

From pro- p Iwahori-Hecke modules to (φ, Γ) -modules II

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Abstract

Let \mathfrak{o} be the ring of integers in a finite extension field of \mathbb{Q}_p , let k be its residue field. Let G be a split reductive group over \mathbb{Q}_p , let $\mathcal{H}(G, I_0)$ be its pro- p -Iwahori Hecke \mathfrak{o} -algebra. In [3] we introduced a general principle how to assign to a certain additionally chosen datum $(C^{(\bullet)}, \phi, \tau)$ an exact functor $M \mapsto \mathbf{D}(\Theta_* \mathcal{V}_M)$ from finite length $\mathcal{H}(G, I_0)$ -modules to (φ^r, Γ) -modules. In the present paper we concretely work out such data $(C^{(\bullet)}, \phi, \tau)$ for the classical matrix groups. We show that the corresponding functor identifies the set of (quasi) supersingular $\mathcal{H}(G, I_0) \otimes_{\mathfrak{o}} k$ -modules with the set of (φ^r, Γ) -modules satisfying a certain symmetry condition.

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1 Introduction

Let \mathfrak{o} be the ring of integers in a finite extension field of \mathbb{Q}_p , let k be its residue field. Let G be a split reductive group over \mathbb{Q}_p , let T be a maximal split torus in G , let

I_0 be a pro- p -Iwahori subgroup fixing a chamber C in the T -stable apartment of the semi simple Bruhat Tits building of G . Let $\mathcal{H}(G, I_0)$ be the pro- p -Iwahori Hecke \mathfrak{o} -algebra. Let $\text{Mod}^{\text{fin}}(\mathcal{H}(G, I_0))$ denote the category of $\mathcal{H}(G, I_0)$ -modules of finite \mathfrak{o} -length. From a certain additional datum $(C^{(\bullet)}, \phi, \tau)$ we constructed in [3] an exact functor $M \mapsto \mathbf{D}(\Theta_* \mathcal{V}_M)$ from $\text{Mod}^{\text{fin}}(\mathcal{H}(G, I_0))$ to the category of étale (φ^r, Γ) -modules (with $r \in \mathbb{N}$ depending on ϕ). For $G = \text{GL}_2(\mathbb{Q}_p)$, when precomposed with the functor of taking I_0 -invariants, this yields the functor from smooth \mathfrak{o} -torsion representations of $\text{GL}_2(\mathbb{Q}_p)$ (or at least from those generated by their I_0 -invariants) to étale (φ, Γ) -modules which plays a crucial role in Colmez' construction of a p -adic local Langlands correspondence for $\text{GL}_2(\mathbb{Q}_p)$. In [3] we studied in detail the functor $M \mapsto \mathbf{D}(\Theta_* \mathcal{V}_M)$ when $G = \text{GL}_{d+1}(\mathbb{Q}_p)$ for $d \geq 1$. The purpose of the present paper is to explain how the general construction of [3] can be installed concretely for other G 's.

Recall that $C^{(\bullet)} = (C = C^{(0)}, C^{(1)}, C^{(2)}, \dots)$ is a minimal gallery, starting at C , in the T -stable apartment, that $\phi \in N(T)$ is 'period' of $C^{(\bullet)}$ and that τ is a homomorphism from \mathbb{Z}_p^\times to T , compatible with ϕ in a suitable sense. The above $r \in \mathbb{N}$ is just the length of ϕ . It turns out that τ must be a co minuscule fundamental coweight (at least if the underlying root system is simple). Conversely, any co minuscule fundamental coweight τ can be included into a datum $(C^{(\bullet)}, \phi, \tau)$, in such a way that some power of τ is a power of ϕ .

While for $G = \text{GL}_{d+1}(\mathbb{Q}_p)$ we gave explicit choices of $(C^{(\bullet)}, \phi, \tau)$ with $r = 1$ in [3] (in fact there are essentially just two such choices), we did not discuss the existence of $(C^{(\bullet)}, \phi, \tau)$ for other G 's. This discussion is the main contribution of the present paper. More specifically, we work out 'privileged' choices $(C^{(\bullet)}, \phi, \tau)$ for the classical matrix groups (of type B , C , D , and also A again), as well as for G of type E_6 , E_7 . We mostly consider G with connected center Z . Our choices of $(C^{(\bullet)}, \phi, \tau)$ are such that $\phi \in N(T)$ projects modulo ZT_0 (where T_0 denotes the maximal bounded subgroup of T) to the affine Weyl group (viewed as a subgroup of $N(T)/ZT_0$). In particular, up to modifications by elements of Z these ϕ can also be included into data $(C^{(\bullet)}, \phi, \tau)$ for the other G 's with the same underlying root system, not necessarily with connected center. We indicate these modifications along the way.

Notice that the $\phi \in N(T)$ considered in [3] for $G = \text{GL}_{d+1}(\mathbb{Q}_p)$ does *not* project to the affine Weyl group, only its $(d+1)$ -st power, as considered here, has this property. But since the discussion is essentially the same, our treatment of the case A here is very brief.

As an application, in either case we work out the behaviour of the functor $M \mapsto \mathbf{D}(\Theta_* \mathcal{V}_M)$ on those $\mathcal{H}(G, I_0)_k = \mathcal{H}(G, I_0) \otimes_{\mathfrak{o}} k$ -modules which we call 'quasi supersingular'. Roughly speaking, these are induced from characters of the pro- p -Iwahori Hecke algebra of the corresponding simply connected group. At least conjecturally (i.e. extrapolating one

of the main results from [6] from $G = \mathrm{GL}_{d+1}$ to arbitrary G 's), the set of quasi supersingular $\mathcal{H}(G, I_0)_k$ -modules contains the set of all irreducible supersingular $\mathcal{H}(G, I_0)_k$ -modules (and the very few quasi supersingular $\mathcal{H}(G, I_0)_k$ -modules which are not irreducible supersingular are easily identified). We show that our functor induces a bijection between the set of (isomorphism classes of) quasi supersingular $\mathcal{H}(G, I_0)_k$ -modules and the set of (isomorphism classes of) certain 'symmetric' étale (φ^r, Γ) -modules over $k_{\mathcal{E}} = k((t))$. These are defined as direct sums of one dimensional étale (φ^r, Γ) -modules which satisfy certain symmetry conditions (depending on the root system underlying G). Their $k_{\mathcal{E}}$ -dimension is the k -dimension of the corresponding quasi supersingular $\mathcal{H}(G, I_0)_k$ -module.

Of course, the potential interest in étale (φ^r, Γ) -modules lies in their relation with $\mathrm{Gal}_{\mathbb{Q}_p}$ -representations. For any $r \in \mathbb{N}$ there is an exact functor from the category of étale (φ^r, Γ) -modules to the category of étale (φ, Γ) -modules (it multiplies the rank by the factor r), and by means of Fontaine's functor, the latter one is equivalent with the category of $\mathrm{Gal}_{\mathbb{Q}_p}$ -representations.

In [3] we also explained that a datum $(C^{(\bullet)}, \phi)$ alone, i.e. without a τ as above, can be used to define an exact functor $M \mapsto \mathbf{D}(\Theta_* \mathcal{V}_M)$ from $\mathrm{Mod}^{\mathrm{fin}}(\mathcal{H}(G, I_0))$ to the category of étale (φ^r, Γ_0) -modules, where Γ_0 denotes the maximal pro- p -subgroup of $\Gamma \cong \mathbb{Z}_p^\times$. Such data $(C^{(\bullet)}, \phi)$ are not tied to co minuscule coweights and exist in abundance. One may ask for such $(C^{(\bullet)}, \phi)$ with small length r of ϕ . Without further discussing them we give such $(C^{(\bullet)}, \phi)$ with r equal to the semisimple rank of G , for G of type C , B and D .

The outline is as follows. In section 2 we explain the functor from étale (φ^r, Γ) -modules to étale (φ, Γ) -modules, and we introduce the 'symmetric' étale (φ^r, Γ) -modules mentioned above, for each of the root systems C , B , D and A . In section 3, Lemma 3.1, we discuss the relation between the data $(C^{(\bullet)}, \phi, \tau)$ and co minuscule fundamental coweights. Our discussions of classical matrix groups G in section 4 are just concrete incarnations of Lemma 3.1, although in neither of these cases there is a need to make formal reference to Lemma 3.1. On the other hand, in our discussion of the cases E_6 and E_7 in section 5 we do invoke Lemma 3.1. We tried to synchronize our discussions of the various matrix groups. As a consequence, arguments repeat themselves, and we do not write them out again and again. In subsection 5.3 we consider the groups G with underlying root systems G_2 , F_4 or E_8 . As these do not admit (co)minuscule (co)weights, there are no data $(C^{(\bullet)}, \phi, \tau)$ available as needed for a functor producing (φ^r, Γ) -modules. We thus discuss the question if for a suitable choice of $(C^{(\bullet)}, \phi)$ the étale (φ^r, Γ_0) -modules in the image of the corresponding functor in fact extend to (φ^r, Γ) -modules. In the appendix we record calculations relevant for the cases E_6 and E_7 , carried out with the help of the computer algebra system *sage*.

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2 (φ^r, Γ) -modules

We often regard elements of \mathbb{F}_p^\times as elements of \mathbb{Z}_p^\times by means of the Teichmüller lifting. In $\mathrm{SL}_2(\mathbb{Z}_p)$ we define the subgroups

$$\Gamma = \begin{pmatrix} \mathbb{Z}_p^\times & 0 \\ 0 & 1 \end{pmatrix}, \quad \Gamma_0 = \begin{pmatrix} 1 + p\mathbb{Z}_p & 0 \\ 0 & 1 \end{pmatrix}, \quad \mathfrak{N}_0 = \begin{pmatrix} 1 & \mathbb{Z}_p \\ 0 & 1 \end{pmatrix}$$

and the elements

$$\varphi = \begin{pmatrix} p & 0 \\ 0 & 1 \end{pmatrix}, \quad \nu = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}, \quad h(x) = \begin{pmatrix} x & 0 \\ 0 & x^{-1} \end{pmatrix}, \quad \gamma(x) = \begin{pmatrix} x & 0 \\ 0 & 1 \end{pmatrix}$$

where $x \in \mathbb{Z}_p^\times$.

Let $\mathcal{O}_\varepsilon^+ = \mathfrak{o}[[\mathfrak{N}_0]]$ denote the completed group ring of \mathfrak{N}_0 over \mathfrak{o} . Let \mathcal{O}_ε denote the p -adic completion of the localization of $\mathcal{O}_\varepsilon^+$ with respect to the complement of $\pi_K \mathcal{O}_\varepsilon^+$, where $\pi_K \in \mathfrak{o}$ is a uniformizer. In the completed group ring $k_\varepsilon^+ = k[[\mathfrak{N}_0]]$ we put $t = [\nu] - 1$. Let $k_\varepsilon = \mathrm{Frac}(k_\varepsilon^+) = \mathcal{O}_\varepsilon^+ \otimes_{\mathfrak{o}} k$. For definitions and notational conventions concerning étale φ^r and étale (φ^r, Γ) -modules we refer to [3].

Let $r \in \mathbb{N}$. Let $\mathbf{D} = (\mathbf{D}, \varphi_{\mathbf{D}}^r)$ be an étale φ^r -module over \mathcal{O}_ε . For $0 \leq i \leq r-1$ let $\mathbf{D}^{(i)} = \mathbf{D}$ be a copy of \mathbf{D} . For $1 \leq i \leq r-1$ define $\varphi_{\tilde{\mathbf{D}}} : \mathbf{D}^{(i)} \rightarrow \mathbf{D}^{(i-1)}$ to be the identity map on \mathbf{D} , and define $\varphi_{\tilde{\mathbf{D}}} : \mathbf{D}^{(0)} \rightarrow \mathbf{D}^{(r-1)}$ to be the structure map $\varphi_{\mathbf{D}}^r$ on \mathbf{D} . Together we obtain a \mathbb{Z}_p -linear endomorphism $\varphi_{\tilde{\mathbf{D}}}$ on

$$\tilde{\mathbf{D}} = \bigoplus_{i=0}^{r-1} \mathbf{D}^{(i)}.$$

Define an \mathcal{O}_ε -action on $\tilde{\mathbf{D}}$ by the formula

$$(1) \quad x \cdot ((d_i)_{0 \leq i \leq r-1}) = (\varphi_{\mathcal{O}_\varepsilon}^i(x) d_i)_{0 \leq i \leq r-1}.$$

Lemma 2.1. *The endomorphism $\varphi_{\tilde{\mathbf{D}}}$ of $\tilde{\mathbf{D}}$ is semilinear with respect to the \mathcal{O}_ε -action (1), hence it defines on $\tilde{\mathbf{D}}$ the structure of an étale φ -module over \mathcal{O}_ε .*

PROOF:

$$\begin{aligned} \varphi_{\tilde{\mathbf{D}}}(x \cdot ((d_i)_i)) &= \varphi_{\tilde{\mathbf{D}}}((\varphi_{\mathcal{O}_\varepsilon}^i(x) d_i)_i) \\ &= ((\varphi_{\mathcal{O}_\varepsilon}^i(x) d_{i+1})_{0 \leq i \leq r-2}, (\varphi_{\mathbf{D}}^r(x \cdot d_0))_{r-1}) \\ &= ((\varphi_{\mathcal{O}_\varepsilon}^i(x) d_{i+1})_{0 \leq i \leq r-2}, (\varphi_{\mathcal{O}_\varepsilon}^r(x) \varphi_{\mathbf{D}}^r(d_0))_{r-1}) \\ &= \varphi_{\mathcal{O}_\varepsilon}(x)((d_{i+1})_{0 \leq i \leq r-2}, (\varphi_{\mathbf{D}}^r(d_0))_{r-1}) \\ &= \varphi_{\mathcal{O}_\varepsilon}(x) \varphi_{\tilde{\mathbf{D}}}((d_i)_i). \end{aligned}$$

□

Let Γ' be an open subgroup of Γ , let \mathbf{D} be an étale (φ^r, Γ') -module over $\mathcal{O}_{\mathcal{E}}$. Define an action of Γ' on $\tilde{\mathbf{D}}$ by

$$\gamma \cdot ((d_i)_{0 \leq i \leq r-1}) = (\gamma \cdot d_i)_{0 \leq i \leq r-1}.$$

Lemma 2.2. *The Γ' -action on $\tilde{\mathbf{D}}$ commutes with $\varphi_{\tilde{\mathbf{D}}}$ and is semilinear with respect to the $\mathcal{O}_{\mathcal{E}}$ -action (1), hence we obtain on $\tilde{\mathbf{D}}$ the structure of an étale (φ, Γ') -module over $\mathcal{O}_{\mathcal{E}}$. We thus obtain an exact functor from the category of étale (φ^r, Γ') -modules to the category of étale (φ, Γ') -modules over $\mathcal{O}_{\mathcal{E}}$.*

PROOF: This is immediate from the respective properties of the Γ' -action on \mathbf{D} . □

Lemma 2.3. (a) *Let \mathbf{D} be a one-dimensional étale (φ^r, Γ) -module over $k_{\mathcal{E}}$. There exists a basis element g for \mathbf{D} , uniquely determined integers $0 \leq s(\mathbf{D}) \leq p-2$ and $1 \leq n(\mathbf{D}) \leq p^r-1$ and a uniquely determined scalar $\xi(\mathbf{D}) \in k^{\times}$ such that*

$$\begin{aligned} \varphi^r g &= \xi(\mathbf{D}) t^{n(\mathbf{D})+1-p^r} g \\ \gamma(x)g - x^{s(\mathbf{D})}g &\in t \cdot k_{\mathcal{E}}^+ \cdot g \end{aligned}$$

for all $x \in \mathbb{Z}_p^{\times}$. Thus, one may define $0 \leq k_i(\mathbf{D}) \leq p-1$ by $n(\mathbf{D}) = \sum_{i=0}^{r-1} k_i(\mathbf{D})p^i$. One has $n \equiv 0$ modulo $(p-1)$.

(b) *For any given integers $0 \leq s \leq p-2$ and $1 \leq n \leq p^r-1$ with $n \equiv 0$ modulo $(p-1)$ and any scalar $\xi \in k^{\times}$ there is a uniquely determined (up to isomorphism) one-dimensional étale (φ^r, Γ) -module \mathbf{D} over $k_{\mathcal{E}}$ with $s = s(\mathbf{D})$ and $n = n(\mathbf{D})$ and $\xi = \xi(\mathbf{D})$.*

PROOF: (a) Begin with an arbitrary basis element g_0 for \mathbf{D} ; then $\varphi^r g_0 = F g_0$ for some unit $F \in k((t)) = k_{\mathcal{E}}$. After multiplying g_0 with a suitable power of t we may assume $F = \xi t^m (1 + t^{n_0} F_0)$ for some $0 \geq m \geq 2 - p^r$, some $\xi \in k^{\times}$, some $n_0 > 0$, and some $F_0 \in k[[t]]$ (use $t^{p^r} \varphi^r = \varphi^r t$). For $g_1 = (1 + t^{n_0} F_0)g_0$ we then get $\varphi^r g_1 = \xi t^m (1 + t^{n_1} F_1)g_1$ for some $n_1 > n_0 > 0$ and some $F_1 \in k[[t]]$. We may continue in this way; by completeness we get $g = g_{\infty} \in \mathbf{D}$ such that $\varphi^r g = \xi t^m g$. It is clear that $\xi(\mathbf{D}) = \xi$ and $n(\mathbf{D}) = p^r - 1 + m$ are well defined. Next, to see that there is some $s(\mathbf{D})$ as required we only need to see that $\gamma(x)g = F_x g$ for some unit $F_x \in k[[t]]$. But this follows from the fact that $\gamma(x)^{p-1}$ is topologically nilpotent (and acts by an automorphism on \mathbf{D}). It follows from Lemma 6.3 in [3] that $n(\mathbf{D}) \equiv 0$ modulo $p-1$.

(b) Put $D = k[[t]] = k_{\mathcal{E}}^+$ and $D^* = \text{Hom}_k^{\text{ct}}(k_{\mathcal{E}}^+, k)$ and define $\ell_0 \in D^*$ by $\ell_0(\sum_{i \geq 0} a_i t^i) = a_0$. Endow D^* with an action of $k_{\mathcal{E}}^+$ by putting $(\alpha \cdot \ell)(x) = \ell(\alpha \cdot x)$ for $\alpha \in k_{\mathcal{E}}^+$, $\ell \in D^*$ and

$x \in D$. We claim that this action uniquely extends to an action by $k_{\mathcal{E}}^+[\varphi^r, \Gamma]$ satisfying

$$(2) \quad t^n \varphi^r \ell_0 = \xi^{-1} \ell_0$$

$$(3) \quad \gamma(x) \ell_0 = x^{-s} \ell_0 \quad \text{for all } x \in \mathbb{Z}_p^\times.$$

Indeed, as $t^{p^r} \varphi^r = \varphi^r t$, formula (2) defines a unique extension to $k_{\mathcal{E}}^+[\varphi^r]$. Next, ℓ_0 then generates D^* as a $k_{\mathcal{E}}^+[\varphi^r]$ -module, and this shows that an extension to $k_{\mathcal{E}}^+[\varphi^r, \Gamma]$ satisfying formula (3) must be unique. To see that it does indeed exist, we check the compatibility of formulae (2) and (3):

$$\gamma(x) t^n \varphi^r \ell_0 \stackrel{(i)}{=} x^n t^n \gamma(x) \varphi^r \ell_0 = x^{n-s} t^n \varphi^r \ell_0 = \xi^{-1} x^{n-s} \ell_0 \stackrel{(ii)}{=} \gamma(x) \xi^{-1} \ell_0.$$

Here (i) follows from $\gamma(x) t^n \gamma(x)^{-1} - x^n t^n \in t^{n+1} k_{\mathcal{E}}^+$ (see [3], proof of Lemma 6.3), whereas (ii) follows from our hypothesis $n \equiv 0$ modulo $(p-1)$. Now passing to the dual $D \cong (D^*)^*$ of D^* yields a non degenerate (ψ^r, Γ) -module over $k_{\mathcal{E}}^+$ with an associated étale (φ^r, Γ) -module \mathbf{D} over $k_{\mathcal{E}}$ with $s = s(\mathbf{D})$ and $n = n(\mathbf{D})$ and $\xi = \xi(\mathbf{D})$; this is explained in [3] Lemma 6.4. This dualization argument also proves the uniqueness of \mathbf{D} . \square

Definition: We say that an étale (φ^r, Γ) -module \mathbf{D} over $k_{\mathcal{E}}$ is C -symmetric if it admits a direct sum decomposition $\mathbf{D} = \mathbf{D}_1 \oplus \mathbf{D}_2$ with one-dimensional étale (φ^r, Γ) -modules $\mathbf{D}_1, \mathbf{D}_2$ satisfying the following conditions (1), (2C) and (3C):

- (1) $k_i(\mathbf{D}_1) = k_{r-1-i}(\mathbf{D}_2)$ for all $0 \leq i \leq r-1$
- (2C) $\xi(\mathbf{D}_1) = \xi(\mathbf{D}_2)$
- (3C) $s(\mathbf{D}_2) - s(\mathbf{D}_1) \equiv \sum_{i=0}^{r-1} i k_i(\mathbf{D}_1)$ modulo $(p-1)$

Definition: We say that an étale (φ^r, Γ) -module \mathbf{D} over $k_{\mathcal{E}}$ is B -symmetric if r is odd and if \mathbf{D} admits a direct sum decomposition $\mathbf{D} = \mathbf{D}_1 \oplus \mathbf{D}_2$ with one-dimensional étale (φ^r, Γ) -modules $\mathbf{D}_1, \mathbf{D}_2$ satisfying the following conditions (1), (2B) and (3B):

- (1) $k_i(\mathbf{D}_1) = k_{r-1-i}(\mathbf{D}_2)$ for all $0 \leq i \leq r-1$
- (2B) For both $\mathbf{D} = \mathbf{D}_1$ and $\mathbf{D} = \mathbf{D}_2$ we have $\xi(\mathbf{D}) = \prod_{i=0}^{r-1} (k_i(\mathbf{D})!)^{-1}$ and $k_i(\mathbf{D}) = k_{r-1-i}(\mathbf{D})$ for all $1 \leq i \leq \frac{r+1}{2}$
- (3B) $s(\mathbf{D}_2) - s(\mathbf{D}_1) \equiv k_0(\mathbf{D}_1) - k_{r-1}(\mathbf{D}_1)$ modulo $(p-1)$

Lemma 2.4. *The conjunction of the conditions (1), (2C) and (3C) (resp. (1), (2B) and (3B)) is symmetric in \mathbf{D}_1 and \mathbf{D}_2 .*

PROOF: That each one of the conditions (1), (2C) and (2B) is symmetric even individually is obvious. Now $n(\mathbf{D}) \equiv 0$ modulo $p-1$ implies $\sum_{i=0}^{r-1} k_i(\mathbf{D}_1) \equiv 0$ modulo $p-1$. Therefore $s(\mathbf{D}_2) - s(\mathbf{D}_1) \equiv \sum_{i=0}^{r-1} i k_i(\mathbf{D}_1)$ and $k_i(\mathbf{D}_1) = k_{r-1-i}(\mathbf{D}_2)$ for all i (condition (1)) together imply $s(\mathbf{D}_1) - s(\mathbf{D}_2) \equiv \sum_{i=0}^{r-1} i k_i(\mathbf{D}_2)$. Thus condition (3C) is symmetric,

assuming condition (1). Similarly, condition (3B) is symmetric, assuming condition (1). \square

Definition: (i) Let $\tilde{\mathfrak{S}}_C(r)$ denote the set of triples (n, s, ξ) with integers $1 \leq n \leq p^r - 1$ and $0 \leq s \leq p - 2$ and scalars $\xi \in k^\times$ such that $n \equiv 0$ modulo $(p - 1)$. Let $\mathfrak{S}_C(r)$ denote the quotient of $\tilde{\mathfrak{S}}_C(r)$ by the involution

$$\left(\sum_{i=0}^{r-1} k_i p^i, s, \xi\right) \mapsto \left(\sum_{i=0}^{r-1} k_{r-i-1} p^i, s + \sum_{i=0}^{r-1} i k_i, \xi\right).$$

(Here and in the following, in the second component we mean the representative modulo $p - 1$ belonging to $[0, p - 2]$.)

