

Minicourse 2Lecture 2 (R. Siefring)

Recall: we were considering the mapping torus of a twist

$S^1 \times \mathbb{R}^2$, \tilde{J} , and \tilde{J} -holomorphic cylinders

$$(s, t) \in \mathbb{R}^+ \times S^1 \mapsto (s, t, h(s, t)) \in \mathbb{R} \times S^1 \times \mathbb{R}^2.$$

$$\tilde{J}\text{-holomorphicity} \Rightarrow h(s, t) = \sum_{\substack{k \in \mathbb{Z} \\ k < \alpha}} a_k e^{(k-\alpha)s} e^{ikt}.$$

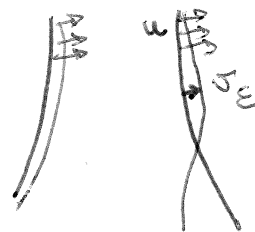
$$u(s, t) = e^{-(1+\alpha)s} e^{-it}.$$

$$v_\varepsilon(s, t) = e^{-(1+\alpha)s} (1+\varepsilon) e^{-it} + e^{-(2+\alpha)s} e^{-2it}$$

$$u - v_\varepsilon(s, t) = - \left[\varepsilon e^{-(1+\alpha)s} e^{-it} + e^{-(2+\alpha)s} e^{-2it} \right]$$

We saw 1-intersection when $\varepsilon \neq 0$.

0-intersections when $\varepsilon = 0$.



But int. no. of u and $v_\varepsilon + \varepsilon^1$ was 2

regardless of the value of ε

Claim: # of intersections created when separating

the ends is $\underset{\text{slarge}}{-\text{wind}} [u(s, \cdot) - v_\varepsilon(s, \cdot)] \geq 1$

Asymptotic behaviour

$$\mathbb{R} \times S^1 \times \mathbb{R}^2$$

T-per. orbit at $S^1 \times \{0\}$.

maps of the form $(s, t) \in \mathbb{R}^+ \times S^1 \mapsto (Ts, t, u(s, t))$
are \tilde{J} -hol precisely when:

$$\partial_s u - Au = f(s, t, u, \nabla u) \leftarrow \text{nonlinear perturbations}$$

$$A = -J(\nabla_t - T\nabla_x) \rightarrow 0 \text{ as } s \rightarrow \infty$$

Thm (HW 2, Mora)

$$u(s, t) = e^{ds} (e(t) + v(s, t))$$

$$d < 0; d \in \sigma(A)$$

$$e \in \ker(A - d - I) \setminus \{0\}$$

$v \rightarrow 0$ exponentially

If $u(s, t)$ and $v(s, t)$ are different curves, but the leading e -vector in the asymptotic formula then we need more information.

Thm (Siefring, '08 \rightarrow Analogue of M & W result)

$$u(s, t) - v(s, t) = e^{ds} (e(t) + v(s, t))$$

for d, e, v satisfying the same conditions from before. (but different e, d, v)

Corollary: • Finiteness of the intersection no.

• Given a family of \tilde{J} -hol half-cylinders, asymptotic to covers of Σ , then one can find smooth $\mathbb{R} \times S^1 \times \mathbb{R}^2$ coordinates near $(\mathbb{R} \times \gamma)$ s.t.

all curves are of the form

$$(s, t) \rightarrow (Tks, kt, \sum_{\ell=0}^N e^{d_\ell s} e_\ell(t))$$

$d_\ell < 0$ is an e -val of A_k

$$e_\ell \in \ker(A_k - d_\ell) \setminus \{0\}$$

Theorem (HWZ - Properties \bar{U})

Given an integer K , the span of the set of e-vec's with winding = K is 2-dimensional.

Moreover, winding is monotonic in eigenvalue.

