

geometric setting for hol. curves in SFT

Recall:  $M^{2n-1} \subseteq (W^{2n}, \omega) \Rightarrow \omega_M := \omega|_{TM} \in \Omega^2(M)$  has maximal rank  
( $\Leftrightarrow \exists$  1-dim. subbndl  $\mathcal{L}_\omega := \ker \omega \subseteq TM$ )

defn:  $M$  an oriented  $(2n-1)$ -mfd:

- Hamiltonian structure on  $M$ : closed 2-form  $\omega$  of maximal rank

( $\leadsto$  characteristic line field  $\mathcal{L}_\omega = \ker \omega \subseteq TM$ .)

$\omega$  descends to a nondeg. 2-form on  $TM/\mathcal{L}_\omega \Rightarrow \mathcal{L}_\omega$  has a canonical orientation.)

- framing of  $\omega$ :  $\lambda \in \Omega^1(M)$  s.t.  $\lambda|_{\mathcal{L}_\omega} > 0$  ( $\Leftrightarrow \lambda \wedge \omega^{n-1} > 0$ )

$\leadsto$  - Reeb vector fld:  $R \in \Gamma(\mathcal{L}_\omega)$  s.t.  $\lambda(R) = 1$ .

- co-oriented symplectic hyperplane bndl  $\xi := \ker \lambda$  with sympl. str.  $\omega|_\xi$ .

$$\mathcal{L}_R \omega = \underbrace{d \underbrace{\lambda}_R \omega}_{=0} + \underbrace{\lambda}_R \underbrace{d\omega}_{=0} = 0 \Rightarrow$$

prop:  $\exists!$  sympl. connection  $\nabla^\omega$  on  $(\xi, \omega|_\xi)$  along each integral curve of  $\mathcal{L}_\omega$   
s.t. parallel transp. = linearized Reeb flow composed w. the proj

$$\pi_\xi: TM = \mathbb{R}R \oplus \xi \rightarrow \xi. \quad \square$$

defn: A periodic orbit of  $R$  parametrized by  $\gamma: S^1 \rightarrow M$  is nondegenerate  
if  $\exists$  nontrivial  $\eta \in \Gamma(\gamma^* \xi)$  s.t.  $\nabla_t^\omega \eta = 0$ .

$\Leftrightarrow \ker A_\gamma = \{0\}$  for  $A_\gamma := -J \nabla_t^\omega: \Gamma(\gamma^* \xi) \rightarrow \Gamma(\gamma^* \xi)$  (comp. w.  $\omega|_\xi$ )

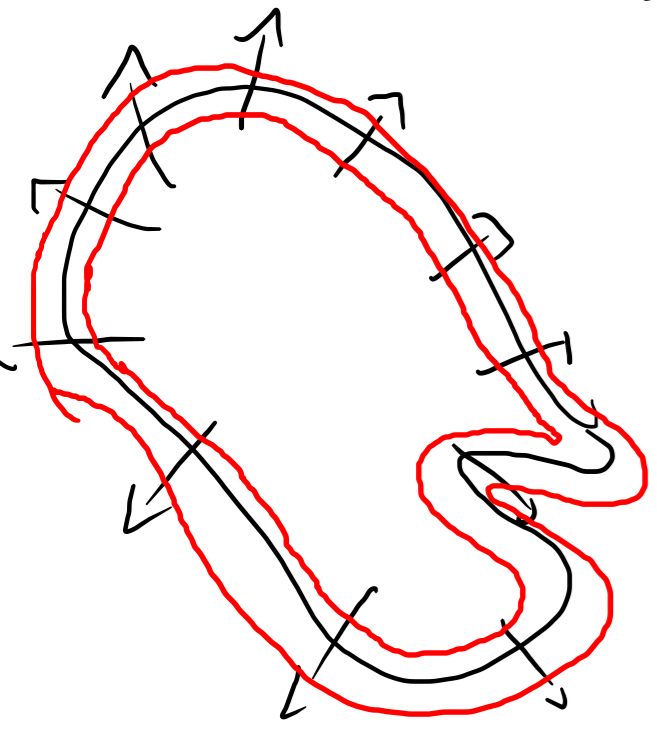
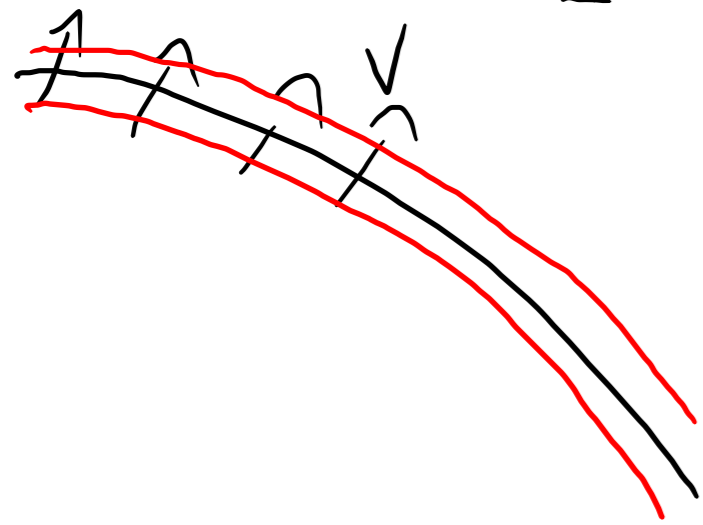
prop: Any vec. fld  $V$  pos.  $\uparrow$  to the oriented hypersurface  $M^{2n-1} \subseteq (W^{2n}, \omega)$  induces a framing  $\lambda := \omega(V, \cdot)|_{TM}$  of  $\omega_M := \omega|_{TM}$ , &  
 $\exists$  tubular nbhd  $M \subseteq (N(M), \omega) \cong \underset{\substack{\downarrow \\ r}}{(-\varepsilon, \varepsilon) \times M, \omega_M + d(r\lambda)}$  s.t.  
 $M = \{0\} \times M$ .