(ii) Let r be odd and let $\tilde{\mathfrak{S}}_B(r)$ denote the set of pairs (n, s) with integers $1 \leq n = \sum_{i=0}^{r-1} k_i p^i \leq p^r - 1$ and $0 \leq s \leq p - 2$ such that $n \equiv 0$ modulo $(p - 1)$ and such that $k_i = k_{r-1-i}$ for all $1 \leq i \leq \frac{r+1}{2}$. Let $\mathfrak{S}_B(r)$ denote the quotient of $\tilde{\mathfrak{S}}_B(r)$ by the involution

$$\left(\sum_{i=0}^{r-1} k_i p^i, s\right) \mapsto \left(\sum_{i=0}^{r-1} k_{r-i-1} p^i, s + k_0 - k_{r-1}\right).$$

Lemma 2.5. (i) Sending $\mathbf{D} = \mathbf{D}_1 \oplus \mathbf{D}_2$ to $(n(\mathbf{D}_1), s(\mathbf{D}_1), \xi(\mathbf{D}_1))$ induces a bijection between the set of isomorphism classes of C -symmetric étale (φ^r, Γ) -modules and $\mathfrak{S}_C(r)$.

(ii) Sending $\mathbf{D} = \mathbf{D}_1 \oplus \mathbf{D}_2$ to $(n(\mathbf{D}_1), s(\mathbf{D}_1))$ induces a bijection between the set of isomorphism classes of B -symmetric étale (φ^r, Γ) -modules and $\mathfrak{S}_B(r)$.

PROOF: This follows from Lemma 2.3. \square

Definition: Let r be even. Let $\tilde{\mathfrak{S}}_D(r)$ denote the set of triples (n, s, ξ) with integers $1 \leq n = \sum_{i=0}^{r-1} k_i p^i \leq p^r - 1$ and $0 \leq s \leq p - 2$ and scalars $\xi \in k^\times$ such that $n \equiv 0$ modulo $(p - 1)$ and such that $k_i = k_{i+\frac{r}{2}}$ for all $1 \leq i \leq \frac{r}{2} - 2$. We consider the following permutations ι_0 and ι_1 of $\tilde{\mathfrak{S}}_D(r)$. The value of ι_0 at $(\sum_{i=0}^{r-1} k_i p^i, s, \xi)$ is

$$\left(k_{\frac{r}{2}} + \sum_{i=1}^{\frac{r}{2}-2} k_i p^i + k_{r-1} p^{\frac{r}{2}-1} + k_0 p^{\frac{r}{2}} + \sum_{i=\frac{r}{2}+1}^{r-2} k_i p^i + k_{\frac{r}{2}-1} p^{r-1}, s + \sum_{i=0}^{\frac{r}{2}-1} k_i, \xi\right).$$

The value of ι_1 at $(\sum_{i=0}^{r-1} k_i p^i, s, \xi)$ is

$$\left(\sum_{i=1}^{r-1} k_{r-i-1} p^i, s + \frac{r-2}{4}(k_{\frac{r}{2}} + k_0) + \sum_{i=2}^{\frac{r}{2}-1} (i-1) k_{\frac{r}{2}-i}, \xi\right)$$

if r is odd, whereas if r is even the value is

$$\left(k_{\frac{r}{2}-1} + \sum_{i=1}^{\frac{r}{2}-2} k_{r-i-1} p^i + k_{\frac{r}{2}} p^{\frac{r}{2}-1} + k_{r-1} p^{\frac{r}{2}} + \sum_{i=\frac{r}{2}+1}^{r-2} k_{r-i-1} p^i + k_0 p^{r-1}, s + \left(\frac{r}{4} - 1\right) k_{\frac{r}{2}} + \frac{r}{4} k_0 + \sum_{i=2}^{\frac{r}{2}-1} (i-1) k_{\frac{r}{2}-i} p^i, \xi\right).$$

It is straightforward to check that $\iota_0^2 = \text{id}$ and $\iota_0\iota_1 = \iota_1\iota_0$, and moreover that $\iota_1^2 = \text{id}$ if r is odd, but $\iota_1^2 = \iota_0$ if r is even. In either case, the subgroup $\langle \iota_0, \iota_1 \rangle$ of $\text{Aut}(\widetilde{\mathfrak{S}}_D(r))$ generated by ι_0 and ι_1 is commutative and contains 4 elements. We let $\mathfrak{S}_D(r)$ denote the quotient of $\widetilde{\mathfrak{S}}_D(r)$ by the action of $\langle \iota_0, \iota_1 \rangle$.

Definition: Let r be even. We say that an étale (φ^r, Γ) -module \mathbf{D} over $k_{\mathcal{E}}$ is D -symmetric if it admits a direct sum decomposition $\mathbf{D} = \mathbf{D}_{11} \oplus \mathbf{D}_{12} \oplus \mathbf{D}_{21} \oplus \mathbf{D}_{22}$ with one-dimensional étale (φ^r, Γ) -modules $\mathbf{D}_{11}, \mathbf{D}_{12}, \mathbf{D}_{21}, \mathbf{D}_{22}$ satisfying the following conditions:

- (1) For all $1 \leq i \leq \frac{r}{2} - 2$ and all $1 \leq s, t \leq 2$ we have $k_i(\mathbf{D}_{st}) = k_{\frac{r}{2}+i}(\mathbf{D}_{st})$
- (2) For all $1 \leq i \leq \frac{r}{2} - 2$ we have $k_i(\mathbf{D}_{11}) = k_i(\mathbf{D}_{12})$ and $k_i(\mathbf{D}_{21}) = k_i(\mathbf{D}_{22})$
- (3)

$$k_0(\mathbf{D}_{11}) = k_{\frac{r}{2}}(\mathbf{D}_{12}), \quad k_{\frac{r}{2}}(\mathbf{D}_{11}) = k_0(\mathbf{D}_{12}), \quad k_{\frac{r}{2}-1}(\mathbf{D}_{11}) = k_{r-1}(\mathbf{D}_{12}), \quad k_{r-1}(\mathbf{D}_{11}) = k_{\frac{r}{2}-1}(\mathbf{D}_{12})$$

(4)

$$k_i(\mathbf{D}_{11}) = k_{r-i-1}(\mathbf{D}_{21}) \quad \text{and} \quad k_i(\mathbf{D}_{12}) = k_{r-i-1}(\mathbf{D}_{22})$$

if $i \in [0, r-1]$ and $\frac{r}{2}$ is odd, or if $i \in [1, \frac{r}{2} - 2] \cup [\frac{r}{2} + 1, r-2]$ and $\frac{r}{2}$ is even. Moreover, if $\frac{r}{2}$ is even then

$$k_0(\mathbf{D}_{11}) = k_{r-1}(\mathbf{D}_{21}), \quad k_{\frac{r}{2}-1}(\mathbf{D}_{11}) = k_0(\mathbf{D}_{21}), \quad k_{\frac{r}{2}}(\mathbf{D}_{11}) = k_{\frac{r}{2}-1}(\mathbf{D}_{21}), \quad k_{r-1}(\mathbf{D}_{11}) = k_{\frac{r}{2}}(\mathbf{D}_{21})$$

$$(5) \quad \xi(\mathbf{D}_{11}) = \xi(\mathbf{D}_{12}) = \xi(\mathbf{D}_{21}) = \xi(\mathbf{D}_{22})$$

(6) Modulo $(p-1)$ we have

$$s(\mathbf{D}_{12}) - s(\mathbf{D}_{11}) \equiv \sum_{i=0}^{\frac{r}{2}-1} k_i(\mathbf{D}_{11})$$

$$s(\mathbf{D}_{22}) - s(\mathbf{D}_{21}) \equiv \begin{cases} \sum_{i=0}^{\frac{r}{2}-1} k_i(\mathbf{D}_{11}) & : \frac{r}{2} \text{ is odd} \\ k_{\frac{r}{2}}(\mathbf{D}_{11}) - k_0(\mathbf{D}_{11}) + \sum_{i=0}^{\frac{r}{2}-1} k_i(\mathbf{D}_{11}) & : \frac{r}{2} \text{ is even} \end{cases}$$

$$s(\mathbf{D}_{21}) - s(\mathbf{D}_{11}) \equiv \begin{cases} \frac{r-2}{4}(k_{\frac{r}{2}}(\mathbf{D}_{11}) + k_0(\mathbf{D}_{11})) + \sum_{i=2}^{\frac{r}{2}-1} (i-1)k_{\frac{r}{2}-i}(\mathbf{D}_{11}) & : \frac{r}{2} \text{ is odd} \\ (\frac{r}{4} - 1)k_{\frac{r}{2}}(\mathbf{D}_{11}) + \frac{r}{4}k_0(\mathbf{D}_{11}) + \sum_{i=2}^{\frac{r}{2}-1} (i-1)k_{\frac{r}{2}-i}(\mathbf{D}_{11}) & : \frac{r}{2} \text{ is even} \end{cases}$$

Lemma 2.6. Sending $\mathbf{D} = \mathbf{D}_{11} \oplus \mathbf{D}_{12} \oplus \mathbf{D}_{21} \oplus \mathbf{D}_{22}$ to $(n(\mathbf{D}_{11}), s(\mathbf{D}_{11}), \xi(\mathbf{D}_{11}))$ induces a bijection between the set of isomorphism classes of D -symmetric étale (φ^r, Γ) -modules and $\mathfrak{S}_D(r)$.

PROOF: Again we use Lemma 2.3. For a one-dimensional étale (φ^r, Γ) -module \mathbf{D} over $k_{\mathcal{E}}$ put $\alpha(\mathbf{D}) = (n(\mathbf{D}), s(\mathbf{D}), \xi(\mathbf{D}))$; this is an element of $\widetilde{\mathfrak{S}}_D(r)$. If $\mathbf{D} = \mathbf{D}_{11} \oplus \mathbf{D}_{12} \oplus \mathbf{D}_{21} \oplus \mathbf{D}_{22}$ is D -symmetric as above, then it is straightforward to check $\iota_0(\alpha(\mathbf{D}_{11})) = \alpha(\mathbf{D}_{12})$, $\iota_0(\alpha(\mathbf{D}_{21})) = \alpha(\mathbf{D}_{22})$, $\iota_1(\alpha(\mathbf{D}_{11})) = \alpha(\mathbf{D}_{21})$ and $\iota_0(\alpha(\mathbf{D}_{12})) = \alpha(\mathbf{D}_{22})$. It follows that the

above map is well defined and bijective. \square

Definition: We say that an étale (φ^r, Γ) -module \mathbf{D} over $k_{\mathcal{E}}$ is A -symmetric if \mathbf{D} admits a direct sum decomposition $\mathbf{D} = \bigoplus_{i=0}^{r-1} \mathbf{D}_i$ with one-dimensional étale (φ^r, Γ) -modules \mathbf{D}_i satisfying the following conditions for all i, j (where we understand the sub index in $k_{\mathcal{E}}$ as the unique representative in $[0, r-1]$ modulo r):

$$k_i(\mathbf{D}_j) = k_{i-j}(\mathbf{D}_0), \quad \xi(\mathbf{D}_j) = \xi(\mathbf{D}_0), \quad s(\mathbf{D}_0) - s(\mathbf{D}_j) \equiv \sum_{i=1}^j k_{-i}(\mathbf{D}_0) \text{ modulo } (p-1)$$

3 Semiinfinite chamber galleries and functor \mathbf{D}

3.1 Power multiplicative elements in the extended affine Weyl group

Let G be the group of \mathbb{Q}_p -rational points of a \mathbb{Q}_p -split connected reductive group over \mathbb{Q}_p . Fix a maximal \mathbb{Q}_p -split torus T in G , let $N(T)$ be its normalizer in G . Let Φ denote the set of roots of T . For $\alpha \in \Phi$ let N_{α} be the corresponding root subgroup in G . Choose a positive system Φ^+ in Φ , let $\Delta \subset \Phi^+$ be the set of simple roots. Let $N = \prod_{\alpha \in \Phi^+} N_{\alpha}$.

Let X denote the semi simple Bruhat-Tits building of G , let A denote its apartment corresponding to T . Our notational and terminological convention is that the facets of A or X are *closed* in X (i.e. *contain* all their faces (the lower dimensional facets at their boundary)). A chamber is a facet of codimension 0. For a chamber D in A let I_D be the Iwahori subgroup in G fixing D .

Fix a special vertex x_0 in A , let K be the corresponding hyperspecial maximal compact open subgroup in G . Let $T_0 = T \cap K$ and $N_0 = N \cap K$. We have the isomorphism $T/T_0 \cong X_*(T)$ sending $\xi \in X_*(T)$ to the class of $\xi(p) \in T$. Let $I \subset K$ be the Iwahori subgroup determined by Φ^+ . [If $\text{red} : K \rightarrow \overline{K}$ denotes the reduction map onto the reductive (over \mathbb{F}_p) quotient \overline{K} of K , then $I = \text{red}^{-1}(\text{red}(T_0 N_0))$.] Let $C \subset A$ be the chamber fixed by I .

We are interested in semiinfinite chamber galleries

$$(4) \quad C^{(0)}, C^{(1)}, C^{(2)}, C^{(3)}, \dots$$

in A such that $C = C^{(0)}$ (and thus $I = I_{C^{(0)}}$) and such that, setting

$$N_0^{(i)} = I_{C^{(i)}} \cap N = \prod_{\alpha \in \Phi^+} I_{C^{(i)}} \cap N_{\alpha},$$

we have $N_0 = N_0^{(0)}$ and

$$(5) \quad N_0^{(0)} \supset N_0^{(1)} \supset N_0^{(2)} \supset N_0^{(3)} \supset \dots \quad \text{with } [N_0^{(i)} : N_0^{(i+1)}] = p \text{ for all } i \geq 0.$$

In this situation there is a unique sequence $\alpha^{(0)}, \alpha^{(1)}, \alpha^{(2)}, \alpha^{(3)}, \dots$ in Φ^+ such that, setting

$$e[i, \alpha] = |\{0 \leq j \leq i-1 \mid \alpha = \alpha^{(j)}\}|$$

for $i \geq 0$ and $\alpha \in \Phi^+$, we have

$$N_0^{(i)} = \prod_{\alpha \in \Phi^+} (N_0 \cap N_\alpha)^{p^{e[i, \alpha]}}.$$

Geometrically, $C^{(i+1)}$ and $C^{(i)}$ share a common facet of codimension 1 contained in a wall which belongs to the translation class of walls corresponding to $\alpha^{(i)}$.

Suppose that the center Z of G is connected. Then G/Z is a semisimple group of adjoint type with maximal torus $\check{T} = T/Z$. Let $\check{T}_0 = T_0/(T_0 \cap Z) \subset \check{T}$. The extended affine Weyl group $\widehat{W} = N(\check{T})/\check{T}_0$ can be identified with the semidirect product between the finite Weyl group $W = N(\check{T})/\check{T} = N(T)/T$ and $X_*(\check{T})$. We identify $A = X_*(\check{T}) \otimes \mathbb{R}$ such that $x_0 \in A$ corresponds to the origin in the \mathbb{R} -vector space $X_*(\check{T}) \otimes \mathbb{R}$. We then regard \widehat{W} as acting on A through affine transformations. We regard $\Delta \subset X^*(T)$ as a subset of $X^*(\check{T})$. We usually enumerate the elements of Δ as $\alpha_1, \dots, \alpha_d$, and we enumerate the corresponding simple reflection $s_\alpha \in W$ for $\alpha \in \Delta$ as s_1, \dots, s_d with $s_i = s_{\alpha_i}$. Assume that the root system Φ is irreducible and let $\alpha_0 \in \Phi$ be the *negative* of the highest root. Let s_{α_0} be the corresponding reflection in the finite Weyl group W ; define the affine reflection $s_0 = t_{\alpha_0^\vee} \circ s_{\alpha_0} \in \widehat{W}$, where $t_{\alpha_0^\vee}$ denotes the translation by the coroot $\alpha_0^\vee \in A$ of α_0 . The affine Weyl group W_{aff} is the subgroup of \widehat{W} generated by s_0, s_1, \dots, s_d ; in fact it is a Coxeter group with these Coxeter generators. The corresponding length function ℓ on W_{aff} extends to \widehat{W} .

Let $X_*(\check{T})_+$ denote the set of dominant coweights. [Let $T_+ = \{t \in T \mid tN_0t^{-1} \subset N_0\}$, then $X_*(\check{T})_+$ is the image of T_+ under the map $T_+ \subset T \rightarrow T/T_0 \cong X_*(T) \rightarrow X_*(\check{T})$.] The monoid $X_*(\check{T})_+$ is free and has a unique basis ∇ , the set of fundamental coweights. The cone (vector chamber) in A with origin in x_0 which is spanned by all the $-\xi$ for $\xi \in \nabla$ contains C , and C is precisely the 'top' chamber of this cone. The reflections s_0, s_1, \dots, s_d are precisely the reflections in the affine hyperplanes (walls) of A which contain a codimension-1-face of C .

Let us say that $w \in \widehat{W}$ is power multiplicative if we have $\ell(w^m) = m \cdot \ell(w)$ for all $m \geq 0$. Of course, any element in the image of $T \rightarrow N(\check{T}) \rightarrow \widehat{W} = N(\check{T})/\check{T}_0$ is power multiplicative.

Suppose we are given a fundamental coweight $\tau \in \nabla$ and some non trivial element $\phi \in \widehat{W}$ satisfying the following conditions:

- (a) ϕ is power multiplicative,
- (b) τ is co minuscule, i.e. we have $\langle \alpha, \tau \rangle \in \{0, 1\}$ for all $\alpha \in \Phi^+$,
- (c) viewing τ via the embedding $X_*(\check{T}) \subset \widehat{W}$ as an element of \widehat{W} , we have

$$(6) \quad \phi^{\mathbb{N}} \cap \tau^{\mathbb{N}} \neq \emptyset.$$

Lemma 3.1. *Let ϕ and τ be as above. Write $\phi = \phi'v$ with $\phi' \in W_{\text{aff}}$ and $v \in \widehat{W}$ with $vC = C$. Choose a reduced expression*

$$\phi' = s_{\beta(1)} \cdots s_{\beta(r)}$$

of ϕ' with some function $\beta : \{1, \dots, r\} \rightarrow \{0, \dots, d\}$ (with $r = \ell(\phi) = \ell(\phi')$) and put

$$C^{(ar+b)} = \phi^a s_{\beta(1)} \cdots s_{\beta(b)} C$$

for $a, b \in \mathbb{Z}_{\geq 0}$ with $0 \leq b < r$. Lift $\tau \in \nabla \subset X_(\check{T})$ to some element of $X_*(T)$ and denote again by τ the corresponding homomorphism $\mathbb{Z}_p^\times \rightarrow T_0$. Then we have:*

(i) *The sequence*

$$C = C^{(0)}, C^{(1)}, C^{(2)}, \dots$$

satisfies hypothesis (5). In particular we may define $\alpha^{(j)} \in \Phi^+$ for all $j \geq 0$.

