

Differential Geometry II

Holomorphic Curves

Klaus Mohnke

July 16, 2020

Almost Complex Structures

Definition 101: Let (M, ω) be a symplectic manifold.

(i) An almost complex structure is **compatible** to ω if $g(\cdot, \cdot) := \omega(\cdot, J\cdot)$ is a Riemannian structure on M .

Almost Complex Structures

Definition 101: Let (M, ω) be a symplectic manifold.

(i) An almost complex structure is **compatible** to ω if $g(\cdot, \cdot) := \omega(\cdot, J\cdot)$ is a Riemannian structure on M .

(ii) ω is **taming** J if $\omega(X, JX) \geq c\|X\|_g^2$ for all $X \in TM$, for a constant $c > 0$ and a Riemannian metric g with injectivity radius uniformly bounded away from zero and sectional curvature uniformly bounded from above.

Almost Complex Structures

Definition 101: Let (M, ω) be a symplectic manifold.

(i) An almost complex structure is **compatible** to ω if $g(\cdot, \cdot) := \omega(\cdot, J\cdot)$ is a Riemannian structure on M .

(ii) ω is **taming** J if $\omega(X, JX) \geq c\|X\|_g^2$ for all $X \in TM$, for a constant $c > 0$ and a Riemannian metric g with injectivity radius uniformly bounded away from zero and sectional curvature uniformly bounded from above.

Theorem 103: (i) Let (M, ω) be a symplectic manifold. The space of compatible almost complex structures

$$\mathcal{T}(M, \omega) := \{J \mid J \text{ almost complex structure compatible with } \omega\}$$

is a non-empty contractible space.

(ii) Assume that on a open subset U there is an almost complex structure tamed by ω such that $M \setminus U$ is compact, then there is an almost complex structure on M which is tamed by ω .

Almost Complex Structures

Proof 2: The closedness $d\omega = 0$ plays no role in the proof.

1) $(V, \omega) \dots$ symplectic vector space

$g \dots$ scalar product

$$\exists! A \in \mathcal{L}(V) : g(v, w) = \omega(v, Aw) \quad \forall v, w \in V$$

claim : $A^* = -A$

pf : $g(Av, w) = \omega(Av, Aw) = \omega(Aw, Av) = \omega(-Aw, v) = g(v, (-A)w) \quad \square$

$\Rightarrow (-A^2) \in \mathcal{L}(V)$ symmetric & pos. definit

$\Rightarrow \sqrt{-A^2} \in \mathcal{L}(V)$ symmetric & pos. def.

$$\boxed{J \quad \sqrt{-A^2}}$$

claim : (a) \tilde{g} defined via
(b) $J^2 = -\text{id}_V$.

$\tilde{g}(v, w) = \omega(v, Jw)$ is a scalar product

Almost Complex Structures

7p.

Chern Classes of ω

Remark: The existence of an almost complex structure provides an obstruction to the existence of a symplectic structure.

Chern Classes of ω

Remark: The existence of an almost complex structure provides an obstruction to the existence of a symplectic structure.

For example: An oriented closed 4-manifold admits an almost complex structure (inducing this orientation) if and only if there is an integer class $c \in H_{DR}^2(M)$ such that $\int u^*c$ for $u : \Sigma \rightarrow M$ is even if and only if u^*TM admits a spin structure (Σ a closed oriented surface) and

$$\int_M c^2 = 2\chi(M) + 3\sigma(M).$$

S^4 and $2k\mathbb{C}P^2 \# 2\ell\overline{\mathbb{C}P^2}$ do not admit almost complex structures for any orientation.

Chern Classes of ω

Remark: The existence of an almost complex structure provides an obstruction to the existence of a symplectic structure.

For example: An oriented closed 4-manifold admits an almost complex structure (inducing this orientation) if and only if there is an integer class $c \in H_{DR}^2(M)$ such that $\int u^*c$ for $u : \Sigma \rightarrow M$ is even if and only if u^*TM admits a spin structure (Σ a closed oriented surface) and

$$\int_M c^2 = 2\chi(M) + 3\sigma(M).$$

S^4 and $2k\mathbb{C}P^2 \# 2\ell\overline{\mathbb{C}P^2}$ do not admit almost complex structures for any orientation.

Definition 104: The **Chern classes** of a symplectic manifold (M, ω) are the Chern classes $c_k(TM, J)$ of an almost complex structure J which is compatible to ω .

Chern Classes of ω

Remark: The existence of an almost complex structure provides an obstruction to the existence of a symplectic structure.

