

Differential Geometry II

Holomorphic Curves

Klaus Mohnke

July 16, 2020

Almost Complex Structures

Definition 101: Let (M, ω) be a symplectic manifold.

(i) An almost complex structure is **compatible** to ω if $g(\cdot, \cdot) := \omega(\cdot, J\cdot)$ is a Riemannian structure on M .

Almost Complex Structures

Definition 101: Let (M, ω) be a symplectic manifold.

(i) An almost complex structure is **compatible** to ω if $g(\cdot, \cdot) := \omega(\cdot, J\cdot)$ is a Riemannian structure on M .

(ii) ω is **taming** J if $\omega(X, JX) \geq c\|X\|_g^2$ for all $X \in TM$, for a constant $c > 0$ and a Riemannian metric g with injectivity radius uniformly bounded away from zero and sectional curvature uniformly bounded from above.

Almost Complex Structures

Definition 101: Let (M, ω) be a symplectic manifold.

(i) An almost complex structure is **compatible** to ω if $g(\cdot, \cdot) := \omega(\cdot, J\cdot)$ is a Riemannian structure on M .

(ii) ω is **taming** J if $\omega(X, JX) \geq c\|X\|_g^2$ for all $X \in TM$, for a constant $c > 0$ and a Riemannian metric g with injectivity radius uniformly bounded away from zero and sectional curvature uniformly bounded from above.

Theorem 103: (i) Let (M, ω) be a symplectic manifold. The space of compatible almost complex structures

$$\mathcal{T}(M, \omega) := \{J \mid J \text{ almost complex structure compatible with } \omega\}$$

is a non-empty contractible space.

(ii) Assume that on a open subset U there is an almost complex structure tamed by ω such that $M \setminus U$ is compact, then there is an almost complex structure on M which is tamed by ω .

Almost Complex Structures

Proof 2: The closedness $d\omega = 0$ plays no role in the proof.

1) $(V, \omega) \dots$ symplectic vector space

$g \dots$ scalar product

$$\exists! A \in \mathcal{L}(V) : g(v, w) = \omega(v, Aw) \quad \forall v, w \in V$$

claim : $A^* = -A$

pf : $g(Av, w) = \omega(Av, Aw) = \omega(Aw, Av) = \omega(-Aw, v) = g(v, (-A)w) \quad \square$

$\Rightarrow (-A^2) \in \mathcal{L}(V)$ symmetric & pos. definit

$\Rightarrow \sqrt{-A^2} \in \mathcal{L}(V)$ symmetric & pos. def.

$$J = -A^{-1} \sqrt{-A^2}$$

claim : (a) \tilde{g} defined via

$\tilde{g}(v, w) = \omega(v, Jw)$ is a scalar product

(b) $J^2 = -\text{id}_V$.

Almost Complex Structures

Pf. We show $A^{-1}\sqrt{-A^2} = \sqrt{-A^2}A^{-1}$: $-A^2$ is diagonalizable.

$$\text{Let } E_\lambda = \{v \in V \mid -A^2 v = \lambda v\}$$

$$A^{-1}(-A^2) = -A = (-A^2)A^{-1} \Rightarrow A^{-1}(E_\lambda) = E_\lambda$$

$$v \in E_\lambda \quad (A^2)(A^{-1}v) = A^{-1}(-A^2)v = \lambda(A^{-1}v)$$

(ant)function of $\sqrt{-A^2}$: $E_\lambda = \{v \in V \mid \sqrt{-A^2}v = \sqrt{\lambda}v\} \Rightarrow$ claim

$$\begin{aligned} \text{a) } \omega(v, J\omega) &= g(v, A^{-1}\omega) = g(v, -A^{-2}\sqrt{-A^2}\omega) = g(-\sqrt{-A^2}A^{-2}v, \omega) \\ &= g(-A^{-2}\sqrt{-A^2}v, \omega) = \omega(\omega, Jv) \Rightarrow \bar{g} \text{ is symmetric} \end{aligned}$$

$-A^2\sqrt{-A^2} = (\sqrt{-A^2})^3$ is symmetric with positive eigenvalues $\Rightarrow \bar{g}$ is positive definite

$$\text{b) } J^2 = A^2\sqrt{-A^2}A^{-1}\sqrt{-A^2} = A^{-2}(\sqrt{-A^2})^2 = A^{-2}(-A^2) = -\text{Id}$$

- 2) M manifold, $\omega \in \Omega^1(M)$ pairing non-degenerate
 g Riemannian metric (through partition of unity) $\Rightarrow A \in \text{Aut}(TM)$
 $\stackrel{(1)}{\Rightarrow} J \in \text{Aut}(TM)$ as required. \square

Chern Classes of ω

Remark: The existence of an almost complex structure provides an obstruction to the existence of a symplectic structure.