(ii) *For any $j \geq 0$ we have $\alpha^{(j)} \circ \tau = \text{id}_{\mathbb{Z}_p^\times}$.*

(iii) *For any lifting $\phi \in N(T)$ of $\phi \in \widehat{W}$ we have $\tau(a)\phi = \phi\tau(a)$ in $N(T)$, for all $a \in \mathbb{Z}_p^\times$.*

PROOF: (i) As τ is co minuscule, it is in particular a dominant coweight. Therefore it follows from hypothesis (6) that also some power of ϕ is a dominant coweight. As ϕ is power multiplicative, this implies statement (i).

(ii) As ϕ is power multiplicative, hypothesis (6) implies that for any $m \in \mathbb{N}$ for which ϕ^m belongs to $X_*(T)$ we have

$$\{\alpha^{(j)} \mid j \geq 0\} = \{\alpha \in \Phi^+ \mid \langle \alpha, \phi^m \rangle \neq 0\} = \{\alpha \in \Phi^+ \mid \langle \alpha, \tau \rangle \neq 0\}$$

and as τ is co minuscule this is the set

$$\{\alpha \in \Phi^+ \mid \langle \alpha, \tau \rangle = 1\} = \{\alpha \in \Phi^+ \mid \langle \alpha \circ \tau \rangle = \text{id}_{\mathbb{Z}_p^\times}\}.$$

(iii) By hypothesis (6) we have $\tau^m = \phi^n$ for some $m, n \in \mathbb{N}$. We deduce $\tau^m = \phi\tau^m\phi^{-1} = (\phi\tau\phi^{-1})^m$ and hence also $\tau = \phi\tau\phi^{-1}$ as τ and $\phi\tau\phi^{-1}$ belong to the free abelian group $X_*(T)$. Thus $\tau\phi = \phi\tau$ in \widehat{W} which implies claim (iii). \square

Remark: For a given co minuscule fundamental coweight $\tau \in \nabla$ some positive power τ^m of τ belongs to $X_*(\check{T})$, and so $\phi = \tau^m$ satisfies the assumptions of Lemma 3.1. However, for our purposes it is of interest to find ϕ (as in Lemma 3.1, possibly also required to project to W_{aff}) of small length; the minimal positive power of τ belonging to $X_*(\check{T})$ is usually not optimal in this sense.

3.2 Functor D

By I_0 we denote the pro- p -Iwahori subgroup contained in I . We often read $\bar{T} = T_0/T_0 \cap I_0$ as a subgroup of T_0 by means of the Teichmüller character. Conversely, we read characters of \bar{T} also as characters of T_0 (and do not introduce another name for these inflations).

Let $\text{ind}_{I_0}^G \mathbf{1}_{\mathfrak{o}}$ denote the \mathfrak{o} -module of \mathfrak{o} -valued compactly supported functions f on G such that $f(ig) = f(g)$ for all $g \in G$, all $i \in I_0$. It is a G -representation by means of $(g'f)(g) = f(gg')$ for $g, g' \in G$. Let

$$\mathcal{H}(G, I_0) = \text{End}_{\mathfrak{o}[G]}(\text{ind}_{I_0}^G \mathbf{1}_{\mathfrak{o}})^{\text{op}}$$

denote the corresponding pro- p -Iwahori Hecke algebra with coefficients in \mathfrak{o} . For a subset H of G let χ_H denote the characteristic function of H . For $g \in G$ let $T_g \in \mathcal{H}(G, I_0)$ denote the Hecke operator corresponding to the double coset $I_0 g I_0$. It sends $f : G \rightarrow \mathfrak{o}$ to

$$T_g(f) : G \longrightarrow \mathfrak{o}, \quad h \mapsto \sum_{x \in I_0 \backslash G} \chi_{I_0 g I_0}(hx^{-1})f(x).$$

Let $\text{Mod}^{\text{fin}}(\mathcal{H}(G, I_0))$ denote the category of $\mathcal{H}(G, I_0)$ -modules which as \mathfrak{o} -modules are of finite length. We write $\mathcal{H}(G, I_0)_k = \mathcal{H}(G, I_0) \otimes_{\mathfrak{o}} k$. Given liftings $\dot{s} \in N(T)$ of all $s \in S = \{s_i \mid 0 \leq i \leq d\}$ we let $\mathcal{H}(G, I_0)_{\text{aff}, k}$ denote the k -subalgebra of $\mathcal{H}(G, I_0)_k$ generated by the $T_{\dot{s}}$ for all $s \in S$ and the T_t for $t \in \bar{T}$.

Suppose we are given a reduced expression

$$(7) \quad \phi = \epsilon \dot{s}_{\beta(1)} \cdots \dot{s}_{\beta(r)}$$

(some function $\beta : \{1, \dots, r = \ell(\phi)\} \rightarrow \{0, \dots, d\}$, some $\epsilon \in Z$) of a power multiplicative element $\phi \in N(T)$, some power of which maps to a dominant coweight in $N(T)/ZT_0$. Put

$$C^{(ar+b)} = \phi^a s_{\beta(1)} \cdots s_{\beta(b)} C$$

for $a, b \in \mathbb{Z}_{\geq 0}$ with $0 \leq b < r$. Then, by power multiplicativity of ϕ , the sequence (4) thus defined satisfies property (5). Therefore we may use it to place ourselves into the setting (and notations) of [3], as follows.

We define the half tree Y whose edges are the N_0 -orbits of the $C^{(i)} \cap C^{(i+1)}$ and whose vertices are the N_0 -orbits of the $C^{(i)}$. We choose an isomorphism $\Theta : Y \cong \mathfrak{X}_+$ with the $[\mathfrak{N}_0, \varphi, \Gamma]$ -equivariant half sub tree \mathfrak{X}_+ of the Bruhat Tits tree of $\mathrm{GL}_2(\mathbb{Q}_p)$, satisfying the requirements of Theorem 3.1 of loc.cit.. It sends the edge $C^{(i)} \cap C^{(i+1)}$ (resp. the vertex $C^{(i)}$) of Y to the edge \mathfrak{e}_{i+1} (resp. the vertex \mathfrak{v}_i) of \mathfrak{X}_+ . The half tree $\overline{\mathfrak{X}}_+$ is obtained from \mathfrak{X}_+ by removing the 'loose' edge \mathfrak{e}_0 .

To an $\mathcal{H}(G, I_0)$ -module M we associate the G -equivariant (partial) coefficient system \mathcal{V}_M^X on X . Briefly, its value at the chamber C is $\mathcal{V}_M^X(C) = M$. The transition maps $\mathcal{V}_M^X(D) \rightarrow \mathcal{V}_M^X(F)$ for chambers (codimension-0-facets) D and codimension-1-facets F with $D \subset F$ are injective, and $\mathcal{V}_M^X(F)$ for any such F is the sum of the images of the $\mathcal{V}_M^X(D) \rightarrow \mathcal{V}_M^X(F)$ for all D with $D \subset F$.

The pushforward $\Theta_* \mathcal{V}_M$ of the restriction of \mathcal{V}_M^X to Y carries a natural $[\mathfrak{N}_0, \varphi^r, \Gamma_0]$ -action. Taking global sections, dualizing and tensoring with $\mathcal{O}_{\mathcal{E}}$ leads to the exact functor

$$(8) \quad M \mapsto \mathbf{D}(\Theta_* \mathcal{V}_M)$$

from $\mathrm{Mod}^{\mathrm{fin}}(\mathcal{H}(G, I_0))$ to the category of (φ^r, Γ_0) -modules over $\mathcal{O}_{\mathcal{E}}$, where $r = \ell(\Phi)$. If in addition we are given a homomorphism $\tau : \mathbb{Z}_p^\times \rightarrow T_0$ satisfying the conclusions of Lemma 3.1 (with respect to ϕ), then this functor in fact takes values in the category of (φ^r, Γ) -modules over $\mathcal{O}_{\mathcal{E}}$.

For $0 \leq i \leq r-1$ we put

$$y_i = \dot{s}_{\beta(1)} \cdots \dot{s}_{\beta(i+1)} \dot{s}_{\beta(i)}^{-1} \cdots \dot{s}_{\beta(1)}^{-1}.$$

Lemma 3.2. (a) For any $0 \leq i \leq r-1$ we have $y_i = y_{i-1} \cdots y_0 \dot{s}_{\beta(i+1)} y_0 \cdots y_{i-1}$. We have $\phi = \epsilon y_{r-1} \cdots y_0$.

(b) For any $0 \leq i \leq r-1$ we have: y_i is the affine reflection in the wall passing through $C^{(i)} \cap C^{(i+1)}$.

PROOF: To see (b) observe that y_i indeed is a reflection, and that it sends $C^{(i)}$ to $C^{(i+1)}$. \square

Notations: Let us introduce some more notations which will be employed uniformly in all the separate cases to be discussed.

For $\alpha \in \Phi$ we denote by α^\vee the associated coroot. For any $\alpha \in \Phi$ there is a corresponding homomorphism of algebraic groups $\iota_\alpha : \mathrm{SL}_2(\mathbb{Q}_p) \rightarrow G$ as described in [5], Ch.II, section 1.3. The element $\iota_\alpha(\nu)$ belongs to $I \cap N_\alpha$ and generates it as a topological group. For $x \in \mathbb{F}_p^\times \subset \mathbb{Z}_p^\times$ (via the Teichmüller character) we have $\alpha^\vee(x) = \iota_\alpha(h(x)) \in T$.

For a character $\lambda : \overline{T} \rightarrow k^\times$ let S_λ be the subset of S consisting of all s_i such that $\lambda(\alpha_i^\vee(x)) = 1$ for all $x \in \mathbb{F}_p^\times$. Given λ and a subset \mathcal{J} of S_λ there is a uniquely determined

character

$$\chi_{\lambda, \mathcal{J}} : \mathcal{H}(G, I_0)_{\text{aff}, k} \longrightarrow k$$

which sends T_t to $\lambda(t^{-1})$ for $t \in \overline{T}$, which sends T_s to 0 for $s \in S - \mathcal{J}$ and which sends T_s to -1 for $s \in \mathcal{J}$ (see [7] Proposition 2). Moreover, for $0 \leq i \leq d$ we define a number $0 \leq k_i = k_i(\lambda, \mathcal{J}) \leq p - 1$ such that

$$(9) \quad \lambda(\alpha_i^\vee(x)) = x^{k_i} \quad \text{for all } x \in \mathbb{F}_p^\times,$$

as follows. If $\lambda \circ \alpha_i^\vee$ is not the constant character $\mathbf{1}$ then k_i is already uniquely determined by formula (9). Next notice that $\lambda \circ \alpha_i^\vee = \mathbf{1}$ is equivalent with $s_i \in S_\lambda$. If $\lambda \circ \alpha_i^\vee = \mathbf{1}$ and $s_i \in \mathcal{J}$ we put $k_i = p - 1$, if $\lambda \circ \alpha_i^\vee = \mathbf{1}$ and $s_i \notin \mathcal{J}$ we put $k_i = 0$.

4 Classical matrix groups

For $m \in \mathbb{N}$ let $E_m \in \text{GL}_m$ denote the identity matrix and let E_d^* denotes the standard antidiagonal element in GL_d (i.e. the permutation matrix of maximal length). Let

$$\widehat{S}_m = \begin{pmatrix} & E_m \\ -E_m & \end{pmatrix}, \quad S_m = \begin{pmatrix} & E_m \\ E_m & \end{pmatrix}.$$

4.1 Affine root system \tilde{C}_d

Assume $d \geq 2$. Here W_{aff} is the Coxeter group with Coxeter generators s_0, s_1, \dots, s_d (thus $s_i^2 = 1$ for all i) and relations

$$(10) \quad (s_0 s_1)^4 = (s_{d-1} s_d)^4 = 1 \quad \text{and} \quad (s_{i-1} s_i)^3 = 1 \quad \text{for } 2 \leq i \leq d-1$$

and moreover $(s_i s_j)^2 = 1$ for all other pairs $i \neq j$. In the extended affine Weyl group \widehat{W} we find (cf. [4]) an element u of length 0 with

$$(11) \quad u^2 = 1 \quad \text{and} \quad u s_i u = s_{d-i} \quad \text{for } 0 \leq i \leq d.$$

(\widehat{W} is the semidirect product of its two-element subgroup $W_\Omega = \{1, u\}$ with W_{aff} .) Consider the general symplectic group

$$G = \text{GSp}_{2d}(\mathbb{Q}_p) = \{A \in \text{GL}_{2d}(\mathbb{Q}_p) \mid {}^T A \widehat{S}_d A = \kappa(A) \widehat{S}_d \text{ for some } \kappa(A) \in \mathbb{Q}_p^\times\}.$$

Let T denote the maximal torus consisting of all diagonal matrices in G . For $1 \leq i \leq d$ let

$$e_i : T \cap \text{SL}_{2d}(\mathbb{Q}_p) \longrightarrow \mathbb{Q}_p^\times, \quad A = \text{diag}(x_1, \dots, x_{2d}) \mapsto x_i.$$

For $1 \leq i, j \leq d$ and $\epsilon_1, \epsilon_2 \in \{\pm 1\}$ we thus obtain characters (using additive notation as usual) $\epsilon_1 e_i + \epsilon_2 e_j : T \cap \mathrm{SL}_{2d}(\mathbb{Q}_p) \longrightarrow \mathbb{Q}_p^\times$. We extend these latter ones to T by setting

$$\epsilon_1 e_i + \epsilon_2 e_j : T \longrightarrow \mathbb{Q}_p^\times, \quad A = \mathrm{diag}(x_1, \dots, x_{2d}) \mapsto x_i^{\epsilon_1} x_j^{\epsilon_2} \kappa(A)^{\frac{-\epsilon_1 - \epsilon_2}{2}}.$$

For $i = j$ and $\epsilon = \epsilon_1 = \epsilon_2$ we simply write $\epsilon 2e_i$. Then $\Phi = \{\pm e_i \pm e_j \mid i \neq j\} \cup \{\pm 2e_i\}$ is the root system of G with respect to T . It is of type C_d .

For $\alpha \in \Phi$ let N_α^0 be the subgroup of the corresponding root subgroup N_α of G all of which elements belong to $\mathrm{GL}_{2d}(\mathbb{Z}_p)$.

We choose the positive system $\Phi^+ = \{e_i \pm e_j \mid i < j\} \cup \{2e_i \mid 1 \leq i \leq d\}$ with corresponding set of simple roots $\Delta = \{\alpha_1 = e_1 - e_2, \alpha_2 = e_2 - e_3, \dots, \alpha_{d-1} = e_{d-1} - e_d, \alpha_d = 2e_d\}$. The negative of the highest root is $\alpha_0 = -2e_1$. For $0 \leq i \leq d$ let $s_i = s_{\alpha_i}$ be the reflection corresponding to α_i .

Remark: For $0 \leq i \leq d$ we have the following explicit formula for $\alpha_i^\vee = (\alpha_i)^\vee$:

$$(12) \quad \alpha_i^\vee(x) = \begin{cases} \mathrm{diag}(x^{-1}, E_{d-1}, x, E_{d-1}) & : \quad i = 0 \\ \mathrm{diag}(E_{i-1}, x, x^{-1}, E_{d-i-1}, E_{i-1}, x^{-1}, x, E_{d-i-1}) & : \quad 1 \leq i \leq d-1 \\ \mathrm{diag}(E_{d-1}, x, E_{d-1}, x^{-1}) & : \quad i = d \end{cases}$$

Let I_0 denote the pro- p -Iwahori subgroup generated by the N_α^0 for all $\alpha \in \Phi^+$, by the $(N_\alpha^0)^p$ for all $\alpha \in \Phi^- = \Phi - \Phi^+$, and by the maximal pro- p -subgroup of T_0 . Let I denote the Iwahori subgroup of G containing I_0 . Let N_0 be the subgroup of G generated by all N_α^0 for $\alpha \in \Phi^+$.

For $1 \leq i \leq d-1$ define the block diagonal matrix

$$\dot{s}_i = \mathrm{diag}(E_{i-1}, \widehat{S}_1, E_{d-i-1}, E_{i-1}, \widehat{S}_1, E_{d-i-1})$$

and furthermore

$$\dot{s}_d = \begin{pmatrix} E_{d-1} & & & \\ & & & 1 \\ & & E_{d-1} & \\ & -1 & & \end{pmatrix}, \quad \dot{s}_0 = \begin{pmatrix} & & -p^{-1} & \\ & E_{d-1} & & \\ p & & & \\ & & & E_{d-1} \end{pmatrix}.$$

Then $\dot{s}_0, \dot{s}_1, \dots, \dot{s}_{d-1}, \dot{s}_d$ belong to G (in fact even to the symplectic group $\mathrm{Sp}_{2d}(\mathbb{Q}_p)$) and normalize T . Their images $s_0, s_1, \dots, s_{d-1}, s_d$ in $N(T)/ZT_0$ are Coxeter generators of $W_{\mathrm{aff}} \subset N(T)/ZT_0 = \widehat{W}$ satisfying the relations (10). Put

$$\dot{u} = \begin{pmatrix} & E_d^* \\ pE_d^* & \end{pmatrix}.$$

Then \dot{u} belongs to $N(T)$ and normalizes I and I_0 . The image u of \dot{u} in $N(T)/ZT_0$ satisfies the formulae (11). In $N(T)$ we consider the element

$$\phi = (p \cdot \text{id}) \dot{s}_d \dot{s}_{d-1} \cdots \dot{s}_1 \dot{s}_0.$$

We may rewrite this as $\phi = (p \cdot \text{id}) \dot{s}_{\beta(1)} \cdots \dot{s}_{\beta(d+1)}$ where we put $\beta(i) = d + 1 - i$ for $1 \leq i \leq d + 1$. For $a, b \in \mathbb{Z}_{\geq 0}$ with $0 \leq b < d + 1$ we put

$$C^{(a(d+1)+b)} = \phi^a s_d \cdots s_{d-b+1} C = \phi^a s_{\beta(1)} \cdots s_{\beta(b)} C.$$

Define the homomorphism

$$\tau : \mathbb{Z}_p^\times \longrightarrow T_0, \quad x \mapsto \text{diag}(xE_d, E_d).$$

Lemma 4.1. *We have $\phi^d \in T$ and $\phi^d N_0 \phi^{-d} \subset N_0$. The sequence $C = C^{(0)}, C^{(1)}, C^{(2)}, \dots$ satisfies hypothesis (5). In particular we may define $\alpha^{(j)} \in \Phi^+$ for all $j \geq 0$.*

(b) *For all $j \geq 0$ we have $\alpha^{(j)} \circ \tau = \text{id}_{\mathbb{Z}_p^\times}$.*

(c) *We have $\tau(a)\phi = \phi\tau(a)$ for all $a \in \mathbb{Z}_p^\times$.*

PROOF: (a) A matrix computation shows $\phi^d = \text{diag}(p^{d+1}E_d, p^{d-1}E_d) \in T$. Using this we find

$$\begin{aligned} \phi^d N_0 \phi^{-d} &= \prod_{\alpha \in \Phi^+} \phi^d (N_0 \cap N_\alpha) \phi^{-d} = \prod_{\alpha \in \Phi^+} (N_0 \cap N_\alpha)^{p^{m_\alpha}}, \\ m_\alpha &= \begin{cases} 2 & : & \alpha = e_i + e_j \text{ with } 1 \leq i < j \leq d \\ 2 & : & \alpha = 2e_i \text{ with } 1 \leq i \leq d \\ 0 & : & \text{all other } \alpha \in \Phi^+ \end{cases} \end{aligned}$$

In particular we find $\phi^d N_0 \phi^{-d} \subset N_0$ and $[N_0 : \phi^d N_0 \phi^{-d}] = p^{d(d+1)}$. This implies that the length of $\phi^m \in \widehat{W}$ is at least $(d+1)m$, for all $m \geq 0$. On the other hand this length is at most $(d+1)m$ because the image of ϕ in \widehat{W} is a product of $d+1$ Coxeter generators. Thus ϕ^m has length $(d+1)m$ and ϕ is power multiplicative. We also see from this that

$$[N_0 : \phi^d N_0 \phi^{-d}] = [N_0 : (N_0 \cap \phi^d N_0 \phi^{-d})] = [I_0 : (I_0 \cap \phi^d I_0 \phi^{-d})]$$

(because $[I_0 : (I_0 \cap \phi^d I_0 \phi^{-d})]$ is the length of ϕ^d , as ϕ is power multiplicative). We get $I_0 = N_0 \cdot (I_0 \cap \phi^d I_0 \phi^{-d})$ and that hypothesis (5) holds true.