pr:  $\Phi: (-\varepsilon, \varepsilon) \times M \hookrightarrow W: (r, x) \mapsto \varphi_V^r(x)$ ,  $\Phi^* \omega$  satisfies  
 $\Phi^* \omega|_{TM} = \omega_M$ ,  $\Phi^* \omega(\partial_r, \cdot)|_{TM} = \omega(V, \cdot)|_{TM} = \lambda$   
 $\Rightarrow$  along  $M = \{0\} \times M$ ,  $\omega$  matches  $\omega_M + dr \wedge \lambda$   
 $= \omega_M + d(r\lambda)$  (since  $r=0$ ).

$\Rightarrow \forall t \in [0, 1]$ ,  $t \Phi^* \omega + (1-t)(\omega_M + d(r\lambda))$  is symp. near  $M$ ,  
 Moser it! □

defn:  $M^{2n-1} \subseteq (W^{2n}, \omega)$  is stable if  $\exists$  near  $M$  a  
 "stabilizing vec. fld"  $V \uparrow M$  s.t.  $\forall t$  near 0,  
 $M \xrightarrow[\cong]{\varphi_V^t} M_t := \varphi_V^t(M)$  preserves char. line field.

ex:  $M \subseteq (W, \omega)$  of clst type, Liouville vec. fld is stabilizing.



defn:  $(\omega, \lambda)$  is a stable Ham. str. (SHS) on  $M^{2n-1}$  if  $\omega$  is a Ham. str.

$\lambda$  is a framing s.t.  $d\lambda(R, \cdot) \equiv 0$  (i.e.  $\ker \omega \subseteq \ker d\lambda$ )  
 $\lambda$  is a "stable framing".

prop:  $M^{2n-1} \cong (W, \omega)$  is stable  $\Leftrightarrow \omega_M$  admits a stable framing.

pf:  $\Rightarrow$ :  $\forall$  stab. vec. field  $\Rightarrow (\varphi_v^t)^* \omega|_{TM}$  has some kernel  $\forall t$

$$\Rightarrow \mathcal{L}_v \omega(R, \cdot)|_{TM} \equiv 0 = (d\iota_v \omega + \iota_v d\omega)(R, \cdot)|_{TM} = d\lambda(R, \cdot) = 0$$

for  $\lambda := \iota_v \omega|_{TM}$ .