For example: An oriented closed 4-manifold admits an almost complex structure (inducing this orientation) if and only if there is an integer class $c \in H_{DR}^2(M)$ such that $\int u^*c$ for $u : \Sigma \rightarrow M$ is even if and only if u^*TM admits a spin structure (Σ a closed oriented surface) and

$$\int_M c^2 = 2\chi(M) + 3\sigma(M).$$

S^4 and $2k\mathbb{C}P^2 \# 2\ell\overline{\mathbb{C}P^2}$ do not admit almost complex structures for any orientation.

Definition 104: The **Chern classes** of a symplectic manifold (M, ω) are the Chern classes $c_k(TM, J)$ of an almost complex structure J which is compatible to ω .

Remark: Since the space of such structures is connected, via the Chern-Weil construction we see that $c_k(M, \omega)$ is well-defined. i.e. does not depend on J .

Pseudoholomorphic Curves

Definition 105: A Riemann surface (Σ, j) is an oriented surface Σ equipped with an almost complex structure j .

Pseudoholomorphic Curves

Definition 105: A Riemann surface (Σ, j) is an oriented surface Σ equipped with an almost complex structure j .

Remark: We have seen that a Riemannian metric g defines such j (by counterclockwise rotation by $\pi/2$). j remains unchanged if g is replaced by $\lambda^2 g$, i.e. determined by the **conformal class** of g - and determining it.

Pseudoholomorphic Curves

Definition 105: A Riemann surface (Σ, j) is an oriented surface Σ equipped with an almost complex structure j .

Remark: We have seen that a Riemannian metric g defines such j (by counterclockwise rotation by $\pi/2$). j remains unchanged if g is replaced by $\lambda^2 g$, i.e. determined by the **conformal class** of g - and determining it.

j is also always integrable, thus given and determining a **complex structure** on Σ . (Σ, j) is thus called **complex curve**.

Pseudoholomorphic Curves

Definition 105: A Riemann surface (Σ, j) is an oriented surface Σ equipped with an almost complex structure j .

Remark: We have seen that a Riemannian metric g defines such j (by counterclockwise rotation by $\pi/2$). j remains unchanged if g is replaced by $\lambda^2 g$, i.e. determined by the **conformal class** of g - and determining it.

j is also always integrable, thus given and determining a **complex structure** on Σ . (Σ, j) is thus called **complex curve**.

Definition 106: Let (M, J) be an almost complex manifold. A **J -holomorphic** (or **pseudoholomorphic**) curve is a Riemann surface (Σ, j) together with a map $u : \Sigma \rightarrow M$ such that for all $z \in \Sigma$

$$d_z u \circ j_p z = J_{u(z)} \circ d_z u.$$

Pseudoholomorphic Curves

In complex coordinates, $z = x + iy$, this takes the form

$$\frac{\partial u}{\partial x}(z) + J(u(z)) \frac{\partial u}{\partial y}(z) = 0.$$

These are the **Cauchy-Riemann equations** (cp. with $M = \mathbb{C}$ and $J = i$).

Pseudoholomorphic Curves

In complex coordinates, $z = x + iy$, this takes the form

$$\frac{\partial u}{\partial x}(z) + J(u(z)) \frac{\partial u}{\partial y}(z) = 0.$$

These are the **Cauchy-Riemann equations** (cp. with $M = \mathbb{C}$ and $J = i$).

Proposition 107: Let h be a Hermitian metric on M , ω its Kähler form, $u : (\Sigma, j) \rightarrow (M, J)$ a J -holomorphic curve. Then $u^*\omega$ is compatible with the orientation of (Σ, j) wherever $d_z u \neq 0$.

Proof:

Exact Lagrangian Submanifolds

Let (M, ω) be a symplectic manifold with an exact symplectic form, i.e. $\omega = d\alpha$ for a one form $\alpha \in \Omega^1(M)$ (e.g. $(\mathbb{C}^n, \omega_{st})$). If $L \subset M$ is a Lagrangian submanifold, then $\alpha|_{\mathcal{T}L}$ defines a closed one form on L

Exact Lagrangian Submanifolds

Let (M, ω) be a symplectic manifold with an exact symplectic form, i.e. $\omega = d\alpha$ for a one form $\alpha \in \Omega^1(M)$ (e.g. $(\mathbb{C}^n, \omega_{st})$). If $L \subset M$ is a Lagrangian submanifold, then $\alpha|_{TL}$ defines a closed one form on L

Definition 108: The Lagrangian L is called **exact** if $\alpha|_{TL}$ is exact. i.e. there exists a smooth function $f : L \rightarrow \mathbb{R}$ such that $df = \alpha|_{TL}$.