(b) As $\phi^d \in T$ we have $\{\alpha^{(j)} \mid j \geq 0\} = \{\alpha \in \Phi^+ \mid m_\alpha \neq 0\}$. This implies (b).

(c) Another matrix computation. □

As explained in subsection 3.2 we now obtain a functor $M \mapsto \mathbf{D}(\Theta_* \mathcal{V}_M)$ from $\text{Mod}^{\text{fin}}(\mathcal{H}(G, I_0))$ to the category of (φ^{d+1}, Γ) -modules over $\mathcal{O}_{\mathcal{E}}$. As in explained in [3], to compute it we

need to understand the intermediate objects $H_0(\overline{\mathfrak{X}}_+, \Theta_* \mathcal{V}_M)$, acted on by $[\mathfrak{N}_0, \varphi^{d+1}, \Gamma]$.

Let $\mathcal{H}(G, I_0)'_{\text{aff}, k}$ denote the k -sub algebra of $\mathcal{H}(G, I_0)_k$ generated by $\mathcal{H}(G, I_0)_{\text{aff}, k}$ together with $T_{p \cdot \text{id}} = T_{\dot{u}^2}$ and $T_{p \cdot \text{id}}^{-1} = T_{p^{-1} \cdot \text{id}}$.

Suppose we are given a character $\lambda : \overline{T} \rightarrow k^\times$, a subset $\mathcal{J} \subset S_\lambda$ and some $b \in k^\times$. Define the numbers $0 \leq k_i = k_i(\lambda, \mathcal{J}) \leq p-1$ as in subsection 3.2. The character $\chi_{\lambda, \mathcal{J}}$ of $\mathcal{H}(G, I_0)_{\text{aff}, k}$ extends uniquely to a character

$$\chi_{\lambda, \mathcal{J}, b} : \mathcal{H}(G, I_0)'_{\text{aff}, k} \longrightarrow k$$

which sends $T_{p \cdot \text{id}}$ to b (see the proof of [7] Proposition 3). Define the $\mathcal{H}(G, I_0)_k$ -module

$$M = M[\lambda, \mathcal{J}, b] = \mathcal{H}(G, I_0)_k \otimes_{\mathcal{H}(G, I_0)'_{\text{aff}, k}} k.e$$

where $k.e$ denotes the one dimensional k -vector space on the basis element e , endowed with the action of $\mathcal{H}(G, I_0)'_{\text{aff}, k}$ by the character $\chi_{\lambda, \mathcal{J}, b}$. As a k -vector space, M has dimension 2, a k -basis is e, f where we write $e = 1 \otimes e$ and $f = T_{\dot{u}} \otimes e$.

Definition: We call an $\mathcal{H}(G, I_0)_k$ -module *quasi supersingular* if it is isomorphic with $M[\lambda, \mathcal{J}, b]$ for some λ, \mathcal{J}, b such that $k_i > 0$ for at least one i .

For $0 \leq j \leq d$ put $\tilde{j} = d - j$. Letting $\tilde{\beta} = (\cdot) \circ \beta$ we then have

$$\dot{u}\phi\dot{u}^{-1} = (p \cdot \text{id})\dot{s}_{\tilde{\beta}(1)} \cdots \dot{s}_{\tilde{\beta}(d+1)}.$$

Put $n_e = \sum_{i=0}^d k_{d-i} p^i = \sum_{i=0}^d k_{\beta(i+1)} p^i$ and $n_f = \sum_{i=0}^d k_i p^i = \sum_{i=0}^d k_{\tilde{\beta}(i+1)} p^i$. Put $\varrho = \prod_{i=0}^d (k_i!) = \prod_{i=0}^d (k_{\beta(i+1)}!) = \prod_{i=0}^d (k_{\tilde{\beta}(i+1)}!)$. Let $0 \leq s_e, s_f \leq p-2$ be such that $\lambda(\tau(x)) = x^{-s_e}$ and $\lambda(\dot{u}\tau(x)\dot{u}^{-1}) = x^{-s_f}$ for all $x \in \mathbb{F}_p^\times$.

Lemma 4.2. *The assignment $M[\lambda, \mathcal{J}, b] \mapsto (n_e, s_e, b\varrho^{-1})$ induces a bijection between the set of isomorphism classes of quasi supersingular $\mathcal{H}(G, I_0)_k$ -modules and $\mathfrak{S}_C(d+1)$.*

PROOF: We have $\prod_{i=0}^d \alpha_i^\vee(x) = 1$ for all $x \in \mathbb{F}_p^\times$ (as can be seen e.g. from formula (12)). This implies

$$(13) \quad \sum_{i=0}^d k_i \equiv n_e \equiv n_f \equiv 0 \pmod{p-1}.$$

One can deduce from [7] Proposition 3 that for two sets of data λ, \mathcal{J}, b and $\lambda', \mathcal{J}', b'$ the $\mathcal{H}(G, I_0)_k$ -modules $M[\lambda, \mathcal{J}, b]$ and $M[\lambda', \mathcal{J}', b']$ are isomorphic if and only if $b = b'$ and the pair (λ, \mathcal{J}) is conjugate with the pair (λ', \mathcal{J}') by means of a power of \dot{u} , i.e. by means of $\dot{u}^0 = 1$ or $\dot{u}^1 = \dot{u}$. Conjugating (λ, \mathcal{J}) by \dot{u} has the effect of substituting k_{d-i} with

k_i , for any i . The datum of the character λ is equivalent with the datum of s_e together with all the k_i taken modulo $(p-1)$ since the images of τ and all α_i^\vee together generate \overline{T} . Knowing the set \mathcal{J} is then equivalent with knowing the numbers k_i themselves (not just modulo $(p-1)$). Thus, our mapping is well defined and bijective. \square

Let $0 \leq j \leq d$ and recall the homomorphism $\iota_{\alpha_j} : \mathrm{SL}_2(\mathbb{Q}_p) \rightarrow G$. Let $t_j = \iota_{\alpha_j}([\nu]) - 1 \in k[[\iota_{\alpha_j} \mathfrak{N}_0]] \subset k[[N_0]]$. Let F_j denote the codimension-1-face of C contained in the (affine) reflection hyperplane (in $A \subset X$) for s_j .

Lemma 4.3. *In $\mathcal{V}_M^X(F_j)$ we have $t_j^{k_j} \dot{s}_j e = k_j! e$ and $t_j^{k_{d-j}} \dot{s}_j f = k_{d-j}! f$ for all $0 \leq j \leq d$.*

PROOF: This reduces to a computation in $\mathcal{V}_M^X(F_j)$, viewed as an $\mathrm{SL}_2(\mathbb{F}_p)$ -representation. Namely, the analog of Lemma 8.2 of [3] holds verbatim in the present context as well (compare with Proposition 5.1 of [3]); the computation thus follows from Lemma 2.5 in [3]. (Compare with the proof of Proposition 8.4 of [3].) Notice that as the Hecke operator T_t for $t \in \overline{T}$ acts on $k.e$ through $\lambda(t^{-1})$, it acts on $k.f = k.T_{\dot{u}}e$ through $\lambda(\dot{u}t^{-1}\dot{u}^{-1})$ (the same computation as in formula (18) below), and that formula (9) implies $\lambda(\dot{u}\alpha_j^\vee(x)\dot{u}^{-1}) = x^{k_{d-j}}$. \square

Lemma 4.4. *In $H_0(\overline{\mathfrak{X}}_+, \Theta_* \mathcal{V}_M)$ we have*

$$(14) \quad t^{n_e} \varphi^{d+1} e = \varrho b^{-1} e,$$

$$(15) \quad t^{n_f} \varphi^{d+1} f = \varrho b^{-1} f,$$

$$(16) \quad \gamma(x) e = x^{-s_e} e,$$

$$(17) \quad \gamma(x) f = x^{-s_f} f$$

for $x \in \mathbb{F}_p^\times$. The action of Γ_0 on $H_0(\overline{\mathfrak{X}}_+, \Theta_* \mathcal{V}_M)$ is trivial on the subspace M .

PROOF: We use the notations and the statements of Lemma 3.2, observing $\beta(i+1) = d-i$. For $0 \leq i \leq d$ we have $y_{i-1} \cdots y_0 F_{d-i} = C^{(i)} \cap C^{(i+1)}$ and $y_{i-1} \cdots y_0 C = C^{(i)}$. Thus $y_{i-1} \cdots y_0$ defines an isomorphism

$$\mathcal{V}_M^X(F_{d-i}) \cong \mathcal{V}_M^X(C^{(i)} \cap C^{(i+1)}) = \Theta_* \mathcal{V}_M(\mathfrak{v}_i),$$

restricting to an isomorphism $\mathcal{V}_M^X(C) \cong \mathcal{V}_M^X(C^{(i)}) = \Theta_* \mathcal{V}_M(\mathfrak{e}_i)$. Under this isomorphism, the action of t_{d-i} , resp. of \dot{s}_{d-i} , on $\mathcal{V}_M^X(F_{d-i})$ becomes the action of $[\nu]^{p^i} - 1$, resp. of y_i , on $\Theta_* \mathcal{V}_M(\mathfrak{v}_i)$. Now as we are in characteristic p we have $t^{p^i} = ([\nu] - 1)^{p^i} = [\nu]^{p^i} - 1$. Applying this to the element e , resp. f , of $\mathcal{V}_M^X(C) \subset \mathcal{V}_M^X(F_{d-i})$, Lemma 4.3 tells us

$$(t^{p^i})^{k_{d-i}} y_i \cdots y_0 e = k_{d-i}! y_{i-1} \cdots y_0 e \quad \text{resp.} \quad (t^{p^i})^{k_i} y_i \cdots y_0 f = k_i! y_{i-1} \cdots y_0 f.$$

We compose these formulae for all $0 \leq i \leq d$ and finally recall that the central element $p \cdot \text{id}$ acts on M through the Hecke operator $T_{p^{-1} \cdot \text{id}}$, i.e. by b^{-1} . We get formulae (15) and (14).

Next recall that the action of $\gamma(x)$ on $H_0(\overline{\mathfrak{X}}_+, \Theta_* \mathcal{V}_M)$ is given by that of $\tau(x) \in T$, i.e. by the Hecke operator $T_{\tau(x)^{-1}}$. We thus compute

$$\begin{aligned} \gamma(x)e &= T_{\tau(x)^{-1}}e = \lambda(\tau(x))e, \\ (18) \quad \gamma(x)f &= T_{\tau(x)^{-1}}T_{\dot{u}}e = T_{\dot{u}\tau(x)^{-1}}e = T_{\dot{u}}T_{\dot{u}\tau(x)^{-1}\dot{u}^{-1}}e = T_{\dot{u}}\lambda(\dot{u}\tau(x)\dot{u}^{-1})e = \lambda(\dot{u}\tau(x)\dot{u}^{-1})f \end{aligned}$$

and obtain formulae (16) and (17). \square

Corollary 4.5. *The étale (φ^{d+1}, Γ) -module $\mathbf{D}(\Theta_* \mathcal{V}_M)$ over $k_{\mathcal{E}}$ associated with $H_0(\overline{\mathfrak{X}}_+, \Theta_* \mathcal{V}_M)$ admits a $k_{\mathcal{E}}$ -basis g_e, g_f such that*

$$\begin{aligned} \varphi^{d+1}g_e &= b\varrho^{-1}t^{n_e+1-p^{d+1}}g_e \\ \varphi^{d+1}g_f &= b\varrho^{-1}t^{n_f+1-p^{d+1}}g_f \\ \gamma(x)g_e - x^{s_e}g_e &\in t \cdot k_{\mathcal{E}}^+ \cdot g_e \\ \gamma(x)g_f - x^{s_f}g_f &\in t \cdot k_{\mathcal{E}}^+ \cdot g_f. \end{aligned}$$

PROOF: This follows from Lemma 4.4 as explained in [3] Lemma 6.4. \square

Corollary 4.6. *The functor $M \mapsto \mathbf{D}(\Theta_* \mathcal{V}_M)$ induces a bijection between*

- (a) *the set of isomorphism classes of quasi supersingular $\mathcal{H}(G, I_0)_k$ -modules, and*
- (b) *the set of isomorphism classes of C -symmetric étale (φ^{d+1}, Γ) -modules over $k_{\mathcal{E}}$.*

PROOF: For $x \in \mathbb{F}_p^\times$ we have

$$\tau(x) \cdot \dot{u}\tau^{-1}(x)\dot{u}^{-1} = \text{diag}(xE_d, x^{-1}E_d) = \left(\sum_{i=0}^d (i+1)\alpha_i^\vee\right)(x)$$

in \overline{T} . Applying λ and observing $\sum_{i=0}^d k_i \equiv 0$ modulo $(p-1)$ we get

$$x^{s_f - s_e} = \lambda\left(\left(\sum_{i=0}^d (i+1)\alpha_i^\vee\right)(x)\right) = x^{\sum_{i=0}^d i k_i}$$

and hence $s_f - s_e \equiv \sum_{i=0}^d i k_i$ modulo $(p-1)$. Together with Corollary (4.5) we see that $\mathbf{D}(\Theta_* \mathcal{V}_M)$ is a C -symmetric étale (φ^{d+1}, Γ) -module over $k_{\mathcal{E}}$. Now we conclude with Lemmata 2.5 and 4.2. \square

Remark: Consider the subgroup $G' = \mathrm{Sp}_{2d}(\mathbb{Q}_p)$ of G . If we replace the above τ by $\tau : \mathbb{Z}_p^\times \longrightarrow T_0, x \mapsto \mathrm{diag}(xE_d, x^{-1}E_d)$ and if we replace the above ϕ by $\phi = \dot{s}_d \dot{s}_{d-1} \cdots \dot{s}_1 \dot{s}_0$ then everything in fact happens inside G' . We then have $\alpha^{(j)} \circ \tau = \mathrm{id}_{\mathbb{Z}_p^\times}^2$ for all $j \geq 0$. Let $\mathrm{Mod}_0^{\mathrm{fin}} \mathcal{H}(G', G' \cap I_0)$ denote the category of finite- \mathfrak{o} -length $\mathcal{H}(G', G' \cap I_0)$ -modules on which $\tau(-1)$ (i.e. $T_{\tau(-1)} = T_{\tau(-1)^{-1}}$) acts trivially. For $M \in \mathrm{Mod}_0^{\mathrm{fin}} \mathcal{H}(G', G' \cap I_0)$ we obtain an action of $[\mathfrak{N}_0, \varphi^{d+1}, \Gamma^2]$ on $H_0(\overline{\mathfrak{X}}_+, \Theta_* \mathcal{V}_M)$, where $\Gamma^2 = \{\gamma^2 \mid \gamma \in \Gamma\} \subset \Gamma$. Correspondingly, following [3] (as a slight variation from what we explained in subsection 3.2), we obtain a functor from $\mathrm{Mod}_0^{\mathrm{fin}} \mathcal{H}(G', G' \cap I_0)$ to the category of $(\varphi^{d+1}, \Gamma^2)$ -modules over $\mathcal{O}_{\mathcal{E}}$.

Remark: In the case $d = 2$ one may also work with $\phi = (p \cdot \mathrm{id}) \dot{s}_2 \dot{s}_1 \dot{s}_2 \dot{u}$. Its square is the square of the $\phi = (p \cdot \mathrm{id}) \dot{s}_2 \dot{s}_1 \dot{s}_0$ used above.

Remark: We discuss a choice of $(C^{(\bullet)}, \phi)$ with $\ell(\phi) = d$ (but leading only to (φ^d, Γ_0) -modules, not to (φ^d, Γ) -modules). In $N(T)$ we consider the element $\phi = \dot{s}_1 \dot{s}_2 \cdots \dot{s}_{d-1} \dot{s}_d \dot{u}$. For $a, b \in \mathbb{Z}_{\geq 0}$ with $0 \leq b < d$ put $C^{(ad+b)} = \phi^a s_1 \cdots s_b C$. A matrix computation shows $\phi^2 = \mathrm{diag}(p^2, pE_{d-1}, 1, pE_{d-1})$. Using this we find

$$\phi^2 N_0 \phi^{-2} = \prod_{\alpha \in \Phi^+} \phi^2 (N_0 \cap N_\alpha) \phi^{-2} = \prod_{\alpha \in \Phi^+} (N_0 \cap N_\alpha)^{p^{m_\alpha}},$$

$$m_\alpha = \begin{cases} 2 & : & \alpha = e_i + e_j \text{ with } i < j < d \\ 1 & : & \alpha = e_i + e_d \text{ with } i < d \\ 1 & : & \alpha = e_i - e_d \text{ with } i < d \\ 0 & : & \text{all other } \alpha \in \Phi^+ \end{cases}$$

In particular we find $\phi^2 N_0 \phi^{-2} \subset N_0$ and $[N_0 : \phi^2 N_0 \phi^{-2}] = p^{2d}$. This implies that the length of $\phi^m \in \widehat{W}$ is at least dm , for all $m \geq 0$. On the other hand this length is at most dm because ϕ is a product of d simple reflections and of an element of length 0. Thus ϕ^m has length dm . Therefore the sequence $C = C^{(0)}, C^{(1)}, C^{(2)}, \dots$ satisfies hypothesis (5).

4.2 Affine root system \tilde{B}_d

Assume $d \geq 3$. Here W_{aff} is the Coxeter group with Coxeter generators s_0, s_1, \dots, s_d (thus $s_i^2 = 1$ for all i) and relations

$$(19) \quad (s_d s_{d-1})^4 = 1 \quad \text{and} \quad (s_2 s_0)^3 = (s_{i-1} s_i)^3 = 1 \quad \text{for } 2 \leq i \leq d-1$$

and moreover $(s_i s_j)^2 = 1$ for all other pairs $i \neq j$. In the extended affine Weyl group \widehat{W} we find (cf. [4]) an element u of length 0 with

$$(20) \quad u^2 = 1 \quad \text{and} \quad u s_0 u = s_1 \quad \text{and} \quad u s_i u = s_i \quad \text{for } 2 \leq i \leq d.$$

(\widehat{W} is the semidirect product of its two-element subgroup $W_\Omega = \{1, u\}$ with W_{aff} .) Let

$$\tilde{O}_d = \begin{pmatrix} S_d & 0 \\ 0 & 1 \end{pmatrix} \in \text{GL}_{2d+1}(\mathbb{Q}_p)$$

and consider the special orthogonal group

$$G = \text{SO}_{2d+1}(\mathbb{Q}_p) = \{A \in \text{SL}_{2d+1}(\mathbb{Q}_p) \mid {}^T A \tilde{O}_d A = \tilde{O}_d\}.$$

Let T denote the maximal torus consisting of all diagonal matrices in G . For $1 \leq i \leq d$ let

$$e_i : T \longrightarrow \mathbb{Q}_p^\times, \quad \text{diag}(x_1, \dots, x_d, x_1^{-1}, \dots, x_d^{-1}, 1) \mapsto x_i.$$

Then (in *additive* notation) $\Phi = \{\pm e_i \pm e_j \mid i \neq j\} \cup \{\pm e_i\}$ is the root system of G with respect to T . It is of type B_d . For $\alpha \in \Phi$ let N_α^0 be the subgroup of the corresponding root subgroup N_α of G all of which elements belong to $\text{SL}_{2d+1}(\mathbb{Z}_p)$.

We choose the positive system $\Phi^+ = \{e_i \pm e_j \mid i < j\} \cup \{e_i \mid 1 \leq i \leq d\}$ with corresponding set of simple roots $\Delta = \{\alpha_1 = e_1 - e_2, \alpha_2 = e_2 - e_3, \dots, \alpha_{d-1} = e_{d-1} - e_d, \alpha_d = e_d\}$. The negative of the highest root is $\alpha_0 = -e_1 - e_2$. For $0 \leq i \leq d$ let $s_i = s_{\alpha_i}$ be the reflection corresponding to α_i .

Remark: For roots $\alpha \in \Phi$ of the form $\alpha = \pm e_i \pm e_j$ the homomorphism $\iota_\alpha : \text{SL}_2 \rightarrow \text{SO}_{2d+1}$ is injective. For roots $\alpha \in \Phi$ of the form $\alpha = \pm e_i$ the homomorphism $\iota_\alpha : \text{SL}_2 \rightarrow \text{SO}_{2d+1}$ induces an embedding $\text{PSL}_2 \rightarrow \text{SO}_{2d+1}$.

Remark: For $0 \leq i \leq d$ we have the following explicit formula for $\alpha_i^\vee = (\alpha_i)^\vee$:

$$(21) \quad \alpha_i^\vee(x) = \begin{cases} \text{diag}(x^{-1}, x^{-1}, E_{d-2}, x, x, E_{d-2}, 1) & : & i = 0 \\ \text{diag}(E_{i-1}, x, x^{-1}, E_{d-i-1}, E_{i-1}, x^{-1}, x, E_{d-i-1}, 1) & : & 1 \leq i \leq d-1 \\ \text{diag}(E_{d-1}, x^2, E_{d-1}, x^{-2}, 1) & : & i = d \end{cases}$$

Let I_0 denote the pro- p -Iwahori subgroup generated by the N_α^0 for all $\alpha \in \Phi^+$, by the $(N_\alpha^0)^p$ for all $\alpha \in \Phi^- = \Phi - \Phi^+$, and by the maximal pro- p -subgroup of T_0 . Let I denote the Iwahori subgroup of G containing I_0 . Let N_0 be the subgroup of G generated by all N_α^0 for $\alpha \in \Phi^+$.