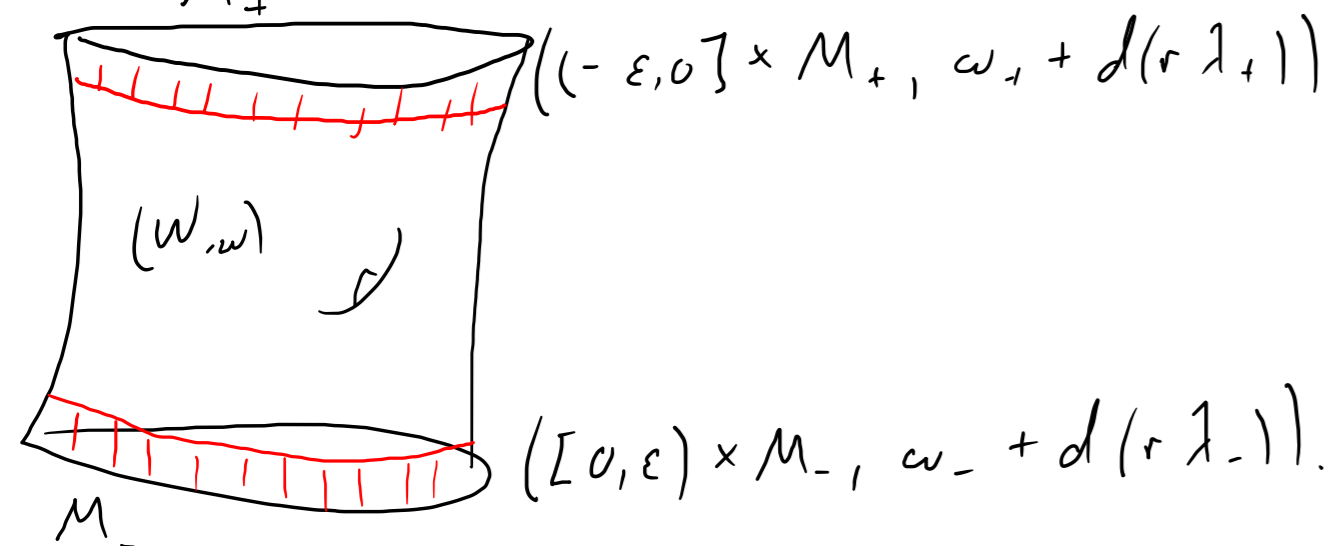
$\Leftarrow$ : nbhd  $\cong ((-\epsilon, \epsilon) \times M, \omega_M + d(r\lambda))$ , then  $M_t := \{t\} \times M$  has

$$(\omega_M + d(r\lambda))|_{TM_t} = \omega_M + t d\lambda \text{ also kills } R \text{ if } d\lambda(R, \cdot) \equiv 0. \quad \square$$

defn:  $M_{\pm}^{2n-1}$  closed oriented mfd's w/ SHS  $\mathcal{H}_{\pm} := (\omega_{\pm}, \lambda_{\pm})$ .

A symplectic cobordism from  $(M_-, \mathcal{H}_-)$  to  $(M_+, \mathcal{H}_+)$  is a cplt

sympl. mfd  $(W, \omega)$  with boundary  $\partial W \cong -M_- \amalg M_+$  s.t.  $\omega|_{M_{\pm}} = \omega_{\pm}$ .



EX:  $(\omega, \lambda)$  SHS  $\Rightarrow$  (a) linearized flow of  $R$  preserves  $\xi = \ker \lambda$

(b)  $\gamma: S^1 \rightarrow M$   $T$ -periodic orbit  $\Rightarrow A_{\gamma} \eta = -J(\nabla_t \eta - T \nabla_{\eta} R)$   
 for any symmetric conn.  $\nabla$  on  $M$ .

symplectization:  $M^{2n-1}$  w/ framed Hom. str.  $\mathcal{H} = (\omega, \lambda)$

$\Rightarrow ((-\varepsilon, \varepsilon) \times M, \omega + d(\varphi\lambda))$  is symplectic for  $\varepsilon > 0$  suff. small

$\Rightarrow (\mathbb{R} \times M, \omega_\varphi)$  is symplectic for  $\omega_\varphi := \omega + d(\varphi\lambda)$ ,

$\varphi \in \mathcal{J} := \{ \varphi \in C^\infty(\mathbb{R}, (-\varepsilon, \varepsilon)) \mid \varphi' > 0 \}$ .

defn:  $\mathcal{J}(\mathcal{H}) := \{ J : T(\mathbb{R} \times M) \rightarrow T(\mathbb{R} \times M) \mid J^2 = -\text{Id} \text{ s.t.} \}$

(1) invol. under translation  $(r, x) \mapsto (r+c, x) \quad \forall c \in \mathbb{R}$ ,

(2)  $T(\mathbb{R} \times M) \stackrel{\text{cp}}{=} \mathbb{R} \oplus \xi$  where  $\mathbb{R} := \text{Span}\{\partial_r, R\}$

$J(\partial_r) = R, \quad J(R) = -\partial_r$

$\&$   $J|_\xi$  compatible w/  $\omega|_\xi$ .

EX:  $J \in \mathcal{J}(\mathcal{H})$  is tamed by  $\omega_\varphi \quad \forall \varphi \in \mathcal{J} \Leftrightarrow \lambda$  is stable.

defn: For  $\mathcal{H} = (\omega, \lambda)$  is an SAS &  $J \in \mathcal{J}(\mathcal{H})$ , the energy of a  $J$ -hol.

curve  $u: (\Sigma, \tilde{g}) \rightarrow (\mathbb{R} \times M, \sigma)$  is  $E(u) := \sup_{\varphi \in \tilde{\mathcal{J}}} \int_{\Sigma} u^* \omega_{\varphi}$ .

