2 \frac{\alpha_2}{d} + \dots + m_n \frac{\alpha_n}{d} \quad \begin{matrix} m_i \in \mathbb{Z} \\ d \mid m_i^2 \end{matrix}$$

proof: consider the homomorphism

$$\tilde{\text{Tr}}_{K/\mathbb{Q}}: K \rightarrow \text{Hom}_{\mathbb{Q}}(K, \mathbb{Q})$$

and let  $\zeta_1, \dots, \zeta_n$  be the dual basis of  $\alpha_1, \dots, \alpha_n$

in  $\text{Hom}_{\mathbb{Q}}(K, \mathbb{Q})$  i.e.  $\zeta_i(\alpha_j) = \delta_{ij}$ .

If we let  $\beta_i = \tilde{\text{Tr}}_{K/\mathbb{Q}}^{-1}(\zeta_i)$ , we know

already that  $\mathcal{O}_K \subseteq \mathbb{Z}\beta_1 + \dots + \mathbb{Z}\beta_n$ , hence

we can write every  $\alpha \in \mathcal{O}_K$  as

$$\alpha = l_1\beta_1 + \dots + l_n\beta_n. \quad l_i \in \mathbb{Z}$$

Now  $\dots$  we want to show that  $\alpha \in \mathcal{O}_K$

/ view we want to write  $\beta_1, \dots, \beta_n$  w.r.t. the bases

$\alpha_1, \dots, \alpha_n$ . Observe that the matrix representing the map  $\tilde{\text{Tr}}_{K/\mathbb{Q}}$  w.r.t. the bases

$(\alpha_1 \dots \alpha_n) \quad (\beta_1 \dots \beta_n)$  is precisely  $(\tilde{\text{Tr}}_{K/\mathbb{Q}}(\alpha_i \beta_j))$ .

Hence the coordinates of  $\beta_1, \dots, \beta_n$  w.r.t.  $(\alpha_1 \dots \alpha_n)$  are given precisely by the columns of the

inverse  $(\tilde{\text{Tr}}_{K/\mathbb{Q}}(\alpha_i \beta_j))^{-1} =$

$$= \frac{1}{d} \text{adj}((\tilde{\text{Tr}}_{K/\mathbb{Q}}(\alpha_i \beta_j)))$$

Since the adjoint has integer coefficients, we see that

$$\beta_i = \frac{1}{d} \text{mid}\alpha_1 + \dots + \frac{1}{d} \text{mid}\alpha_n, \text{ hence}$$

$$\alpha \cdot \sum i \beta_i = \sum_{i,j} e_i m_{ij} \frac{\alpha_j}{d} \text{ with } e_i, m_{ij} \in \mathbb{Z}.$$
$$= \sum m_j \frac{\alpha_j}{d}$$

with some more work one can show  $m_j \in \mathbb{Z}$ . D

As a corollary, we get :

Cor :  $K/\mathbb{Q}$  = number field,  $[K:\mathbb{Q}] = n$

$\alpha_1, \dots, \alpha_n$  basis of  $K/\mathbb{Q}$  with  $\alpha_i \in \mathcal{O}_K$ .

Suppose  $d = d(\beta_K(\alpha_1, \dots, \alpha_n))$  is sqrf, then  $\alpha_1, \dots, \alpha_n$  are an integral basis of  $\mathcal{O}_K$ .

Proof : The  $\alpha_i$  are linearly independent, hence we

need to show that they generate  $\mathcal{O}_K$ .  
 By the previous proposition we have that

$$\alpha = \frac{m_1}{d} \alpha_1 + \dots + \frac{m_n}{d} \alpha_n, \quad m_i \in \mathbb{Z}, \quad d \mid m_i^2.$$

Since  $d$  is square and  $d \mid m_i^2$ , we have that  $d \mid m_i$ .

Hence the coefficients  $\frac{m_i}{d}$  are in  $\mathbb{Z}$  and we are done.  $\square$

As an application of the discriminant (to be proved in the exercises) we have:

Example : RINGS OF INTEGERS OF CYCLOTOMIC FIELDS

Let  $\zeta_n = e^{2\pi i/n}$  a primitive  $n$ -th root of unity, and let  $\mathbb{Q}(\zeta_n)$  be the corresponding cyclotomic field. Then

$$\mathcal{O}_{\mathbb{Q}(\zeta_n)} = \mathbb{Z}[\zeta_n]$$

In particular an integral basis is

$$1, \zeta_n, \zeta_n^2, \dots, \zeta_n^{\varphi(n)-1}$$

Ultima modifica: 11:42