For $1 \leq i \leq d-1$ define the block diagonal matrix

$$\dot{s}_i = \text{diag}(E_{i-1}, S_1, E_{d-i-1}, E_{i-1}, S_1, E_{d-i-1}, 1)$$

and furthermore

$$\dot{s}_d = \begin{pmatrix} E_{d-1} & & & & & \\ & & & & 1 & \\ & & & E_{d-1} & & \\ & & 1 & & & \\ & & & & & -1 \end{pmatrix}.$$

Define

$$\dot{u} = \begin{pmatrix} & & p^{-1} & \\ & E_{d-1} & & \\ p & & & \\ & & E_{d-1} & \\ & & & -1 \end{pmatrix}$$

and $\dot{s}_0 = \dot{u}\dot{s}_1\dot{u}$. Then $\dot{s}_0, \dot{s}_1, \dots, \dot{s}_{d-1}, \dot{s}_d$ belong to G and normalize T . Their images $s_0, s_1, \dots, s_{d-1}, s_d$ in $N(T)/T_0$ are Coxeter generators of $W_{\text{aff}} \subset N(T)/T_0$ satisfying the relations (19). The element \dot{u} of $N(T)$ normalizes I and I_0 . The image u of \dot{u} in $N(T)/T_0 = \widehat{W}$ satisfies the formulae (20). In $N(T)$ we consider the element

$$(22) \quad \phi = \dot{s}_1 \dot{s}_2 \cdots \dot{s}_{d-1} \dot{s}_d \dot{s}_{d-1} \cdots \dot{s}_2 \dot{s}_0.$$

We may rewrite this as $\phi = \dot{s}_{\beta(1)} \cdots \dot{s}_{\beta(2d-1)}$ where we put $\beta(i) = i$ for $1 \leq i \leq d$ and $\beta(i) = 2d - i$ for $d \leq i \leq 2d - 2$ and $\beta(2d - 1) = 0$. We put

$$C^{(a(2d-1)+b)} = \phi^a s_{\beta(1)} \cdots s_{\beta(b)} C$$

for $a, b \in \mathbb{Z}_{\geq 0}$ with $0 \leq b < 2d - 1$. Define the homomorphism

$$\tau : \mathbb{Z}_p^\times \longrightarrow T_0, \quad x \mapsto \text{diag}(x, E_{d-1}, x^{-1}, E_{d-1}, 1).$$

Lemma 4.7. *We have $\phi^2 \in T$ and $\phi^2 N_0 \phi^{-2} \subset N_0$. The sequence $C = C^{(0)}, C^{(1)}, C^{(2)}, \dots$ satisfies hypothesis (5). In particular we may define $\alpha^{(j)} \in \Phi^+$ for all $j \geq 0$.*

(b) *For any $j \geq 0$ we have $\alpha^{(j)} \circ \tau = \text{id}_{\mathbb{Z}_p^\times}$.*

(c) *We have $\tau(a)\phi = \phi\tau(a)$ for all $a \in \mathbb{Z}_p^\times$.*

PROOF: (a) A matrix computation shows $\phi^2 = \text{diag}(p^2, E_{d-1}, p^{-2}, E_{d-1}, 1)$. Using this we find

$$\phi^2 N_0 \phi^{-2} = \prod_{\alpha \in \Phi^+} \phi^2 (N_0 \cap N_\alpha) \phi^{-2} = \prod_{\alpha \in \Phi^+} (N_0 \cap N_\alpha)^{p^{m_\alpha}},$$

$$m_\alpha = \begin{cases} 2 & : & \alpha = e_1 - e_i \text{ with } 1 < i \\ 2 & : & \alpha = e_1 + e_i \text{ with } 1 < i \\ 2 & : & \alpha = e_1 \\ 0 & : & \text{all other } \alpha \in \Phi^+ \end{cases}$$

In particular we find $\phi^2 N_0 \phi^{-2} \subset N_0$ and $[N_0 : \phi^2 N_0 \phi^{-2}] = p^{2(2d-1)}$. This implies that the length of $\phi^m \in \widehat{W}$ is at least $(2d-1)m$, for all $m \geq 0$. On the other hand this length is at most $(2d-1)m$ because the image of ϕ in \widehat{W} is a product of $2d-1$ Coxeter generators. Thus ϕ^m has length $(2d-1)m$. We obtain that hypothesis (5) holds true, by the same reasoning as in Lemma 4.1.

(b) As $\phi^2 \in T$ we have $\{\alpha^{(j)} \mid j \geq 0\} = \{\alpha \in \Phi^+ \mid m_\alpha \neq 0\}$. This implies (b).

(c) Another matrix computation. \square

As explained in subsection 3.2 we now obtain a functor from $\text{Mod}^{\text{fin}}(\mathcal{H}(G, I_0))$ to the category of (φ^{2d-1}, Γ) -modules over $\mathcal{O}_{\mathcal{E}}$.

Suppose we are given a character $\lambda : \bar{T} \rightarrow k^\times$ and a subset $\mathcal{J} \subset S_\lambda$. Define the numbers $0 \leq k_i = k_i(\lambda, \mathcal{J}) \leq p-1$ as in subsection 3.2. Define the $\mathcal{H}(G, I_0)_k$ -module

$$M = M[\lambda, \mathcal{J}] = \mathcal{H}(G, I_0)_k \otimes_{\mathcal{H}(G, I_0)_{\text{aff}, k}} k.e$$

where $k.e$ denotes the one dimensional k -vector space on the basis element e , endowed with the action of $\mathcal{H}(G, I_0)_{\text{aff}, k}$ by the character $\chi_{\lambda, \mathcal{J}}$. As a k -vector space, M has dimension 2, a k -basis is e, f where we write $e = 1 \otimes e$ and $f = T_{\bar{u}} \otimes e$.

Definition: We call an $\mathcal{H}(G, I_0)_k$ -module *quasi supersingular* if it is isomorphic with $M[\lambda, \mathcal{J}]$ for some λ, \mathcal{J} such that $k_i > 0$ for at least one i .

For $2 \leq j \leq d$ we put $\tilde{j} = j$, furthermore we put $\tilde{0} = 1$ and $\tilde{1} = 0$. Letting $\tilde{\beta} = (\tilde{\cdot}) \circ \beta$ we then have

$$\dot{u}\phi\dot{u}^{-1} = \dot{s}_{\tilde{\beta}(1)} \cdots \dot{s}_{\tilde{\beta}(2d-1)}.$$

Put $n_e = \sum_{i=0}^{2d-2} k_{\beta(i+1)} p^i$ and $n_f = \sum_{i=0}^{2d-2} k_{\tilde{\beta}(i+1)} p^i$. Put $\varrho = k_0!k_1!k_d! \prod_{i=2}^{d-1} (k_i!)^2 = \prod_{i=0}^{2d-2} (k_{\beta(i+1)}!) = \prod_{i=0}^{2d-2} (k_{\tilde{\beta}(i+1)}!)$. Let $0 \leq s_e, s_f \leq p-2$ be such that $\lambda(\tau(x)) = x^{-s_e}$ and $\lambda(\dot{u}\tau(x)\dot{u}^{-1}) = x^{-s_f}$ for all $x \in \mathbb{F}_p^\times$.

Lemma 4.8. *The assignment $M[\lambda, \mathcal{J}] \mapsto (n_e, s_e)$ induces a bijection between the set of isomorphism classes of quasi supersingular $\mathcal{H}(G, I_0)_k$ -modules and $\mathfrak{S}_B(2d-1)$.*

PROOF: We have $\alpha_0^\vee(x)\alpha_1^\vee(x)\alpha_d^\vee(x) \prod_{i=2}^{d-1} (\alpha_i^\vee)^2(x) = 1$ for all $x \in \mathbb{F}_p^\times$ (as can be seen e.g. from formula (21)). This implies

$$(23) \quad k_0 + k_1 + k_d + 2 \sum_{i=2}^{d-1} k_i \equiv n_e \equiv n_f \equiv 0 \pmod{p-1}.$$

We further proceed exactly as in the proof of Lemma 4.2. \square

Lemma 4.9. *In $H_0(\overline{\mathfrak{X}}_+, \Theta_* \mathcal{V}_M)$ we have*

$$(24) \quad t^{n_e} \varphi^{2d-1} e = \varrho e,$$

$$(25) \quad t^{n_f} \varphi^{2d-1} f = \varrho f,$$

$$(26) \quad \gamma(x) e = x^{-s_e} e,$$

$$(27) \quad \gamma(x) f = x^{-s_f} f$$

for $x \in \mathbb{F}_p^\times$. The action of Γ_0 on $H_0(\overline{\mathfrak{X}}_+, \Theta_* \mathcal{V}_M)$ is trivial on the subspace M .

PROOF: As in Lemma 4.4. □

Corollary 4.10. *The étale (φ^{2d-1}, Γ) -module over $k_{\mathcal{E}}$ associated with $H_0(\overline{\mathfrak{X}}_+, \Theta_* \mathcal{V}_M)$ admits a $k_{\mathcal{E}}$ -basis g_e, g_f such that*

$$\varphi^{2d-1} g_e = \varrho^{-1} t^{n_e+1-p^{2d-1}} g_e$$

$$\varphi^{2d-1} g_f = \varrho^{-1} t^{n_f+1-p^{2d-1}} g_f$$

$$\gamma(x) g_e - x^{s_e} g_e \in t \cdot k_{\mathcal{E}}^+ \cdot g_e$$

$$\gamma(x) g_f - x^{s_f} g_f \in t \cdot k_{\mathcal{E}}^+ \cdot g_f.$$

PROOF: This follows from Lemma 4.9 as explained in [3] Lemma 6.4. □

Corollary 4.11. *The functor $M \mapsto \mathbf{D}(\Theta_* \mathcal{V}_M)$ induces a bijection between*

- (a) *the set of isomorphism classes of quasi supersingular $\mathcal{H}(G, I_0)_k$ -modules, and*
- (b) *the set of isomorphism classes of B -symmetric étale (φ^{2d-1}, Γ) -modules \mathbf{D} over $k_{\mathcal{E}}$.*

PROOF: For $x \in \mathbb{F}_p^\times$ we compute

$$\tau(x) \cdot \dot{u} \tau^{-1}(x) \dot{u}^{-1} = \text{diag}(x^2, E_{d-1}, x^{-2}, E_{d-1}, 1) = (\alpha_1^\vee - \alpha_0^\vee)(x)$$

in \overline{T} . Application of λ gives $x^{s_f-s_e} = x^{k_1-k_0}$ and hence $s_f - s_e \equiv k_1 - k_0 = k_{\beta(1)} - k_{\beta(2d-1)}$ modulo $(p-1)$. The required symmetry in the p -adic digits of n_e, n_f is due to the corresponding symmetry of the function β . Thus, $\mathbf{D}(\Theta_* \mathcal{V}_M)$ is a B -symmetric étale (φ^{2d-1}, Γ) -module. Now we conclude with Lemmata 4.8 and 2.5. □

Remark: We discuss a choice of $(C^{(\bullet)}, \phi)$ with $\ell(\phi) = d$ (but leading only to (φ^d, Γ_0) -modules, not to (φ^d, Γ) -modules). In $N(T)$ consider the element $\phi = \dot{s}_d \cdots \dot{s}_1 \dot{u}$. For $a, b \in \mathbb{Z}_{\geq 0}$ with $0 \leq b < d$ we put $C^{(ad+b)} = \phi^a s_d \cdots s_{d-b+1} C$. A matrix computation shows $\phi^d = \text{diag}(pE_d, p^{-1}E_d, 1)$. Using this we find

$$\phi^d N_0 \phi^{-d} = \prod_{\alpha \in \Phi^+} \phi^d (N_0 \cap N_\alpha) \phi^{-d} = \prod_{\alpha \in \Phi^+} (N_0 \cap N_\alpha)^{p^{m_\alpha}},$$

$$m_\alpha = \begin{cases} 2 & : \quad \alpha = e_i + e_j \text{ with } i < j \\ 1 & : \quad \alpha = e_i \\ 0 & : \quad \text{all other } \alpha \in \Phi^+ \end{cases}$$

In particular we find $\phi^d N_0 \phi^{-d} \subset N_0$ and $[N_0 : \phi^d N_0 \phi^{-d}] = p^{d^2}$. This implies that the length of $\phi^m \in \widehat{W}$ is at least dm , for all $m \geq 0$. On the other hand this length is at most dm because ϕ is a product of d simple reflections and of an element of length 0. Thus ϕ^m has length dm . Therefore the sequence $C = C^{(0)}, C^{(1)}, C^{(2)}, \dots$ satisfies hypothesis (5).

Remark: $\mathrm{SO}_{2d+1} \cong \mathrm{PGSpin}_{2d+1}$ is semisimple, of adjoint type. Like SO_{2d+1} also GSpin_{2d+1} has connected center; its derived group is isomorphic with Spin_{2d+1} , the simply connected double covering of SO_{2d+1} . Using the concrete description of GSpin_{2d+1} given e.g. in [1], section 2, it is straightforward to extend our constructions from SO_{2d+1} to GSpin_{2d+1} . (Like in our treatment of the group GSp_{2d} , the non trivial center of GSpin_{2d+1} allows us to twist our ϕ by a suitable central element — in this way, the action of the center on an \mathcal{H}_k -module defines a twist of the φ^{2d-1} -action on the corresponding (φ^{2d-1}, Γ) -module.)

4.3 Affine root system \tilde{D}_d

Assume $d \geq 4$. Here W_{aff} is the Coxeter group with Coxeter generators s_0, s_1, \dots, s_d (thus $s_i^2 = 1$ for all i) and relations

$$(28) \quad (s_{d-2}s_d)^3 = (s_2s_0)^3 = (s_{i-1}s_i)^3 = 1 \quad \text{for } 2 \leq i \leq d-1$$

and moreover $(s_i s_j)^2 = 1$ for all other pairs $i \neq j$. In the extended affine Weyl group \widehat{W} we find (cf. [4]) an element u of length 0 with

$$(29) \quad \begin{aligned} u^2 &= 1 & \text{and} & & us_0u &= s_1, & us_1u &= s_0, & us_{d-1}u &= s_d, & us_du &= s_{d-1} \\ & & & & us_iu &= s_i & \text{for } 2 \leq i \leq d-2. \end{aligned}$$

(\widehat{W} is the semidirect product of a four-element subgroup W_Ω with W_{aff} , in such a way that u is an element of order 2 in W_Ω .) Consider the general orthogonal group

$$\mathrm{GO}_{2d}(\mathbb{Q}_p) = \{A \in \mathrm{GL}_{2d}(\mathbb{Q}_p) \mid {}^T A S_d A = \kappa(A) S_d \text{ for some } \kappa(A) \in \mathbb{Q}_p^\times\}.$$

It contains the special orthogonal group

$$\mathrm{SO}_{2d}(\mathbb{Q}_p) = \{A \in \mathrm{SL}_{2d}(\mathbb{Q}_p) \mid {}^T A S_d A = S_d\}.$$

Let $G = \mathrm{GSO}_{2d}(\mathbb{Q}_p)$ be the connected component of $\mathrm{GO}_{2d}(\mathbb{Q}_p)$. It has connected center and is of index 2 in $\mathrm{GO}_{2d}(\mathbb{Q}_p)$. Explicitly, G is the subgroup generated by $\mathrm{SO}_{2d}(\mathbb{Q}_p)$ and by all $\mathrm{diag}(xE_d, E_d)$ with $x \in \mathbb{Q}_p^\times$.

Let T be the maximal torus consisting of all diagonal matrices in G . For $1 \leq i \leq d$ let

$$e_i : T \cap \mathrm{SL}_{2d}(\mathbb{Q}_p) \longrightarrow \mathbb{Q}_p^\times, \quad A = \mathrm{diag}(x_1, \dots, x_{2d}) \mapsto x_i.$$

For $1 \leq i, j \leq d$ and $\epsilon_1, \epsilon_2 \in \{\pm 1\}$ we thus obtain characters (using additive notation as usual) $\epsilon_1 e_i + \epsilon_2 e_j : T \cap \mathrm{SL}_{2d}(\mathbb{Q}_p) \longrightarrow \mathbb{Q}_p^\times$. We extend these latter ones to T by setting

$$\epsilon_1 e_i + \epsilon_2 e_j : T \longrightarrow \mathbb{Q}_p^\times, \quad A = \mathrm{diag}(x_1, \dots, x_{2d}) \mapsto x_i^{\epsilon_1} x_j^{\epsilon_2} \kappa(A)^{\frac{-\epsilon_1 - \epsilon_2}{2}}.$$

Then $\Phi = \{\pm e_i \pm e_j \mid i \neq j\}$ is the root system of G with respect to T . It is of type D_d .

For $\alpha \in \Phi$ let N_α^0 be the subgroup of the corresponding root subgroup N_α of G all of which elements belong to $\mathrm{SL}_{2d}(\mathbb{Z}_p)$.

Choose the positive system $\Phi^+ = \{e_i \pm e_j \mid i < j\}$ with corresponding set of simple roots $\Delta = \{\alpha_1 = e_1 - e_2, \alpha_2 = e_2 - e_3, \dots, \alpha_{d-1} = e_{d-1} - e_d, \alpha_d = e_{d-1} + e_d\}$. The negative of the highest root is $\alpha_0 = -e_1 - e_2$. For $0 \leq i \leq d$ let $s_i = s_{\alpha_i}$ be the reflection corresponding to α_i .

Remark: For $0 \leq i \leq d$ we have the following explicit formula for $\alpha_i^\vee = (\alpha_i)^\vee$:

$$(30) \quad \alpha_i^\vee(x) = \begin{cases} \mathrm{diag}(x^{-1}, x^{-1}, E_{d-2}, x, x, E_{d-2}) & : \quad i = 0 \\ \mathrm{diag}(E_{i-1}, x, x^{-1}, E_{d-i-1}, E_{i-1}, x^{-1}, x, E_{d-i-1}) & : \quad 1 \leq i \leq d-1 \\ \mathrm{diag}(E_{d-2}, x, x, E_{d-2}, x^{-1}, x^{-1}) & : \quad i = d \end{cases}$$

Let I_0 denote the pro- p -Iwahori subgroup generated by the N_α^0 for all $\alpha \in \Phi^+$, by the $(N_\alpha^0)^p$ for all $\alpha \in \Phi^- = \Phi - \Phi^+$, and by the maximal pro- p -subgroup of T_0 . Let I denote the Iwahori subgroup of G containing I_0 . Let N_0 be the subgroup of G generated by all N_α^0 for $\alpha \in \Phi^+$.

For $1 \leq i \leq d-1$ define the block diagonal matrix

$$\dot{s}_i = \mathrm{diag}(E_{i-1}, S_1, E_{d-i-1}, E_{i-1}, S_1, E_{d-i-1}) = \mathrm{diag}(E_{i-1}, S_1, E_{d-2}, S_1, E_{d-i-1}).$$

Put

$$\dot{u} = \begin{pmatrix} & & p^{-1} & & \\ & E_{d-2} & & & \\ & & & & 1 \\ p & & & & \\ & & & E_{d-2} & \\ & & 1 & & \end{pmatrix}$$

and $\dot{s}_0 = \dot{u} \dot{s}_1 \dot{u}$ and $\dot{s}_d = \dot{u} \dot{s}_{d-1} \dot{u}$. Then $\dot{s}_0, \dot{s}_1, \dots, \dot{s}_{d-1}, \dot{s}_d$ belong to G and normalize T . Their images $s_0, s_1, \dots, s_{d-1}, s_d$ in $N(T)/ZT_0$ are Coxeter generators of $W_{\mathrm{aff}} \subset$

$N(T)/ZT_0 = \widehat{W}$ satisfying the relations (28). The element \dot{u} of $N(T)$ normalizes I and I_0 . The image u of \dot{u} in $N(T)/ZT_0$ satisfies the formulae (29).