Then  $E(u) \geq 0$ , = iff  $u = \text{const}$ .

ex 1:  $x: \mathbb{R} \rightarrow M$  a  $T$ -periodic orbit of  $R \rightsquigarrow \gamma: S^1 \rightarrow M: t \mapsto x(Tt)$ ,

$\rightsquigarrow$  trivial cylinder over  $\gamma: u_{\gamma}: \mathbb{R} \times S^1 \rightarrow \mathbb{R} \times M: (s, t) \mapsto (Ts, \gamma(t))$ .

$\partial_s u_{\gamma} + J \partial_t u_{\gamma} = T \cdot \partial_r + J(T \cdot R(\gamma)) = 0 \Rightarrow u_{\gamma}$  is  $J$ -hol.,

$$E(u_{\gamma}) = \sup_{\varphi \in \tilde{\mathcal{J}}} \int_{\mathbb{R} \times S^1} \underbrace{[u_{\gamma}^* \omega + u_{\gamma}^* d(\varphi(r)\lambda)]}_{=0} \stackrel{(\text{Stokes})}{=} 2\varepsilon T.$$

EX:  $x: \mathbb{R} \rightarrow M$  any orbit of  $R$ ,  $u: \mathbb{C} \rightarrow \mathbb{R} \times M: s+it \mapsto (s, x(t))$   
is also  $J$ -hol., but  $E(u) = \infty$ .

prop (computation): The linearized CR-op. for  $u_x: \mathbb{R} \times S^1 \rightarrow \mathbb{R} \times M$

is given w.r.t. splitting  $u_x^* T(\mathbb{R} \times M) = u_x^* \epsilon \oplus u_x^* \xi$  by

$$(D_{u_x} \eta) \partial_s = \left( \partial_s - \begin{pmatrix} -i\partial_t & T.d\lambda(\mathbb{R}(x), \cdot) \\ 0 & A_x \end{pmatrix} \right) \eta.$$

$\Rightarrow$  If  $\lambda$  is stable, this is  $\partial_s - \underbrace{(-i\partial_t \oplus A_x)}_{\text{asympt. op. on } \mathfrak{g}^* \in \oplus \mathfrak{g}^* \xi} \eta$ .  $\square$

examples:

(1) "ctd case":  $\alpha = \text{ctd form on } M \Rightarrow (d\alpha, \alpha)$  is an SHS  
 $R = R_\alpha$  usual Reeb fld from ctd geom,  $J(\alpha) := J(H)$ .

(2) "Floer case":  $(W, \Omega)$  closed symplectic mfd,  $H: S^1 \times W \rightarrow \mathbb{R}$ ,  $H_t := H(t, \cdot)$   
 $W \rightarrow \mathbb{R}$

let  $M := S^1 \times W$ ,  $H := (w, \lambda) := (\Omega + dt \lrcorner dH, dt)$ .

Think of  $M$  as trivial fibration  $W \hookrightarrow M \rightarrow S^1$ , then  $\omega|_{\text{fiber}}$  symplectic.

$\Rightarrow \omega$  is a Ham. str.,  $dt \lrcorner \Omega^n > 0 \Rightarrow \lambda$  is a framing,

$d\lambda = 0 \Rightarrow \lambda$  is stable. " $\lambda \lrcorner \omega^n$ "

$\lambda(R) = 1 \Rightarrow R = \partial_t + X_t$  for some  $t$ -deps. vec. fld  $X_t$  on  $W$ ,

$$\omega(R, \cdot)|_{\text{fiber}} = 0 = \Omega(X_t, \cdot) + dt \lrcorner dH(\partial_t, \cdot) = \Omega(X_t, \cdot) + dH_t$$

$\Rightarrow X_t = \text{Ham. vec. fld of } H_t$ .  $\left\{ \text{Reeb orbits homologous to } [S^1 \times \{\text{const}\}] \in H_1(M) \right\} = \left\{ 1\text{-per. orbits of } X_{H_t} \right\}$ .

Any  $J \in J(H)$  is equivalent to a choice of family  $\{J_t \in J(W, \Omega)\}_{t \in S^1}$ .

EX: If  $u = (\psi, v): \mathbb{R} \times S^1 \rightarrow \mathbb{R} \times M = (\mathbb{R} \times S^1) \times W$

is  $J$ -hol., then  $\psi: \mathbb{R} \times S^1 \rightarrow \mathbb{R} \times S^1$  is hol. In case  $\psi = \text{Id}$ ,

$v: \mathbb{R} \times S^1 \rightarrow W$  then satis. Floer eqn:  $\partial_s v + J_t(v)(\partial_t v - X_t(v)) = 0$ .