Chern Classes of ω

Remark: The existence of an almost complex structure provides an obstruction to the existence of a symplectic structure.

For example: An oriented closed 4-manifold admits an almost complex structure (inducing this orientation) if and only if there is an integer class $c \in H_{DR}^2(M)$ such that $\int u^*c$ for $u : \Sigma \rightarrow M$ is even if and only if u^*TM admits a spin structure (Σ a closed oriented surface) and

$$\int_M c^2 = 2\chi(M) + 3\sigma(M).$$

S^4 and $2k\mathbb{C}P^2 \# 2\ell\overline{\mathbb{C}P^2}$ do not admit almost complex structures for any orientation.

Chern Classes of ω

Remark: The existence of an almost complex structure provides an obstruction to the existence of a symplectic structure.

For example: An oriented closed 4-manifold admits an almost complex structure (inducing this orientation) if and only if there is an integer class $c \in H_{DR}^2(M)$ such that $\int u^*c$ for $u : \Sigma \rightarrow M$ is even if and only if u^*TM admits a spin structure (Σ a closed oriented surface) and

$$\int_M c^2 = 2\chi(M) + 3\sigma(M).$$

S^4 and $2k\mathbb{C}P^2 \# 2\ell\overline{\mathbb{C}P^2}$ do not admit almost complex structures for any orientation.

Definition 104: The **Chern classes** of a symplectic manifold (M, ω) are the Chern classes $c_k(TM, J)$ of an almost complex structure J which is compatible to ω .

Chern Classes of ω

Remark: The existence of an almost complex structure provides an obstruction to the existence of a symplectic structure.

For example: An oriented closed 4-manifold admits an almost complex structure (inducing this orientation) if and only if there is an integer class $c \in H_{DR}^2(M)$ such that $\int u^*c$ for $u : \Sigma \rightarrow M$ is even if and only if u^*TM admits a spin structure (Σ a closed oriented surface) and

$$\int_M c^2 = 2\chi(M) + 3\sigma(M).$$

S^4 and $2k\mathbb{C}P^2 \# 2\ell\overline{\mathbb{C}P^2}$ do not admit almost complex structures for any orientation.

Definition 104: The **Chern classes** of a symplectic manifold (M, ω) are the Chern classes $c_k(TM, J)$ of an almost complex structure J which is compatible to ω .

Remark: Since the space of such structures is connected, via the Chern-Weil construction we see that $c_k(M, \omega)$ is well-defined. i.e. does not depend on J .

Pseudoholomorphic Curves

Definition 105: A Riemann surface (Σ, j) is an oriented surface Σ equipped with an almost complex structure j .

Pseudoholomorphic Curves

Definition 105: A Riemann surface (Σ, j) is an oriented surface Σ equipped with an almost complex structure j .

Remark: We have seen that a Riemannian metric g defines such j (by counterclockwise rotation by $\pi/2$). j remains unchanged if g is replaced by $\lambda^2 g$, i.e. determined by the **conformal class** of g - and determining it.

Pseudoholomorphic Curves

Definition 105: A Riemann surface (Σ, j) is an oriented surface Σ equipped with an almost complex structure j .

Remark: We have seen that a Riemannian metric g defines such j (by counterclockwise rotation by $\pi/2$). j remains unchanged if g is replaced by $\lambda^2 g$, i.e. determined by the **conformal class** of g - and determining it.

j is also always integrable, thus given and determining a **complex structure** on Σ . (Σ, j) is thus called **complex curve**.

Pseudoholomorphic Curves

Definition 105: A Riemann surface (Σ, j) is an oriented surface Σ equipped with an almost complex structure j .