In $N(T)$ we consider the element

$$\begin{aligned}\phi &= (p \cdot \text{id}) \dot{s}_{d-1} \dot{s}_{d-2} \cdots \dot{s}_2 \dot{s}_1 \dot{s}_d \dot{s}_{d-2} \dot{s}_{d-3} \cdots \dot{s}_3 \dot{s}_2 \dot{s}_0 && \text{if } d \text{ is even,} \\ \phi &= (p^2 \cdot \text{id}) \dot{s}_{d-1} \dot{s}_{d-2} \cdots \dot{s}_2 \dot{s}_1 \dot{s}_d \dot{s}_{d-2} \dot{s}_{d-3} \cdots \dot{s}_3 \dot{s}_2 \dot{s}_0 && \text{if } d \text{ is odd.}\end{aligned}$$

We may rewrite this as $\phi = (p \cdot \text{id}) \dot{s}_{\beta(1)} \cdots \dot{s}_{\beta(2d-2)}$ if d is even, resp. $\phi = (p^2 \cdot \text{id}) \dot{s}_{\beta(1)} \cdots \dot{s}_{\beta(2d-2)}$ if d is odd, where $\beta(i) = d - i$ for $1 \leq i \leq d - 1$, $\beta(d) = d$, $\beta(i) = 2d - 1 - i$ for $d + 1 \leq i \leq 2d - 3$ and $\beta(2d - 2) = 0$. We put

$$C^{(a(2d-2)+b)} = \phi^a s_{\beta(1)} \cdots s_{\beta(b)} C$$

for $a, b \in \mathbb{Z}_{\geq 0}$ with $0 \leq b < 2d - 2$. Define the homomorphism

$$\tau : \mathbb{Z}_p^\times \longrightarrow T_0, \quad x \mapsto \text{diag}(xE_{d-1}, E_d, x).$$

Lemma 4.12. *We have $\phi^d \in T$ and $\phi^d N_0 \phi^{-d} \subset N_0$. The sequence $C = C^{(0)}, C^{(1)}, C^{(2)}, \dots$ satisfies hypothesis (5). In particular we may define $\alpha^{(j)} \in \Phi^+$ for all $j \geq 0$.*

- (b) *For any $j \geq 0$ we have $\alpha^{(j)} \circ \tau = \text{id}_{\mathbb{Z}_p^\times}$.*
- (c) *We have $\tau(a)\phi = \phi\tau(a)$ for all $a \in \mathbb{Z}_p^\times$.*

PROOF: (a) A matrix computation shows $\phi^d = \text{diag}(p^{2d+2}E_{d-1}, p^{2d-2}E_d, p^{2d+2})$ if d is even, and $\phi^d = \text{diag}(p^{4d+4}E_{d-1}, p^{4d-4}E_d, p^{4d+4})$ if d is odd. Using this we find

$$\phi^d N_0 \phi^{-d} = \prod_{\alpha \in \Phi^+} \phi^d (N_0 \cap N_\alpha) \phi^{-d} = \prod_{\alpha \in \Phi^+} (N_0 \cap N_\alpha)^{p^{m_\alpha}},$$

$$m_\alpha = \begin{cases} 4 & : & \alpha = e_i + e_j \text{ with } 1 \leq i < j < d \\ 4 & : & \alpha = e_i - e_d \text{ with } 1 \leq i < d \\ 0 & : & \text{all other } \alpha \in \Phi^+ \end{cases}$$

In particular we find $\phi^d N_0 \phi^{-d} \subset N_0$ and $[N_0 : \phi^d N_0 \phi^{-d}] = p^{2d(d-1)}$. This implies that the length of $\phi^m \in \widehat{W}$ is at least $2(d-1)m$, for all $m \geq 0$. On the other hand this length is at most $2(d-1)m$ because the image of ϕ in \widehat{W} is a product of $2d-2$ Coxeter generators and of an element of length 0. Thus ϕ^m has length $2(d-1)m$. We obtain that hypothesis (5) holds true, by the same reasoning as in Lemma 4.1.

(b) As $\phi^d \in T$ we have $\{\alpha^{(j)} \mid j \geq 0\} = \{\alpha \in \Phi^+ \mid m_\alpha \neq 0\}$. This implies (b).

(c) Another matrix computation. □

As explained in subsection 3.2 we now obtain a functor from $\text{Mod}^{\text{fin}}(\mathcal{H}(G, I_0))$ to the category of (φ^{2d-2}, Γ) -modules over $\mathcal{O}_{\mathcal{E}}$. Consider the elements

$$\dot{\omega} = \begin{pmatrix} & E_d^* \\ pE_d^* & \end{pmatrix}, \quad \dot{\rho} = \begin{pmatrix} & & E_{d-1}^* \\ p & & \\ & pE_{d-1}^* & \\ & & 1 \end{pmatrix}$$

of $\text{GO}_{2d}(\mathbb{Q}_p)$. They normalize T and satisfy

$$\begin{aligned} \dot{\omega}\dot{u} &= \dot{u}\dot{\omega}, \\ \dot{\omega}\dot{s}_i\dot{\omega}^{-1} &= \dot{s}_{d-i} \quad \text{for } 0 \leq i \leq d, \\ \dot{\rho}^2 &= p \cdot \dot{u}, \\ \dot{\rho}\dot{s}_i\dot{\rho}^{-1} &= \dot{s}_{d-i} \quad \text{for } 2 \leq i \leq d-2, \\ \dot{\rho}\dot{s}_{d-1}\dot{\rho}^{-1} &= \dot{s}_1, \quad \dot{\rho}\dot{s}_d\dot{\rho}^{-1} = \dot{s}_0, \quad \dot{\rho}\dot{s}_0\dot{\rho}^{-1} = \dot{s}_{d-1}, \quad \dot{\rho}\dot{s}_1\dot{\rho}^{-1} = \dot{s}_d. \end{aligned}$$

The element $\dot{\omega}$ belongs to G if and only if d is even. The element $\dot{\rho}$ belongs to G if and only if d is odd.

Let $\mathcal{H}(G, I_0)'_{\text{aff}, k}$ denote the k -sub algebra of $\mathcal{H}(G, I_0)_k$ generated by $\mathcal{H}(G, I_0)_{\text{aff}, k}$ together with $T_{p \cdot \text{id}} = T_{\dot{\omega}^2}$ and $T_{p \cdot \text{id}}^{-1} = T_{p^{-1} \cdot \text{id}}$ if d is even, resp. $T_{p^2 \cdot \text{id}} = T_{\dot{\rho}^4}$ and $T_{p^2 \cdot \text{id}}^{-1} = T_{p^{-2} \cdot \text{id}}$ if d is odd.

Suppose we are given a character $\lambda : \bar{T} \rightarrow k^\times$, a subset $\mathcal{J} \subset S_\lambda$ and some $b \in k^\times$. Define the numbers $0 \leq k_i = k_i(\lambda, \mathcal{J}) \leq p-1$ as in subsection 3.2. The character $\chi_{\lambda, \mathcal{J}}$ of $\mathcal{H}(G, I_0)_{\text{aff}, k}$ extends uniquely to a character

$$\chi_{\lambda, \mathcal{J}, b} : \mathcal{H}(G, I_0)'_{\text{aff}, k} \longrightarrow k$$

which sends $T_{p \cdot \text{id}}$ to b if d is even, resp. which sends $T_{p^2 \cdot \text{id}}$ to b if d odd (see the proof of [7] Proposition 3). We define the $\mathcal{H}(G, I_0)_k$ -module

$$M = M[\lambda, \mathcal{J}, b] = \mathcal{H}(G, I_0)_k \otimes_{\mathcal{H}(G, I_0)'_{\text{aff}, k}} k.e$$

where $k.e$ denotes the one dimensional k -vector space on the basis element e , endowed with the action of $\mathcal{H}(G, I_0)'_{\text{aff}, k}$ by the character $\chi_{\lambda, \mathcal{J}, b}$. As a k -vector space, M has dimension 4. A k -basis is e_0, e_1, f_0, f_1 where we write

$$\begin{aligned} e_0 &= 1 \otimes e, & f_0 &= T_{\dot{u}} \otimes e, & e_1 &= T_{\dot{\omega}} \otimes e, & f_1 &= T_{\dot{u}\dot{\omega}} \otimes e & \text{if } d \text{ is even,} \\ e_0 &= 1 \otimes e, & f_0 &= T_{\dot{u}} \otimes e, & e_1 &= T_{\dot{\rho}} \otimes e, & f_1 &= T_{\dot{u}\dot{\rho}} \otimes e & \text{if } d \text{ is odd.} \end{aligned}$$

Definition: We call an $\mathcal{H}(G, I_0)_k$ -module *quasi supersingular* if it is isomorphic with $M[\lambda, \mathcal{J}, b]$ for some λ, \mathcal{J}, b such that $k_i > 0$ for at least one i .

For $2 \leq j \leq d-2$ let $\widetilde{j} = j$, and furthermore let $\widetilde{d-1} = d$ and $\widetilde{d} = d-1$ and $\widetilde{1} = 0$ and $\widetilde{0} = 1$. Letting $\widetilde{\beta} = (\cdot) \circ \beta$ we then have

$$\dot{u}\phi\dot{u}^{-1} = (p^n \cdot \text{id})\dot{s}_{\widetilde{\beta}(1)} \cdots \dot{s}_{\widetilde{\beta}(2d-2)}$$

with $n = 1$ if d is even, but $n = 2$ if d is odd. If d is odd we consider in addition the following two maps γ and δ from $[1, 2d-2]$ to $[0, d]$. We put $\gamma(1) = 1, \gamma(d-1) = d, \gamma(d) = 0$ and $\gamma(2d-2) = d-1$. We put $\delta(1) = 0, \delta(d-1) = d-1, \delta(d) = 1$ and $\delta(2d-2) = d$. We put $\gamma(i) = \delta(i) = \beta(2d-2-i)$ for all $i \in [1, \dots, d-2] \cup [d+1, \dots, 2d-3]$. We then have

$$\dot{\varrho}\phi\dot{\varrho}^{-1} = (p^2 \cdot \text{id})\dot{s}_{\gamma(1)} \cdots \dot{s}_{\gamma(2d-2)}, \quad \dot{\varrho}^{-1}\phi\dot{\varrho} = (p^2 \cdot \text{id})\dot{s}_{\delta(1)} \cdots \dot{s}_{\delta(2d-2)}.$$

Put

$$\begin{aligned} n_{e_0} &= \sum_{i=0}^{2d-3} k_{\beta(i+1)} p^i, & n_{f_0} &= \sum_{i=0}^{2d-3} k_{\widetilde{\beta}(i+1)} p^i && \text{for any parity of } d, \\ n_{e_1} &= \sum_{i=0}^{2d-3} k_{\beta(2d-2-i)} p^i, & n_{f_1} &= \sum_{i=0}^{2d-3} k_{\widetilde{\beta}(2d-2-i)} p^i && \text{if } d \text{ is even,} \\ n_{e_1} &= \sum_{i=0}^{2d-3} k_{\gamma(i+1)} p^i, & n_{f_1} &= \sum_{i=0}^{2d-3} k_{\delta(i+1)} p^i && \text{if } d \text{ is odd.} \end{aligned}$$

Let $0 \leq s_{e_0}, s_{f_0}, s_{e_1}, s_{f_1} \leq p-2$ be such that for all $x \in \mathbb{F}_p^\times$ we have

$$\begin{aligned} \lambda(\tau(x)) &= x^{-s_{e_0}}, & \lambda(\dot{u}\tau(x)\dot{u}^{-1}) &= x^{-s_{f_0}} && \text{for any parity of } d, \\ \lambda(\dot{\omega}\tau(x)\dot{\omega}^{-1}) &= x^{-s_{e_1}}, & \lambda(\dot{\omega}\dot{u}\tau(x)\dot{u}^{-1}\dot{\omega}^{-1}) &= x^{-s_{f_1}} && \text{if } d \text{ is even,} \\ \lambda(\dot{\rho}\tau(x)\dot{\rho}^{-1}) &= x^{-s_{e_1}}, & \lambda(\dot{\rho}\dot{u}\tau(x)\dot{u}^{-1}\dot{\rho}^{-1}) &= x^{-s_{f_1}} && \text{if } d \text{ is odd.} \end{aligned}$$

Put $\varrho = k_0!k_1!k_{d-1}!k_d! \prod_{i=2}^{d-2} (k_i!)^2 = \prod_{i=0}^{2d-3} (k_{\beta(i+1)}!) = \prod_{i=0}^{2d-3} (k_{\widetilde{\beta}(i+1)}!)$.

Lemma 4.13. *The assignment $M[\lambda, \mathcal{J}, b] \mapsto (n_{e_0}, s_{e_0}, b\varrho^{-1})$ induces a bijection between the set of isomorphism classes of quasi supersingular $\mathcal{H}(G, I_0)_k$ -modules and $\mathfrak{S}_D(2d-2)$.*

PROOF: We have $\alpha_0^\vee(x)\alpha_1^\vee(x)\alpha_{d-1}^\vee(x)\alpha_d^\vee(x) \prod_{i=2}^{d-2} (\alpha_i^\vee)^2(x) = 1$ for all $x \in \mathbb{F}_p^\times$ (as can be seen e.g. from formula (30)). This implies

$$(31) \quad k_0 + k_1 + k_{d-1} + k_d + 2 \sum_{i=2}^{d-2} k_i \equiv n_{e_0} \equiv n_{f_0} \equiv n_{e_1} \equiv n_{f_1} \equiv 0 \pmod{p-1}.$$

It follows from [7] Proposition 3 that $M[\lambda, \mathcal{J}, b]$ and $M[\lambda', \mathcal{J}', b']$ are isomorphic if and only if $b = b'$ and the pair (λ, \mathcal{J}) is conjugate with the pair (λ', \mathcal{J}') by means of $\dot{u}^n \dot{\omega}^m$ for some $n, m \in \{0, 1\}$ (if d is even), resp. by means of $\dot{u}^n \dot{\rho}^m$ for some $n, m \in \{0, 1\}$ (if d is odd). Under the map $M[\lambda, \mathcal{J}, b] \mapsto (n_{e_0}, s_{e_0}, b\varrho^{-1})$, conjugation by \dot{u} corresponds to the permutation ι_0 of $\tilde{\mathfrak{S}}_D(2d-2)$, while conjugation by $\dot{\omega}$, resp. by $\dot{\rho}$, corresponds to the permutation ι_1 of $\tilde{\mathfrak{S}}_D(2d-2)$. We may thus proceed as in the proof of Lemma 4.2 to see that our mapping is well defined and bijective. \square

Lemma 4.14. *In $H_0(\bar{\mathfrak{X}}_+, \Theta_* \mathcal{V}_M)$ we have*

$$\begin{aligned} t^{n_{e_j}} \varphi^{2d-2} e_j &= \varrho b^{-1} e_j, \\ t^{n_{f_j}} \varphi^{2d-2} f_j &= \varrho b^{-1} f_j, \\ \gamma(x) e_j &= x^{-s_{e_j}} e_j, \\ \gamma(x) f_j &= x^{-s_{f_j}} f_j \end{aligned}$$

for $x \in \mathbb{F}_p^\times$ and $j = 0, 1$. The action of Γ_0 on $H_0(\bar{\mathfrak{X}}_+, \Theta_* \mathcal{V}_M)$ is trivial on the subspace M .

PROOF: As in Lemma 4.4. \square

Corollary 4.15. *The étale (φ^{2d-2}, Γ) -module over $k_{\mathcal{E}}$ associated with $H_0(\bar{\mathfrak{X}}_+, \Theta_* \mathcal{V}_M)$ admits a $k_{\mathcal{E}}$ -basis $g_{e_0}, g_{f_0}, g_{e_1}, g_{f_1}$ such that for both $j = 0$ and $j = 1$ we have*

$$\begin{aligned} \varphi^{2d-2} g_{e_j} &= b\varrho^{-1} t^{n_{e_j}+1-p^{2d-2}} g_{e_j} \\ \varphi^{2d-2} g_{f_j} &= b\varrho^{-1} t^{n_{f_j}+1-p^{2d-2}} g_{f_j} \\ \gamma(x)(g_{e_j}) - x^{s_{e_j}} g_{e_j} &\in t \cdot k_{\mathcal{E}}^+ \cdot g_{e_j} \\ \gamma(x)(g_{f_j}) - x^{s_{f_j}} g_{f_j} &\in t \cdot k_{\mathcal{E}}^+ \cdot g_{f_j} \end{aligned}$$

PROOF: This follows from Lemma 4.14 as explained in [3] Lemma 6.4. \square

Corollary 4.16. *The functor $M \mapsto \mathbf{D}(\Theta_* \mathcal{V}_M)$ induces a bijection between*

- (a) *the set of isomorphism classes of quasi supersingular $\mathcal{H}(G, I_0)_k$ -modules, and*
- (b) *the set of isomorphism classes of D -symmetric étale (φ^{2d-2}, Γ) -modules over $k_{\mathcal{E}}$.*

PROOF: We let $\mathbf{D}_{11} = \langle g_{e_0} \rangle$, $\mathbf{D}_{12} = \langle g_{f_0} \rangle$, $\mathbf{D}_{21} = \langle g_{e_1} \rangle$, $\mathbf{D}_{22} = \langle g_{f_1} \rangle$. Then $k_i(\mathbf{D}_{11}) = k_{\beta(i+1)}$ and $k_i(\mathbf{D}_{12}) = k_{\tilde{\beta}(i+1)}$; moreover $k_i(\mathbf{D}_{21}) = k_{\beta(2d-d-i)}$ and $k_i(\mathbf{D}_{22}) = k_{\tilde{\beta}(2d-d-i)}$ if d is even, but $k_i(\mathbf{D}_{21}) = k_{\gamma(i+1)}$ and $k_i(\mathbf{D}_{22}) = k_{\delta(i+1)}$ if d is odd.

For the condition on $s_{f_0} - s_{e_0} = s(\mathbf{D}_{12}) - s(\mathbf{D}_{11})$ we compute

$$\tau(x) \cdot \dot{u}\tau^{-1}(x)\dot{u}^{-1} = \text{diag}(x, E_{d-2}, x^{-1}, x^{-1}, E_{d-2}, x) = \left(\sum_{i=1}^{d-1} \alpha_i^\vee\right)(x),$$

hence application of λ gives $x^{s_{f_0} - s_{e_0}} = x^{\sum_{i=1}^{d-1} k_i}$ and hence $s_{f_0} - s_{e_0} \equiv \sum_{i=1}^{d-1} k_i = \sum_{i=0}^{d-2} k_i(\mathbf{D}_{11})$ modulo $(p-1)$. The condition on $s_{f_1} - s_{e_1} = s(\mathbf{D}_{22}) - s(\mathbf{D}_{21})$ in case d is even is exactly verified like the one for $s_{f_0} - s_{e_0}$ because $\dot{\omega}\tau(x)\dot{\omega}^{-1} \cdot \dot{\omega}\dot{u}\tau^{-1}(x)\dot{u}^{-1}\dot{\omega}^{-1} = \tau(x) \cdot \dot{u}\tau^{-1}(x)\dot{u}^{-1}$. In case d is odd the computation is

$$\dot{\rho}\tau(x)\dot{\rho}^{-1} \cdot \dot{\rho}\dot{u}\tau^{-1}(x)\dot{u}^{-1}\dot{\rho}^{-1} = \text{diag}(x, E_{d-2}, x, x^{-1}, E_{d-2}, x^{-1}) = (\alpha_d^\vee + \sum_{i=1}^{d-2} \alpha_i^\vee)(x),$$

hence $s_{f_1} - s_{e_1} \equiv k_d + \sum_{i=1}^{d-2} k_i = s(\mathbf{D}_{12}) - s(\mathbf{D}_{11}) + k_d - k_{d-1} = k_{d-1}(\mathbf{D}_{11}) - k_0(\mathbf{D}_{11}) + \sum_{i=0}^{d-2} k_i(\mathbf{D}_{11})$ modulo $(p-1)$.

To see the condition on $s_{e_1} - s_{e_0} = s(\mathbf{D}_{21}) - s(\mathbf{D}_{11})$ in case d is even we compute

$$(32) \quad \begin{aligned} \tau(x) \cdot \dot{\omega}\tau^{-1}(x)\dot{\omega}^{-1} &= \text{diag}(1, xE_{d-2}, 1, 1, x^{-1}E_{d-2}, 1) \\ &= \left(\frac{d-2}{2}\alpha_{d-1}^\vee + \frac{d-2}{2}\alpha_d^\vee + \sum_{i=2}^{d-2} (i-1)\alpha_i^\vee\right)(x), \end{aligned}$$

hence application of λ gives $x^{s_{e_1} - s_{e_0}} = x^{\frac{d-2}{2}k_{d-1} + \frac{d-2}{2}k_d + \sum_{i=2}^{d-2} (i-1)k_i}$ and hence $s_{e_1} - s_{e_0} \equiv \frac{d-2}{2}k_{d-1} + \frac{d-2}{2}k_d + \sum_{i=2}^{d-2} (i-1)k_i = \frac{d-2}{2}(k_{d-1}(\mathbf{D}_{11}) + k_0(\mathbf{D}_{11})) + \sum_{i=2}^{d-2} (i-1)k_{d-i-1}(\mathbf{D}_{11})$ modulo $(p-1)$. If however d is odd we compute

$$\begin{aligned} \tau(x) \cdot \dot{\rho}\tau^{-1}(x)\dot{\rho}^{-1} &= \text{diag}(1, xE_{d-2}, x^{-1}, 1, x^{-1}E_{d-2}, x) \\ &= \left(\frac{d-1}{2}\alpha_{d-1}^\vee + \frac{d-3}{2}\alpha_d^\vee + \sum_{i=2}^{d-2} (i-1)\alpha_i^\vee\right)(x), \end{aligned}$$

hence application of λ gives $x^{s_{e_1} - s_{e_0}} = x^{\frac{d-1}{2}k_{d-1} + \frac{d-3}{2}k_d + \sum_{i=2}^{d-2} (i-1)k_i}$ and hence $s_{e_1} - s_{e_0} \equiv \frac{d-1}{2}k_{d-1} + \frac{d-3}{2}k_d + \sum_{i=2}^{d-2} (i-1)k_i = \frac{d-3}{2}k_{\frac{r}{2}}(\mathbf{D}_{11}) + \frac{d-1}{2}k_0(\mathbf{D}_{11}) + \sum_{i=2}^{d-2} (i-1)k_{d-i-1}(\mathbf{D}_{11})$ modulo $(p-1)$. Now we conclude with Lemmata 4.13 and 2.6. \square

Remark: Consider the subgroup $G' = \text{SO}_{2d}(\mathbb{Q}_p)$ of G . If we replace the above τ by $\tau : \mathbb{Z}_p^\times \longrightarrow T_0$, $x \mapsto \text{diag}(xE_{d-1}, x^{-1}E_d, x)$, and if we replace the above ϕ by $\phi = \dot{s}_{d-1}\dot{s}_{d-2} \cdots \dot{s}_2\dot{s}_1\dot{s}_d\dot{s}_{d-2}\dot{s}_{d-3} \cdots \dot{s}_3\dot{s}_2\dot{s}_0$, then everything in fact happens inside G' , and there is no dichotomy between d even or odd. We then have $\alpha^{(j)} \circ \tau = \text{id}_{\mathbb{Z}_p^\times}^2$ for all $j \geq 0$. Let $\text{Mod}_0^{\text{fin}}\mathcal{H}(G', G' \cap I_0)$ denote the category of finite- \mathfrak{o} -length $\mathcal{H}(G', G' \cap I_0)$ -modules on which $\tau(-1)$ (i.e. $T_{\tau(-1)} = T_{\tau(-1)^{-1}}$) acts trivially. For $M \in \text{Mod}_0^{\text{fin}}\mathcal{H}(G', G' \cap I_0)$ we obtain

an action of $[\mathfrak{N}_0, \varphi^{2d-2}, \Gamma^2]$ on $H_0(\overline{\mathfrak{X}}_+, \Theta_* \mathcal{V}_M)$, where $\Gamma^2 = \{\gamma^2 \mid \gamma \in \Gamma\} \subset \Gamma$. Correspondingly, we obtain a functor from $\text{Mod}_0^{\text{fin}} \mathcal{H}(G', G' \cap I_0)$ to the category of $(\varphi^{2d-2}, \Gamma^2)$ -modules over $\mathcal{O}_{\mathcal{E}}$.