An e-vector with largest possible negative e-value

$$\text{has winding} = \left\lfloor \frac{\mu_{c2}(\gamma)}{2} \right\rfloor$$

$$\begin{aligned} (s, t) &\mapsto (s, t, U(s, t)) \\ &\mapsto (s, t, V(s, t)) \end{aligned} \quad U-V = e^{s\lambda} (e(t) + v(s, t))$$

$$\underset{s \text{ large}}{\text{wind}} [U(s, t) - V(s, t)] = \text{wind } e(t) \leq \left\lfloor \frac{\mu_{c2}(\gamma)}{2} \right\rfloor$$

Can argue that if we perturb one of the cylinders at the end by a constant vector, then:

$$-\underset{s \text{ large}}{\text{wind}}^{\Phi} U-V \geq - \left\lfloor \frac{\mu_{c2}^{\Phi}(\gamma)}{2} \right\rfloor \quad \Phi \text{ is a triv. of } \gamma^*$$

$$\text{but } \underbrace{-\text{wind}^{\Phi} (U-V) + \left\lfloor \frac{\mu_{c2}^{\Phi}(\gamma)}{2} \right\rfloor}_{\text{this is independent of } \Phi} \geq 0$$

Given 2 half-cylinders asymptotic to covers of the same orbit γ , with covering numbers k, l , denote the number of intersections created at the end when perturbing in the direction of a trivialization Φ by $i^{\Phi}(u, v)$.

$$\text{Hutchings '02: } i^{\Phi}(u, v) \geq -\max\{k \lfloor \mu^{\Phi}(r^k)/2 \rfloor, l \lfloor \mu^{\Phi}(r^l)/2 \rfloor\}.$$

Also, the difference of the 2 sides is independent of the trivialization Φ

$$\sigma_{\infty}(u, v) = i_{\infty}^{\Phi}(u, v) + \max \left\{ k \lfloor \mu^{\Phi}(r^k) / 2 \rfloor, \ell \lfloor \mu^{\Phi}(r^k) / 2 \rfloor \right\}$$

↳ call σ_{∞} the asymptotic intersection index.

Denote the number of intersections created when shifting a cylinder u off itself by Φ , by

$$i_{\infty}^{\Phi}(u). \text{ Then, a similar formula holds}$$

$$i_{\infty}^{\Phi}(u) \geq -(k-1) \lfloor \mu^{\Phi}(r^k) / 2 \rfloor + \text{gcd}(k, \lfloor \mu^{\Phi}(r^k) / 2 \rfloor - 1)$$

Define the asymptotic self-intersection index

$$\sigma_{\infty}(u) = \text{LHS} - \text{RHS} \geq 0 \text{ and indep. of } \Phi$$

Defining a global invariant

Given to \tilde{J} -hol curves u, v & a trio of \tilde{F} along the asymptotic orbits, we can define a relative intersection no. by perturbing one near the ends in a direction determined by the trivialization, and we denote by:

$$g_{\infty}^{\Phi}(u, v).$$

Define : $[u] * [v] = g_{\infty}^{\Phi}(u, v) + \sum \max \left\{ k \lfloor \mu^{\Phi}(r^k) / 2 \rfloor, \ell \lfloor \mu^{\Phi}(r^k) / 2 \rfloor \right\}$
 everytime u and v have an end asymptotic to r^k, r^{ℓ} respectively, with the same sign

Theorem If u, v are \tilde{J} -hol with non identical images then

$$[u] * [v] = \underbrace{\delta_\infty(u, v)}_{\text{asympt intersections}} + \underbrace{\delta(u, v)}_{\text{actual intersections}} \geq 0$$

= 0 precisely when $\delta(u, v) = \delta_\infty(u, v) = 0$.

Theorem If u is simple then:

$$[u] * [u] = \frac{1}{2} \mu_{\text{cl}}(u) + \chi(\Sigma) + \frac{1}{2} \Gamma_{\text{odd}} - \bar{\sigma}(u)$$

$$= 2 \left(\underbrace{\delta(u)}_{\geq 0} + \underbrace{\delta_\infty(u)}_{\geq 0} \right)$$

$$\bar{\sigma}(u) = \sum_{z \in \Gamma} \gcd(k, \lfloor \mu_{\mathbb{Z}}(r^k) / 2 \rfloor)$$