Remark: We have seen that a Riemannian metric g defines such j (by counterclockwise rotation by $\pi/2$). j remains unchanged if g is replaced by $\lambda^2 g$, i.e. determined by the **conformal class** of g - and determining it.

j is also always integrable, thus given and determining a **complex structure** on Σ . (Σ, j) is thus called **complex curve**.

Definition 106: Let (M, J) be an almost complex manifold. A **J -holomorphic** (or **pseudoholomorphic**) curve is a Riemann surface (Σ, j) together with a map $u : \Sigma \rightarrow M$ such that for all $z \in \Sigma$

$$d_z u \circ j_p z = J_{u(z)} \circ d_z u.$$

Pseudoholomorphic Curves

In complex coordinates, $z = x + iy$, this takes the form

$$\frac{\partial u}{\partial x}(z) + J(u(z)) \frac{\partial u}{\partial y}(z) = 0.$$

These are the **Cauchy-Riemann equations** (cp. with $M = \mathbb{C}$ and $J = i$).

Pseudoholomorphic Curves

In complex coordinates, $z = x + iy$, this takes the form

$$\frac{\partial u}{\partial x}(z) + J(u(z)) \frac{\partial u}{\partial y}(z) = 0.$$

These are the **Cauchy-Riemann equations** (cp. with $M = \mathbb{C}$ and $J = i$).

Proposition 107: Let h be a Hermitian metric on M , ω its Kähler form, $u : (\Sigma, j) \rightarrow (M, J)$ a J -holomorphic curve. Then $u^* \omega$ is compatible with the orientation of (Σ, j) wherever $d_z u \neq 0$.

Proof:
$$u^* \omega_{(z)} \left(\frac{\partial}{\partial x}, \frac{\partial}{\partial y} \right) = \omega_{(z)} \left(\frac{\partial u}{\partial x}, \frac{\partial u}{\partial y} \right) = \omega_{(z)} \left(-J(u(z)) \frac{\partial u}{\partial y}, \frac{\partial u}{\partial y} \right) = \left\| \frac{\partial u}{\partial y} \right\|_{g(u(z))}^2 \geq 0$$

& " \Leftarrow " $\Leftrightarrow \frac{\partial u}{\partial y} = 0 \Leftrightarrow d_z u = 0 \quad \square$

Exact Lagrangian Submanifolds

Let (M, ω) be a symplectic manifold with an exact symplectic form, i.e. $\omega = d\alpha$ for a one form $\alpha \in \Omega^1(M)$ (e.g. $(\mathbb{C}^n, \omega_{st})$). If $L \subset M$ is a Lagrangian submanifold, then $\alpha|_{\mathcal{T}L}$ defines a closed one form on L

Exact Lagrangian Submanifolds

Let (M, ω) be a symplectic manifold with an exact symplectic form, i.e. $\omega = d\alpha$ for a one form $\alpha \in \Omega^1(M)$ (e.g. $(\mathbb{C}^n, \omega_{st})$). If $L \subset M$ is a Lagrangian submanifold, then $\alpha|_{TL}$ defines a closed one form on L

Definition 108: The Lagrangian L is called **exact** if $\alpha|_{TL}$ is exact. i.e. there exists a smooth function $f : L \rightarrow \mathbb{R}$ such that $df = \alpha|_{TL}$.

Exact Lagrangian Submanifolds

Let (M, ω) be a symplectic manifold with an exact symplectic form, i.e. $\omega = d\alpha$ for a one form $\alpha \in \Omega^1(M)$ (e.g. $(\mathbb{C}^n, \omega_{st})$). If $L \subset M$ is a Lagrangian submanifold, then $\alpha|_{TL}$ defines a closed one form on L

Definition 108: The Lagrangian L is called **exact** if $\alpha|_{TL}$ is exact. i.e. there exists a smooth function $f : L \rightarrow \mathbb{R}$ such that $df = \alpha|_{TL}$.

Theorem 109 (Gromov): There exists no closed, exact Lagrangian submanifold in $(\mathbb{C}^n, \omega_{st})$.

Remark: This can be considered as a generalization of Jordan's Curve Theorem: $L \subset \mathbb{R}^2 \simeq \mathbb{C}$ closed regular curve.