Remark: Instead of the element $\phi \in N(T)$ used above we might also work with the element $\dot{s}_{d-1} \cdots \dot{s}_2 \dot{s}_1 \dot{u}$ of length $d-1$ (or products of this with elements of $p^{\mathbb{Z}} \cdot \text{id}$), keeping the same $C^{(\bullet)}$. This results in a functor from $\mathcal{H}(G, I_0)$ -modules to (φ^{d-1}, Γ) -modules. Up to a factor in $p^{\mathbb{Z}} \cdot \text{id}$, the square of $\dot{s}_{d-1} \cdots \dot{s}_2 \dot{s}_1 \dot{u}$ is the element ϕ used above.

Remark: For the affine root system of type D_d there are three co minuscule fundamental coweights (cf. [2] chapter 8, par 7.3]). We leave it to the reader to work out $(C^{(\bullet)}, \phi)$ corresponding to the two other co minuscule fundamental coweights. (These ϕ 's will be longer.)

Remark: We discuss a choice of $(C^{(\bullet)}, \phi)$ with $\ell(\phi) = d$ (but leading only to (φ^d, Γ_0) -modules, not to (φ^d, Γ) -modules). In $N(T)$ we consider $\phi = \dot{s}_d \cdots \dot{s}_1 \dot{u}$. For $a, b \in \mathbb{Z}_{\geq 0}$ with $0 \leq b < d$ we put $C^{(ad+b)} = \phi^a s_d \cdots s_{d-b+1} C$. A matrix computation shows $\phi^{d-1} = \text{diag}(pE_{d-1}, 1, p^{-1}E_{d-1}, 1)$. Using this we find

$$\phi^{d-1} N_0 \phi^{1-d} = \prod_{\alpha \in \Phi^+} \phi^{d-1} (N_0 \cap N_{\alpha}) \phi^{1-d} = \prod_{\alpha \in \Phi^+} (N_0 \cap N_{\alpha})^{p^{m_{\alpha}}},$$

$$m_{\alpha} = \begin{cases} 2 & : & \alpha = e_i + e_j \text{ with } i < j < d \\ 1 & : & \alpha = e_i + e_d \\ 1 & : & \alpha = e_i - e_d \\ 0 & : & \text{all other } \alpha \in \Phi^+ \end{cases}$$

In particular we find $\phi^{d-1} N_0 \phi^{1-d} \subset N_0$ and $[N_0 : \phi^{d-1} N_0 \phi^{1-d}] = p^{d(d-1)}$. This implies that the length of $\phi^m \in \widehat{W}$ is at least dm , for all $m \geq 0$. On the other hand this length is at most dm because ϕ is a product of d simple reflections and of an element of length 0. Thus ϕ^m has length dm . Therefore the sequence $C = C^{(0)}, C^{(1)}, C^{(2)}, \dots$ satisfies hypothesis (5).

4.4 Affine root system \tilde{A}_d

Assume $d \geq 1$ and consider $G = \text{GL}_{d+1}(\mathbb{Q}_p)$. Let

$$\dot{u} = \begin{pmatrix} & E_d \\ p & \end{pmatrix}.$$

For $1 \leq i \leq d$ let

$$\dot{s}_i = \text{diag}(E_{i-1}, S_1, E_{d-i})$$

and let $\dot{s}_0 = \dot{u}\dot{s}_1\dot{u}^{-1}$. Let T be the maximal torus consisting of diagonal matrices. Let Φ^+ be such that $N = \prod_{\alpha \in \Phi^+} N_\alpha$ is the subgroup of upper triangular unipotent matrices. Let I_0 be the subgroup consisting of elements in $\mathrm{GL}_{d+1}(\mathbb{Z}_p)$ which are upper triangular modulo p . We put

$$\phi = (p \cdot \mathrm{id})\dot{s}_d \cdots \dot{s}_0 = (p \cdot \mathrm{id})\dot{s}_{\beta(1)} \cdots \dot{s}_{\beta(d+1)}$$

where $\beta(i) = d+1-i$ for $1 \leq i \leq d+1$. For $a, b \in \mathbb{Z}_{\geq 0}$ with $0 \leq b < d+1$ we put

$$C^{(a(d+1)+b)} = \phi^a s_d \cdots s_{d-b+1} C = \phi^a s_{\beta(1)} \cdots s_{\beta(b)} C.$$

We define the homomorphism

$$\tau : \mathbb{Z}_p^\times \longrightarrow T_0, \quad x \mapsto \mathrm{diag}(E_d, x^{-1}).$$

The sequence $C = C^{(0)}, C^{(1)}, C^{(2)}, \dots$ satisfies hypothesis (5). The corresponding $\alpha^{(j)} \in \Phi^+$ for $j \geq 0$ satisfy $\alpha^{(j)} \circ \tau = \mathrm{id}_{\mathbb{Z}_p^\times}$, and we have $\tau(a)\phi = \phi\tau(a)$ for all $a \in \mathbb{Z}_p^\times$. We thus obtain a functor from $\mathrm{Mod}^{\mathrm{fin}}(\mathcal{H}(G, I_0))$ to the category of (φ^{d+1}, Γ) -modules over $\mathcal{O}_{\mathcal{E}}$.

Let $\mathcal{H}(G, I_0)'_{\mathrm{aff}, k}$ denote the k -sub algebra of $\mathcal{H}(G, I_0)_k$ generated by $\mathcal{H}(G, I_0)_{\mathrm{aff}, k}$ together with $T_{p \cdot \mathrm{id}} = T_{\dot{u}^{d+1}}$ and $T_{p^{-1} \cdot \mathrm{id}} = T_{p^{-1} \cdot \mathrm{id}}$.

Suppose we are given a character $\lambda : \overline{T} \rightarrow k^\times$, a subset $\mathcal{J} \subset S_\lambda$ and some $b \in k^\times$. Define the numbers $0 \leq k_i = k_i(\lambda, \mathcal{J}) \leq p-1$ as in subsection 3.2. The character $\chi_{\lambda, \mathcal{J}}$ of $\mathcal{H}(G, I_0)_{\mathrm{aff}, k}$ extends uniquely to a character

$$\chi_{\lambda, \mathcal{J}, b} : \mathcal{H}(G, I_0)'_{\mathrm{aff}, k} \longrightarrow k$$

which sends $T_{p \cdot \mathrm{id}}$ to b (see the proof of [7] Proposition 3). Define the $\mathcal{H}(G, I_0)_k$ -module

$$M = M[\lambda, \mathcal{J}, b] = \mathcal{H}(G, I_0)_k \otimes_{\mathcal{H}(G, I_0)'_{\mathrm{aff}, k}} k.e$$

where $k.e$ denotes the one dimensional k -vector space on the basis element e , endowed with the action of $\mathcal{H}(G, I_0)'_{\mathrm{aff}, k}$ by the character $\chi_{\lambda, \mathcal{J}, b}$. As a k -vector space, M has dimension $d+1$, a k -basis is $\{e_i\}_{0 \leq i \leq d}$ where we write $e_i = T_{\dot{u}^{-i}} \otimes e$.

Definition: We call an $\mathcal{H}(G, I_0)_k$ -module *quasi supersingular* if it is isomorphic with $M[\lambda, \mathcal{J}, b]$ for some λ, \mathcal{J}, b such that $k_i > 0$ for at least one i .

For $0 \leq j \leq d$ put $n_{e_j} = \sum_{i=0}^d k_{j-i} p^i$ (reading $j-i$ as its representative modulo $(d+1)$ in $[0, d]$) and let s_{e_j} be such that $\lambda(\dot{u}^{-j} \tau(x) \dot{u}^j) = x^{-s_{e_j}}$. Put $\varrho = \lambda(-\mathrm{id}) \prod_{i=0}^d (k_i!)$.

Theorem 4.17. *The étale (φ^{d+1}, Γ) -module $\mathbf{D}(\Theta_* \mathcal{V}_M)$ over $k_{\mathcal{E}}$ associated with $H_0(\overline{\mathfrak{X}}_+, \Theta_* \mathcal{V}_M)$ admits a $k_{\mathcal{E}}$ -basis $\{g_{e_j}\}_{0 \leq j \leq d}$ such that for all j we have*

$$\begin{aligned} \varphi^{d+1} g_{e_j} &= b \varrho^{-1} t^{n_{e_j}+1-p^{d+1}} g_{e_j}, \\ \gamma(x) g_{e_j} - x^{s_{e_j}} g_{e_j} &\in t \cdot k_{\mathcal{E}}^+ \cdot g_{e_j}. \end{aligned}$$

The functor $M \mapsto \mathbf{D}(\Theta_* \mathcal{V}_M)$ induces a bijection between

- (a) the set of isomorphism classes of quasi supersingular $\mathcal{H}(G, I_0)_k$ -modules, and
- (b) the set of isomorphism classes of A -symmetric étale (φ^{d+1}, Γ) -modules over $k_{\mathcal{E}}$.

PROOF: For the formulae describing $\mathbf{D}(\Theta_* \mathcal{V}_M)$ one may proceed exactly as in the proof of Corollary 4.5. (The only tiny additional point to be observed is that the \dot{s}_i (in keeping with our choice in [3]) do not ly in the images of the ι_{α_i} ; this is accounted for by the sign factor $\lambda(-\text{id})$ in the definition of ϱ .) Alternatively, as our ϕ is the $(d+1)$ -st power of the ϕ considered in section 8 of [3], the computations of loc. cit. may be carried over.

To see that $\mathbf{D}(\Theta_* \mathcal{V}_M)$ is A -symmetric put $\mathbf{D}_j = \langle g_{e_j} \rangle$ for $0 \leq j \leq d$ and compare the above formulae with those defining A -symmetry; e.g. we find $s_{e_0} - s_{e_j} \equiv \sum_{i=1}^j k_i$ modulo $(p-1)$. The bijectivity statement is then verified as before. \square

Remark: Application of the functor of Lemma 2.2 to any one of the direct summands \mathbf{D}_j of an A -symmetric étale (φ^{d+1}, Γ) -module over $k_{\mathcal{E}}$ (i.e. of $\mathbf{D}(\Theta_* \mathcal{V}_M)$) yields an étale (φ, Γ) -module isomorphic with the one assigned to M in [3].

Remark: Consider the subgroup $G' = \text{SL}_{d+1}(\mathbb{Q}_p)$ of G . If we replace the above τ by $\tau : \mathbb{Z}_p^\times \longrightarrow T_0, x \mapsto \text{diag}(xE_d, x^{-d})$ and if we replace the above ϕ by $\phi = \dot{s}_d \dot{s}_{d-1} \cdots \dot{s}_1 \dot{s}_0$ then everything in fact happens inside G' . We then have $\alpha^{(j)} \circ \tau = \text{id}_{\mathbb{Z}_p^\times}^{d+1}$ for all $j \geq 0$. Let $\text{Mod}_0^{\text{fin}} \mathcal{H}(G', G' \cap I_0)$ denote the category of finite- \mathfrak{o} -length $\mathcal{H}(G', G' \cap I_0)$ -modules on which the xE_{d+1} (i.e. the $T_{x^{-1}E_{d+1}}$) for all $x \in \mathbb{Z}_p^\times$ with $x^{d+1} = 1$ act trivially. (Notice that $\tau(x) = xE_{d+1}$ for such x .) For $M \in \text{Mod}_0^{\text{fin}} \mathcal{H}(G', G' \cap I_0)$ we obtain an action of $[\mathfrak{N}_0, \varphi^{d+1}, \Gamma^{d+1}]$ on $H_0(\overline{\mathfrak{X}}_+, \Theta_* \mathcal{V}_M)$, where $\Gamma^{d+1} = \{\gamma^{d+1} \mid \gamma \in \Gamma\} \subset \Gamma$. Correspondingly, we obtain a functor from $\text{Mod}_0^{\text{fin}} \mathcal{H}(G', G' \cap I_0)$ to the category of $(\varphi^{d+1}, \Gamma^{d+1})$ -modules over $\mathcal{O}_{\mathcal{E}}$.

Remark: As all the fundamental coweights τ of T are co minuscule, each of them admits ϕ 's for which the pair (ϕ, τ) satisfies the properties asked for in Lemma 3.1. For example, let $1 \leq g \leq d$. For $\phi = \dot{s}_g \cdot \dot{s}_{g+1} \cdots \dot{s}_d \cdot \dot{u}$ as well as for $\phi = \dot{s}_g \cdot \dot{s}_{g-1} \cdots \dot{s}_1 \cdot \dot{u}^{-1}$ there is a unique minimal gallery from C to $\phi(C)$ which admits a ϕ -periodic continuation to a gallery (4), giving rise to a functor from $\text{Mod}^{\text{fin}}(\mathcal{H}(G, I_0))$ to the category of (φ^r, Γ) -modules over $\mathcal{O}_{\mathcal{E}}$, where $r = \ell(\phi)$.

5 Exceptional groups

Let G be the group of \mathbb{Q}_p -rational points of a \mathbb{Q}_p -split connected reductive group over \mathbb{Q}_p with connected center Z . Fix a maximal \mathbb{Q}_p -split torus T and define Φ , $N(T)$, W , \widehat{W} and W_{aff} as before.

5.1 Affine root system \tilde{E}_6

Assume that the root system Φ is of type E_6 . Following [2] (for the indexing) we then have Coxeter generators s_1, \dots, s_6 of W and s_0, \dots, s_6 of W_{aff} (thus $s_i^2 = 1$ for all i) such that

$$(s_1 s_3)^3 = (s_3 s_4)^3 = (s_4 s_5)^3 = (s_5 s_6)^3 = (s_4 s_2)^3 = (s_2 s_0)^3 = 1$$

and moreover $(s_i s_j)^2 = 1$ for all other pairs $i \neq j$. In the extended affine Weyl group \widehat{W} we find (cf. [4]) an element u of length 0 with

$$(33) \quad \begin{aligned} u^3 &= 1 & \text{and} & & u s_4 u^{-1} &= s_4, \\ u s_3 u^{-1} &= s_5, & u s_5 u^{-1} &= s_2, & u s_2 u^{-1} &= s_3, \\ u s_1 u^{-1} &= s_6, & u s_6 u^{-1} &= s_0, & u s_0 u^{-1} &= s_1. \end{aligned}$$

(Then \widehat{W} is the semidirect product of the three-element subgroup $W_\Omega = \{1, u, u^2\}$ with W_{aff} .) Let e_1, \dots, e_8 denote the standard basis of \mathbb{R}^8 . We use the standard inner product $\langle \cdot, \cdot \rangle$ on \mathbb{R}^8 to view both the root system Φ as well as its dual Φ^\vee as living inside \mathbb{R}^8 . We choose a positive system Φ^+ in Φ such that, as in [2], the simple roots are $\alpha_1 = \alpha_1^\vee = \frac{1}{2}(e_1 + e_8 - e_2 - e_3 - e_4 - e_5 - e_6 - e_7)$, $\alpha_2 = \alpha_2^\vee = e_2 + e_1$, $\alpha_3 = \alpha_3^\vee = e_2 - e_1$, $\alpha_4 = \alpha_4^\vee = e_3 - e_2$, $\alpha_5 = \alpha_5^\vee = e_4 - e_3$, $\alpha_6 = \alpha_6^\vee = e_5 - e_4$ while the negative of the highest root is $\alpha_0 = \alpha_0^\vee = \frac{1}{2}(e_6 + e_7 - e_1 - e_2 - e_3 - e_4 - e_5 - e_8)$. The set of positive roots is

$$\Phi_+ = \{e_j \pm e_i \mid 1 \leq i < j \leq 5\} \cup \left\{ \frac{1}{2}(-e_6 - e_7 + e_8 + \sum_{i=1}^5 (-1)^{\nu_i} e_i) \mid \sum_{i=1}^5 \nu_i \text{ even} \right\}.$$

We lift u and the s_i to elements \dot{u} and \dot{s}_i in $N(T)$. We then put

$$\phi = \dot{s}_2 \dot{s}_4 \dot{s}_3 \dot{s}_1 \dot{u}^{-1} \in N(T).$$

We define ∇ as in section 3.2.

Proposition 5.1. *There is a $\tau \in \nabla$ such that the pair (ϕ, τ) satisfies the hypotheses of Lemma 3.1. More precisely, ϕ is power multiplicative, and for the co minuscul fundamental (co)weight $\tau = \omega_1 = \frac{2}{3}(e_8 - e_7 - e_6) \in \nabla$ we have $\phi^{12} = \tau^3$ in W_{aff} .*

PROOF: (Here the symbol ω_1 in fact designates the *translation* by ω_1 , therefore we write $\omega_1^3 = \tau^3$ (rather than $3\omega_1$) for the three fold iterate of this translation.) Consider the set of affine root hyperplanes crossed by a minimal gallery from C to $\omega_1^3 C$. Assigning to each of these affine root hyperplanes its corresponding positive root in Φ^+ , each element of the subset

$$\Phi(\omega_1) = \left\{ \frac{1}{2}(-e_6 - e_7 + e_8 + \sum_{i=1}^5 (-1)^{\nu_i} e_i) \mid \sum_{i=1}^5 \nu_i \text{ even} \right\}$$

of Φ^+ is hit exactly three times, whereas no other element of Φ^+ is hit. In this way, the set $\Phi(\omega_1)$ characterizes ω_1^3 as an element of W_{aff} . In particular, the length of ω_1^3 is $3 \cdot 16 = 48$. It is now enough to verify that ϕ^{12} satisfies this characterization of ω_1^3 .

Alternatively, one may want to use a computer to verify $\phi^{12} = \omega_1^3$. See the appendix for how this can be done. \square

As explained in subsection 3.2 we now obtain a functor from $\text{Mod}^{\text{fin}}(\mathcal{H}(G, I_0))$ to the category of (φ^4, Γ) -modules over $\mathcal{O}_{\mathcal{E}}$.

Similarly, we may replace ϕ by its third power ϕ^3 which (in contrast to ϕ) is an element of W_{aff} (modulo T_0). It yields a functor from $\text{Mod}^{\text{fin}}(\mathcal{H}(G, I_0))$ to the category of (φ^{12}, Γ) -modules over $\mathcal{O}_{\mathcal{E}}$. As in our treatment of the cases C , B , D and A , this functor identifies the set of quasi supersingular $\mathcal{H}(G, I_0)_k$ -modules bijectively with a set of certain E -symmetric étale (φ^{12}, Γ) -modules over $k_{\mathcal{E}}$ of dimension 3. We leave the details to the reader.

Remarks: (a) Dual to the above choice of ϕ is the choice

$$(34) \quad \phi = \dot{s}_2 \dot{s}_4 \dot{s}_5 \dot{s}_6 \dot{u} \in N(T).$$

For this choice, Proposition 5.1 holds true verbatim the same way, but now with the co minuscule fundamental (co)weight $\tau = \omega_6 = \frac{1}{3}(3e_5 + e_8 - e_7 - e_6)$ with its corresponding subset (again containing 16 elements)

$$\Phi(\omega_6) = \left\{ \frac{1}{2}(e_5 - e_6 - e_7 + e_8 + \sum_{i=1}^4 (-1)^{\nu_i} e_i) \mid \sum_{i=1}^4 \nu_i \text{ even} \right\} \cup \{e_5 \pm e_i \mid 1 \leq i < 5\}$$

of Φ^+ . Again see the appendix.

(b) In either case, the multiplicities of the s_i in $\phi^3 \in W_{\text{aff}}$ are the coefficients of the α_i^{\vee} in

$$\alpha_0^{\vee} + \alpha_1^{\vee} + \alpha_6^{\vee} + 2\alpha_2^{\vee} + 2\alpha_3^{\vee} + 2\alpha_5^{\vee} + 3\alpha_4^{\vee} = 0.$$

5.2 Affine root system \tilde{E}_7

Assume that the root system Φ is of type E_7 . Following [2] we then have Coxeter generators s_1, \dots, s_7 of W and s_0, \dots, s_7 of W_{aff} (thus $s_i^2 = 1$ for all i) such that

$$(s_0 s_1)^3 = (s_1 s_3)^3 = (s_3 s_4)^3 = (s_4 s_5)^3 = (s_5 s_6)^3 = (s_6 s_7)^3 = (s_4 s_2)^3 = 1$$

and moreover $(s_i s_j)^2 = 1$ for all other pairs $i \neq j$. In the extended affine Weyl group \widehat{W} we find (cf. [4]) an element u of length 0 with

$$\begin{aligned} u^2 &= 1 & \text{and} & & u s_4 u &= s_4, & u s_2 u &= s_2, \\ u s_3 u &= s_5, & u s_6 u &= s_1, & u s_7 u &= s_0, \\ u s_5 u &= s_3, & u s_1 u &= s_6, & u s_0 u &= s_7. \end{aligned}$$

(\widehat{W} is the semidirect product of the two-element subgroup $W_\Omega = \{1, u\}$ with W_{aff} .) Let e_1, \dots, e_8 denote the standard basis of \mathbb{R}^8 . We use the standard inner product $\langle \cdot, \cdot \rangle$ on \mathbb{R}^8 to view both the root system Φ as well as its dual Φ^\vee as living inside \mathbb{R}^8 . We choose a positive system Φ^+ in Φ such that, as in [2], the simple roots are $\alpha_1 = \alpha_1^\vee = \frac{1}{2}(e_1 + e_8 - e_2 - e_3 - e_4 - e_5 - e_6 - e_7)$, $\alpha_2 = \alpha_2^\vee = e_2 + e_1$, $\alpha_3 = \alpha_3^\vee = e_2 - e_1$, $\alpha_4 = \alpha_4^\vee = e_3 - e_2$, $\alpha_5 = \alpha_5^\vee = e_4 - e_3$, $\alpha_6 = \alpha_6^\vee = e_5 - e_4$, $\alpha_7 = \alpha_7^\vee = e_6 - e_5$ while the negative of the highest root is $\alpha_0 = \alpha_0^\vee = e_7 - e_8$. The set of positive roots is

$$\Phi_+ = \{e_j \pm e_i \mid 1 \leq i < j \leq 6\} \cup \{e_8 - e_7\} \cup \left\{ \frac{1}{2}(e_8 - e_7 + \sum_{i=1}^6 (-1)^{\nu_i} e_i) \mid \sum_{i=1}^6 \nu_i \text{ odd} \right\}.$$

We lift u and the s_i to elements \dot{u} and \dot{s}_i in $N(T)$. We then put

$$\phi = \dot{s}_1 \dot{s}_3 \dot{s}_4 \dot{s}_2 \dot{s}_5 \dot{s}_4 \dot{s}_3 \dot{s}_1 \dot{s}_0 \dot{u} \in N(T).$$

We define ∇ as in section 3.2.

Proposition 5.2. *There is a $\tau \in \nabla$ such that the pair (ϕ, τ) satisfies the hypotheses of Lemma 3.1. More precisely, ϕ is power multiplicative, and for the co minuscule fundamental (co)weight $\tau = \omega_7 = e_6 + \frac{1}{2}(e_8 - e_7) \in \nabla$ we have $\phi^6 = \tau^2$ in W_{aff} .*

PROOF: Exactly the same as for Proposition 5.1. The corresponding subset in Φ^+ is

$$\Phi(\omega_7) = \left\{ \frac{1}{2}(e_6 + e_8 - e_7 + \sum_{i=1}^5 (-1)^{\nu_i} e_i) \mid \sum_{i=1}^5 \nu_i \text{ odd} \right\} \cup \{e_8 - e_7\} \cup \{e_6 \pm e_i \mid 1 \leq i < 6\}.$$

It contains exactly 27 elements, thus $\ell(\omega_7) = 27$. For a computer proof of $\phi^6 = \tau^2$ see the appendix. \square

As explained in subsection 3.2 we now obtain a functor from $\text{Mod}^{\text{fin}}(\mathcal{H}(G, I_0))$ to the category of (φ^9, Γ) -modules over $\mathcal{O}_{\mathcal{E}}$.

Similarly, we may replace ϕ by its square ϕ^2 which (in contrast to ϕ) is an element of W_{aff} (modulo T_0). It yields a functor from $\text{Mod}^{\text{fin}}(\mathcal{H}(G, I_0))$ to the category of (φ^{18}, Γ) -modules over $\mathcal{O}_{\mathcal{E}}$. Again this functor identifies the set of quasi supersingular $\mathcal{H}(G, I_0)_k$ -modules bijectively with a set of certain E -symmetric étale (φ^{18}, Γ) -modules over $k_{\mathcal{E}}$ of dimension 2. We leave the details to the reader.

Remark: The multiplicities of the s_i in $\phi^2 \in W_{\text{aff}}$ are the coefficients of the α_i^{\vee} in

$$\alpha_0^{\vee} + \alpha_7^{\vee} + 2(\alpha_1^{\vee} + \alpha_2^{\vee} + \alpha_6^{\vee}) + 3(\alpha_3^{\vee} + \alpha_5^{\vee}) + 4\alpha_4^{\vee} = 0.$$

5.3 Affine root systems $\tilde{G}_2, \tilde{F}_4, \tilde{E}_8$

If the underlying root system of G is G_2, F_4 or E_8 then co minuscule coweights do not exist, and there don't exist ϕ and τ satisfying the conclusions of Lemma 3.1.

One may nevertheless ask the following question: Is there a reduced expression (7) of a power multiplicative element $\phi \in N(T)$, some power of which maps to a dominant coweight in $N(T)/T_0 = \widehat{W}$, such that the corresponding functor $M \mapsto \mathbf{D}(\Theta_* \mathcal{V}_M)$ from $\text{Mod}^{\text{fin}}(\mathcal{H}(G, I_0))$ to (φ^r, Γ_0) -modules has the following property: for *any* M , the (φ^r, Γ_0) -module structure on $\mathbf{D}(\Theta_* \mathcal{V}_M)$ extends (possibly in several ways) to an (φ^r, Γ) -module structure ?

Let us say that such expressions (7) have the *extension property*. We consider the question for the supersingular characters M of $\mathcal{H}(G, I_0)_k$. Suppose we are given a character $\lambda : \overline{T} \rightarrow k^{\times}$ and a subset $\mathcal{J} \subset S_{\lambda}$, with corresponding numbers $0 \leq k_i = k_i(\lambda, \mathcal{J}) \leq p-1$, not all of them equal to 0 and not all of them equal to $p-1$. As we are in case \tilde{G}_2, \tilde{F}_4 or \tilde{E}_8 , we have $W_{\text{aff}} = \widehat{W}$ and hence $\mathcal{H}(G, I_0)_{\text{aff}, k} = \mathcal{H}(G, I_0)_k$, as follows e.g. from [7] Corollary 3. Let us write $M = M[\lambda, \mathcal{J}]$ for the one-dimensional $\mathcal{H}(G, I_0)_k$ -module on the basis element e given by $\chi_{\lambda, \mathcal{J}}$.

Notice that the group $N(T)/T_0 = W_{\text{aff}} = \widehat{W}$ is canonically independent on the chosen prime number p . Consider the unique equation

$$(35) \quad \sum_{i=0}^d m_i \alpha_i^{\vee} = 0$$

with minimally chosen positive coefficients $m_i \in \mathbb{N}$.

Lemma 5.3. *Given an expression (7), in order that the (φ^r, Γ_0) -module structure on $\mathbf{D}(\Theta_* \mathcal{V}_{M[\lambda, \mathcal{J}]})$ extends to a (φ^r, Γ) -module structure for any choice of (λ, \mathcal{J}) and for infinitely many primes p , a necessary condition is that r be a multiple of $\sum_{i=0}^d m_i$ and that s_j for each $0 \leq j \leq d$ shows up in (7) exactly with multiplicity $m_j r / (\sum_{i=0}^d m_i)$.*

PROOF: (Sketch) Put $n = \sum_{i=0}^{r-1} k_{\beta(i+1)} p^i$. As in the proof of Lemma 4.4 we see that

$$(36) \quad t^n \varphi^r e = \varrho e$$

in $H_0(\overline{\mathfrak{X}}_+, \Theta_* \mathcal{V}_M)$, for some $\varrho \in k^\times$. Notice that formula (36) completely characterizes the action of Γ_0 and of φ^r on $H_0(\overline{\mathfrak{X}}_+, \Theta_* \mathcal{V}_M)$ since we know that the action of Γ_0 respects the subspace M and acts trivially on it, and M generates $H_0(\overline{\mathfrak{X}}_+, \Theta_* \mathcal{V}_M)$ as a $k_{\mathcal{E}}^+[\varphi^r]$ -module.

We need to investigate if there is a homomorphism $\epsilon : \mathbb{F}_p^\times \longrightarrow \mathbb{F}_p^\times$ such that the action of $[\mathfrak{N}_0, \varphi^r, \Gamma_0]$ on $H_0(\overline{\mathfrak{X}}_+, \Theta_* \mathcal{V}_M)$ extends to an action by $[\mathfrak{N}_0, \varphi^r, \Gamma]$ in such a way that for all $x \in \mathbb{F}_p^\times$ the action of $\gamma(x) \in \Gamma$ satisfies

$$(37) \quad \gamma(x) \cdot e = \epsilon(x)e.$$

The formula (37) provides a well defined action of Γ on $k.e = M$, with trivial restriction to Γ_0 . An extension from $k.e = M$ to all of $H_0(\overline{\mathfrak{X}}_+, \Theta_* \mathcal{V}_M)$, if it exists, is necessarily uniquely determined, since e generates $H_0(\overline{\mathfrak{X}}_+, \Theta_* \mathcal{V}_M)$ as a $k_{\mathcal{E}}^+[\varphi^r] = k_{\mathcal{E}}^+[\phi]$ -module. More precisely, in order to extend it to all of $H_0(\overline{\mathfrak{X}}_+, \Theta_* \mathcal{V}_M)$ one must use the relations, in $k_{\mathcal{E}}^+[\phi, \Gamma]$, between $\gamma(x)$ and t and $\varphi^r = \phi$. Namely, given $m \geq 0$ and $c \geq 0$, if $\gamma(x)t^m\phi^c = \sum_{m'} \beta_{m'} t^{m'} \phi^c \gamma_{m'}$ in $k_{\mathcal{E}}^+[\phi, \Gamma]$ with certain $\beta_{m'} \in k$ and $\gamma_{m'} \in \Gamma$, then one must put

$$\gamma(x) \cdot (t^m \phi^c e) = \sum_{m'} \beta_{m'} t^{m'} \phi^c (\gamma_{m'} \cdot e)$$

and extend by linearity. In order to check if this yields a well defined action of Γ one must in particular check if this definition is compatible with formula (36). Thus one must do the following computation:

$$\gamma(x) \cdot t^n \phi e = x^n t^n \gamma(x) \cdot \phi e = x^n t^n \phi \gamma(x) \cdot e = x^n t^n \phi \epsilon(x) e = x^n \gamma(x) \cdot \varrho e.$$

Here the first equality follows from the fact that t^{n+1} annihilates ϕe (which it does because of formula (36)) together with the following

SUBLEMMA: (see [3]) *For all $k \geq 0$ we have $\gamma(x) \cdot t^k \gamma(x)^{-1} - x^k t^k \in t^{k+1} k_{\mathcal{E}}^+$.*

Thus, in order that the desired Γ -action on $H_0(\overline{\mathfrak{X}}_+, \Theta_* \mathcal{V}_M)$ exists we need to have $x^n = 1$ for all $x \in \mathbb{F}_p^\times$. Now

$$x^n = x^{\sum_{i=0}^{r-1} k_{\beta(i+1)} p^i} = x^{\sum_{i=0}^{r-1} k_{\beta(i+1)}} = \lambda \left(\prod_{i=0}^{r-1} \alpha_{\beta(i+1)}^\vee(x) \right).$$

Thus, since λ is arbitrary we need that $\prod_{i=0}^{r-1} \alpha_{\beta(i+1)}^\vee = \mathbf{1}$ in $\text{Hom}(\mathbb{F}_p^\times, \overline{T})$. In order that this be true for infinitely many primes p we exactly get our stated condition. \square

Remark: This discussion also shows that we have no problems with extending the (φ^r, Γ_0) -action to a (φ^r, Γ) -action for trivial λ . In particular, this applies to the category of modules over the Iwahori Hecke algebra $\mathcal{H}(G, I)_k$ (which is a direct summand of $\mathcal{H}(G, I_0)_k$).

(a) **Affine root system \tilde{G}_2**

Claim: No expression (7) has the extension property for infinitely many p .

We have the Coxeter generators s_1, s_2 of W and s_0, s_1, s_2 of $W_{\text{aff}} = \widehat{W}$ (thus $s_0^2 = s_1^2 = s_2^2 = 1$) such that

$$(s_1 s_2)^6 = (s_0 s_2)^3 = 1$$

and moreover $(s_0 s_1)^2 = 1$. The equation (35) reads

$$(38) \quad \alpha_0^\vee + \alpha_1^\vee + 2\alpha_2^\vee = 0.$$

In view of Lemma 5.3 we conclude from formula (38) that we would need to find a power multiplicative reduced expression of length $r \in 4\mathbb{N}$ in which the factors s_0, s_1, s_2 appear with multiplicities in exact proportions $1 : 1 : 2$. But it is easy to see that such expressions do not exist.

(b) **Affine root system \tilde{F}_4**

Claim: No expression (7) has the extension property for infinitely many p .

We have Coxeter generators s_1, \dots, s_4 of W and s_0, \dots, s_4 of $W_{\text{aff}} = \widehat{W}$ (thus $s_i^2 = 1$ for all i) such that

$$(s_2 s_3)^4 = (s_0 s_1)^3 = (s_1 s_2)^3 = (s_3 s_4)^3 = 1$$

and moreover $(s_i s_j)^2 = 1$ for all other $i \neq j$. The equation (35) reads

$$(39) \quad \alpha_0^\vee + \alpha_4^\vee + 2(\alpha_1^\vee + \alpha_3^\vee) + 3\alpha_2^\vee = 0.$$

Thus, in view of Lemma 5.3 we would need to find a power multiplicative reduced expression of length $r \in 9\mathbb{Z}$ in which the factors s_0, s_1, s_2, s_3, s_4 appear with multiplicities in exact proportions $1 : 2 : 3 : 2 : 1$. Such an expression does not exist. (By power multiplicativity we may assume that the desired expression represents a *translation*. As such it can be written as a linear combination with $\mathbb{Z}_{\geq 0}$ -coefficients of the fundamental weights. Inspecting the list of all reduced expressions for the translations by the fundamental weights — this list can easily be produced using *sage* — the claim can be verified without much pain.)

(c) **Affine root system \tilde{E}_8**

Extrapolating from the cases \tilde{G}_2 and \tilde{F}_4 one might expect that again the answer is negative. We have the Coxeter generator s_1, \dots, s_8 of W and s_0, \dots, s_8 of $W_{\text{aff}} = \widehat{W}$ (thus $s_i^2 = 1$ for all i) such that

$$(s_1 s_3)^3 = (s_3 s_4)^3 = (s_4 s_5)^3 = (s_5 s_6)^3 = (s_6 s_7)^3 = (s_7 s_8)^3 = (s_8 s_0)^3 = (s_4 s_2)^3 = 1$$

and moreover $(s_i s_j)^2 = 1$ for all other pairs $i \neq j$. The equation (35) reads

$$(40) \quad \alpha_0^\vee + 2(\alpha_1^\vee + \alpha_8^\vee) + 3(\alpha_2^\vee + \alpha_7^\vee) + 4(\alpha_3^\vee + \alpha_6^\vee) + 5\alpha_5^\vee + 6\alpha_4^\vee = 0.$$

From here one might try to proceed as in the case of \tilde{F}_4 ; but unfortunately, this time the combinatorics seem to become too involved to be tractable by hand.

6 Appendix

Verification of the statement $\phi^{12} = \omega_1^3$ in the proof of Proposition 5.1.

In the computer algebra system *sage*, the input

```
R=RootSystem(["E",6,1]).weight_lattice()
Lambda=R.fundamental_weights()
omega1=Lambda[1]-Lambda[0]
R.reduced_word_of_translation(3*omega1)
```

prompts the output

$$(41) \quad [0, 2, 4, 3, 5, 4, 2, 0, 6, 5, 4, 2, 3, 1, 4, 3, 5, 4, 2, 0, 6, 5, 4, 2, 3, 1, 4, 3, 5, 4, 2, 6, 5, 4, 3, 1].$$

By definition of the function *reduced_word_of_translation* this means $s_{i_1}^* \cdots s_{i_{48}}^* = \omega_1^3$, with the string $[i_1, \dots, i_{48}]$ as given by (41). Here $s_i^* = s_i$ for $1 \leq i \leq 6$, but s_0^* denotes the affine reflection in the outer face of Bourbaki's fundamental alcove A . Since we deviate from these conventions in that our s_0 is the affine reflection in the outer face of the *negative* $C = -A$ of A , we must modify the above string (41) as follows. First, writing $s_i^{**} = s_0^* s_i^* s_0^*$ for $0 \leq i \leq 6$, conjugating the factors in the previous word by s_0^* and commuting some of its factors where allowed, the above says $s_{j_1}^{**} \cdots s_{j_{48}}^{**} = \omega_1^3$ where the string $[j_1, \dots, j_{48}]$ is given by

$$(42) \quad [2, 4, 5, 6, 3, 4, 2, 0, 5, 4, 3, 1, 2, 4, 5, 6, 3, 4, 2, 0, 5, 4, 3, 1, 2, 4, 5, 6, 3, 4, 2, 0, 5, 4, 3, 1].$$

The s_i^{**} are precisely the reflections in the codimension 1 faces of $s_0^* A$. But $s_0^* A$ is a translate of C , and under this translation, the reflection $s_0^{**} = s_0^*$ corresponds to s_0 , whereas

for $1 \leq i \leq 6$ the reflection s_i^{**} corresponds to $w_0 s_i w_0$, where w_0 is the longest element of W . We have $w_0 s_i w_0 = s_i$ for $i \in \{0, 2, 4\}$, but $w_0 s_3 w_0 = s_5$ and $w_0 s_1 w_0 = s_6$. Thus, we obtain $s_{k_1} \cdots s_{k_{48}} = \omega_1^3$ where the string $[k_1, \dots, k_{48}]$ is obtained from the string (42) by keeping its entry values 0, 2 and 4, while exchanging the entry values 3 with 5 and 1 with 6. Using formulae (33) one checks that $s_{k_1} \cdots s_{k_{48}} = \phi^{12}$. \square

Verification of the statement $\phi^{12} = \omega_1^3$ for ϕ given by (34).

The argument is the same as in Proposition 5.1. The string returned by *sage* to
 $R=\text{RootSystem}(["E", 6, 1]).\text{weight_lattice}(), \text{Lambda}=R.\text{fundamental_weights}(),$
 $\text{omega6}=\text{Lambda}[6]-\text{Lambda}[0], R.\text{reduced_word_of_translation}(3*\text{omega6})$
reads

$$[0, 2, 4, 3, 1, 5, 4, 2, 0, 3, 4, 2, 5, 4, 3, 1, 6, 5, 4, 2, 0, 3, 4, 2, \\ 5, 4, 3, 1, 6, 5, 4, 2, 0, 3, 4, 2, 5, 4, 3, 1, 6, 5, 4, 2, 3, 4, 5, 6].$$

Verification of the statement $\phi^6 = \tau^2$ in the proof of Proposition 5.2.

The string returned by *sage* to
 $R=\text{RootSystem}(["E", 7, 1]).\text{weight_lattice}(), \text{Lambda}=R.\text{fundamental_weights}(),$
 $\text{omega7}=\text{Lambda}[7]-\text{Lambda}[0], R.\text{reduced_word_of_translation}(2*\text{omega7})$
reads

$$(43) \quad [0, 1, 3, 4, 2, 5, 4, 3, 1, 0, 6, 5, 4, 2, 3, 1, 4, 3, 5, 4, 2, 6, 5, 4, 3, 1, 0, \\ 7, 6, 5, 4, 2, 3, 1, 4, 3, 5, 4, 2, 6, 5, 4, 3, 1, 7, 6, 5, 4, 2, 3, 4, 5, 6, 7].$$

Now proceed as in Proposition 5.1. \square

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