$\Rightarrow \mathbb{C} \setminus L$ consists of two connected components, one is bounded;
denote it by $\Omega \subset \mathbb{R}^2$. $\partial\Omega = L \Rightarrow \int_L \alpha = \int_{\Omega} d\alpha = \int_{\Omega} \omega = \text{area}(\Omega) > 0$

If $\alpha = df$ for $f : L \rightarrow \mathbb{R}$ then $\int_L \alpha = \int_L df = \int_{\partial L} f = 0$ ∇

Exact Lagrangian Submanifolds

Proof: Gromov: $\exists u: \Delta \subset \mathbb{C} \rightarrow \mathbb{C}^k$ $\Delta = \{z \in \mathbb{C} \mid |z| \leq 1\}$
holomorphic, non-constant s.t.
 $u(\partial\Delta) \subset L.$

$$\begin{aligned} \Rightarrow 0 &< \int_{\Delta} u^* \omega = \int_{\Delta} u^*(dx) = \int_{\Delta} d(u^*x) \\ &= \int_{\partial\Delta} u^* \alpha \end{aligned}$$

If $\alpha|_{TL} = df$ for $f: L \rightarrow \mathbb{R}$ smooth

$$\int_{\partial\Delta} u^* \alpha = \int_{\partial\Delta} u^*(df) = \int_{\partial\Delta} d(f \circ u) = \int_{\partial\Delta} f \circ u = 0 \quad \square$$

Non-Squeezing

Theorem 110 (Gromov): Let $Z^{2n}(R) := B^2(R) \times \mathbb{R}^{2n-2} \subset \mathbb{R}^{2n}$ and $B^{2n}(r) \subset \mathbb{R}^{2n}$ be equipped with the standard symplectic structure. Assume there is an embedding

$$\varphi : B^{2n}(r) \subset Z^{2n}(R)$$

which is a symplectomorphism onto its image: $\varphi^* \omega_{st} = \omega_{st}$. Then $r \leq R$.

Non-Squeezing

Theorem 110 (Gromov): Let $Z^{2n}(R) := B^2(R) \times \mathbb{R}^{2n-2} \subset \mathbb{R}^{2n}$ and $B^{2n}(r) \subset \mathbb{R}^{2n}$ be equipped with the standard symplectic structure. Assume there is an embedding

$$\varphi : B^{2n}(r) \subset Z^{2n}(R)$$

which is a symplectomorphism onto its image: $\varphi^* \omega_{st} = \omega_{st}$. Then $r \leq R$.

Notice that there is a volume preserving ^{linear!} embedding (only obstruction is the volume). We conclude

Non-Squeezing

Theorem 110 (Gromov): Let $Z^{2n}(R) := B^2(R) \times \mathbb{R}^{2n-2} \subset \mathbb{R}^{2n}$ and $B^{2n}(r) \subset \mathbb{R}^{2n}$ be equipped with the standard symplectic structure. Assume there is an embedding

$$\varphi : B^{2n}(r) \subset Z^{2n}(R)$$

which is a symplectomorphism onto its image: $\varphi^* \omega_{st} = \omega_{st}$. Then $r \leq R$.

Notice that there is a volume preserving embedding (only obstruction is the volume). We conclude

Corollary: The group of symplectomorphisms, $\text{Symp}_c(\mathbb{R}^{2n})$, of \mathbb{R}^{2n} with compact support is not dense in C^0 -topology in the group of volume preserving diffeomorphisms with compact support.

Non-Squeezing

Theorem 110 (Gromov): Let $Z^{2n}(R) := B^2(R) \times \mathbb{R}^{2n-2} \subset \mathbb{R}^{2n}$ and $B^{2n}(r) \subset \mathbb{R}^{2n}$ be equipped with the standard symplectic structure. Assume there is an embedding

$$\varphi : B^{2n}(r) \subset Z^{2n}(R)$$

which is a symplectomorphism onto its image: $\varphi^* \omega_{st} = \omega_{st}$. Then $r \leq R$.

Notice that there is a volume preserving embedding (only obstruction is the volume). We conclude

Corollary: The group of symplectomorphisms, $\text{Symp}_c(\mathbb{R}^{2n})$, of \mathbb{R}^{2n} with compact support is not dense in C^0 -topology in the group of volume preserving diffeomorphisms with compact support.

Let $\varphi_0 : \mathbb{R}^{2n} \rightarrow \mathbb{R}^{2n}$ be a volume preserving diffeomorphism such that $\varphi_0(B^{2n}(2R)) \subset Z^{2n}(R)$. Then there is no sequence $(\varphi_k)_k \subset \text{Symp}_c(\mathbb{R}^{2n})$ such that $\varphi_k \rightarrow \varphi_0$ uniformly (in C^0). *linear volume preserving maps*

Non-Squeezing

$\forall \epsilon > 0$

If there was such sequence $\exists k_0 : \forall k \geq k_0 \left\| (\varphi_k - \varphi_0) \Big|_{B^{2n}(\frac{3R}{2})} \right\|_{C^0} < \epsilon$.

$\epsilon < \text{dist} \left(\varphi_0 \left(B^{2n} \left(\frac{3R}{2} \right) \right), \partial Z^{2n}(R) \right) \Rightarrow \varphi_k \left(B^{2n} \left(\frac{3R}{2} \right) \right) \subset Z^{2n}(R)$

Remark: Last statement indicates existence of ^{connected} symplectic topology:

Gromov's h-principle: M^{2n} closed, $\eta \in \Omega^2(M)$ non deg, $\alpha \in H^2_{\mathbb{R}}(M)$.

$n \geq 2$ s.t. $\alpha^n \in H^{2n}_{\mathbb{R}}(M)$ volume form

\exists symplectic structure $\omega \in \Omega^2(M \setminus \{p\})$ $p \in M$ arbitrary

$\bullet \omega \simeq \eta / (M \setminus \{p\})$

$\bullet [\omega] = \alpha / (M \setminus \{p\})$

academic discussion: If corollary was not true, one could extend ω to all of M ! Non-trivial fact. Most examples found later!

However: There are M which admit η & α as above but which there exists no symplectic structure!

Monotonicity

For the proof of Theorem 110 we will need the following

Proposition 111: Let $u : (\Sigma, j) \rightarrow \mathbb{C}^n$ be a holomorphic curve (in Algebra: "complex curve"), $u(p) = 0$ for $p \in \Sigma$ and $r > 0$ such that $u^{-1}(B^{2n}(r)) \subset \Sigma$ is compact. Then

Monotonicity

For the proof of Theorem 110 we will need the following

non-constant!

Proposition 111: Let $u : (\Sigma, j) \rightarrow \mathbb{C}^n$ be a holomorphic curve (in Algebra: "complex curve"), $u(p) = 0$ for $p \in \Sigma$ and $r > 0$ such that $u^{-1}(B^{2n}(r)) \subset \Sigma$ is compact. Then

$$\text{area}(u(\Sigma)) \geq \pi r^2.$$

Sketch of proof:

- $u|_{\Sigma}$ is somewhere injective.
- pick $r' > r$ s.t. $du \neq 0 \forall t \in u^{-1}(\partial B^{2n}(r'))$

set $\Sigma_{r'} := u^{-1}(\overline{B^{2n}(r')})$, Σ compact, $\partial \Sigma_{r'} \subset \partial B^{2n}(r')$

whlog. Σ connected.

$$\Rightarrow \text{area}(u(\Sigma_{r'})) = \int_{\Sigma_{r'}} u^* \omega_{st} = \int_{\partial \Sigma_{r'}} u^* \alpha$$

set $\alpha = \frac{1}{2} \sum (x_k dy_k - y_k dx_k)$ ($\Rightarrow d\alpha = \omega_{st}$)

$\alpha_0 \in \Omega^1(\mathbb{C}^n)$ $\alpha_0 = \pi^* \alpha$ $\gamma: \mathbb{C}^n \rightarrow \partial B^{2n}(r')$ $\pi(\gamma) = \frac{1}{\sqrt{2}} \cdot$

• we have $d\alpha_0(\cdot, \cdot)$ pos. semi definite.

$$\Rightarrow 0 \leq \int_{\Sigma_r \setminus \Sigma_s} n^* d\alpha_0 = \int_{\partial \Sigma_r} n^* \alpha_0 - \int_{\partial \Sigma_s} n^* \alpha_0$$

• $\exists x \in \mathcal{U}(\Sigma)$ close to 0 s.t. : • $n^{-1}(x)$ consist of $1p+1p'$
 • $d_{p'} u \neq 0$.

replace p by p' & n by $n - n(p')$.

Then! $\lim_{s \rightarrow 0} \int_{\partial \Sigma_s} n^* \alpha_0 = \int_{\partial \Delta} n_0^* \alpha_0 = \int_{\Delta} \underbrace{(\lambda_i)^2}_{\neq 0} \frac{d^2 \theta}{2} = \pi (r')^2 \geq \pi r^2$

$$n_0 : \Delta \rightarrow \mathbb{C}^n, n_0(z) = (\lambda_1 z_1, \dots, \lambda_k z_k), \quad \lambda_i = d_{p'} u \left(\begin{pmatrix} 1 \\ 0 \end{pmatrix} \right).$$

$$\Rightarrow \int_{\bar{\Sigma}} n^* \omega \geq \int_{\partial \Sigma_{r'}} n^* \alpha \geq \pi r'^2 \quad \square$$

Proof of Non-Squeezing

Embedd $\iota : (Z^{2n}(R), \omega_{st}) \hookrightarrow (S^2(R) \times \mathbb{R}^{2n-2}, \omega) =: (M, \omega)$ with $\omega = \pi_1^* \omega_R + \pi_2^* \omega_{st}$, where $\omega_R \in S^2$ area form with

$$\int_S \omega_R = \pi R^2.$$

Proof of Non-Squeezing

Embed $\iota : (Z^{2n}(R), \omega_{st}) \hookrightarrow (S^2(R) \times \mathbb{R}^{2n-2}, \omega) =: (M, \omega)$ with $\omega = \pi_1^* \omega_R + \pi_2^* \omega_{st}$, where $\omega_R \in S^2$ area form with

$$\int_S \omega_R = \pi R^2.$$

Sufficient to show that $\varphi : (B^{2n}(r), \omega_{st}) \hookrightarrow (M, \omega)$ implies $r \leq R$.

Remark: If $r - \varepsilon \leq R$ for any $\varepsilon > 0$ then follows.

Method of construction of ω -compatible J , allows to construct such J on M s.t.

$$J|_{\varphi(B^{2n}(r-\varepsilon))} = \varphi_* J_{st}$$

$$J|_{M \setminus \varphi(B^{2n}(r))} = J_{st}$$

Lemma: \exists J. hol. $u: S^2 \rightarrow M$ s.t.

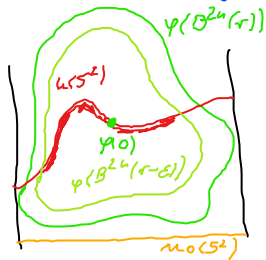
$$u(N) = \varphi(0).$$

$$u \simeq u_0: S^2 \rightarrow M$$

$$u_0(z) = (z, v)$$

$$\forall \epsilon > 0 \text{ s.t. } u_0(S^2) \subset M \cap \varphi(B^{2n}(r))$$

$$\Rightarrow u_0 \text{ J-hol.} \quad \& \quad \int_{S^2} u^* \omega = \int_{S^2} u_0^* \omega = \pi R^2.$$



Apply Prop 11.1 to

$$\varphi^{-1} u^{-1}(\varphi(B^{2n}(r-\epsilon)))$$

M

$$\begin{aligned} \Rightarrow \int_{S^2} u^* \omega &\geq \int_{S^2} u^* \omega & \varphi^* \omega = \omega \\ &= \int_{\varphi^{-1} \circ u} \varphi^* \omega & \stackrel{t}{=} \int_{\varphi^{-1} \circ u} \omega \\ &= \int_{\varphi^{-1}(\varphi(B^{2n}(r-\epsilon)))} u^{-1}(\varphi(B^{2n}(r-\epsilon))) & \\ &\geq \pi (r-\epsilon)^2 \Rightarrow R \geq r-\epsilon \quad \square \end{aligned}$$