Exact Lagrangian Submanifolds

Let (M, ω) be a symplectic manifold with an exact symplectic form, i.e. $\omega = d\alpha$ for a one form $\alpha \in \Omega^1(M)$ (e.g. $(\mathbb{C}^n, \omega_{st})$). If $L \subset M$ is a Lagrangian submanifold, then $\alpha|_{TL}$ defines a closed one form on L

Definition 108: The Lagrangian L is called **exact** if $\alpha|_{TL}$ is exact. i.e. there exists a smooth function $f : L \rightarrow \mathbb{R}$ such that $df = \alpha|_{TL}$.

Theorem 109 (Gromov): There exists no closed, exact Lagrangian submanifold in $(\mathbb{C}^n, \omega_{st})$.

Remark: This can be considered as a generalization of Jordan's Curve Theorem.

Exact Lagrangian Submanifolds

Proof:

Non-Squeezing

Theorem 110 (Gromov): Let $Z^{2n}(R) := B^2(R) \times \mathbb{R}^{2n-2} \subset \mathbb{R}^{2n}$ and $B^{2n}(r) \subset \mathbb{R}^{2n}$ be equipped with the standard symplectic structure. Assume there is an embedding

$$\varphi : B^{2n}(r) \subset Z^{2n}(R)$$

which is a symplectomorphism onto its image: $\varphi^* \omega_{st} = \omega_{st}$. Then $r \leq R$.

Non-Squeezing

Theorem 110 (Gromov): Let $Z^{2n}(R) := B^2(R) \times \mathbb{R}^{2n-2} \subset \mathbb{R}^{2n}$ and $B^{2n}(r) \subset \mathbb{R}^{2n}$ be equipped with the standard symplectic structure. Assume there is an embedding

$$\varphi : B^{2n}(r) \subset Z^{2n}(R)$$

which is a symplectomorphism onto its image: $\varphi^* \omega_{st} = \omega_{st}$. Then $r \leq R$.

Notice that there is a volume preserving embedding (only obstruction is the volume). We conclude

Non-Squeezing

Theorem 110 (Gromov): Let $Z^{2n}(R) := B^2(R) \times \mathbb{R}^{2n-2} \subset \mathbb{R}^{2n}$ and $B^{2n}(r) \subset \mathbb{R}^{2n}$ be equipped with the standard symplectic structure. Assume there is an embedding

$$\varphi : B^{2n}(r) \subset Z^{2n}(R)$$

which is a symplectomorphism onto its image: $\varphi^* \omega_{st} = \omega_{st}$. Then $r \leq R$.

Notice that there is a volume preserving embedding (only obstruction is the volume). We conclude

Corollary: The group of symplectomorphisms, $\text{Symp}_c(\mathbb{R}^{2n})$, of \mathbb{R}^{2n} with compact support is not dense in C^0 -topology in the group of volume preserving diffeomorphisms with compact support.

Non-Squeezing

Theorem 110 (Gromov): Let $Z^{2n}(R) := B^2(R) \times \mathbb{R}^{2n-2} \subset \mathbb{R}^{2n}$ and $B^{2n}(r) \subset \mathbb{R}^{2n}$ be equipped with the standard symplectic structure. Assume there is an embedding

$$\varphi : B^{2n}(r) \subset Z^{2n}(R)$$

which is a symplectomorphism onto its image: $\varphi^* \omega_{st} = \omega_{st}$. Then $r \leq R$.

Notice that there is a volume preserving embedding (only obstruction is the volume). We conclude

Corollary: The group of symplectomorphisms, $\text{Symp}_c(\mathbb{R}^{2n})$, of \mathbb{R}^{2n} with compact support is not dense in C^0 -topology in the group of volume preserving diffeomorphisms with compact support. Let $\varphi_0 : \mathbb{R}^{2n} \rightarrow \mathbb{R}^{2n}$ be a volume preserving diffeomorphism such that $\varphi_0(B^{2n}(2R)) \subset Z^{2n}(R)$. Then there is no sequence $(\varphi_k)_k \subset \text{Symp}_c(\mathbb{R}^{2n})$ such that $\varphi_k \rightarrow \varphi_0$ uniformly (in C^0).

Non-Squeezing

Monotonicity

For the proof of Theorem 110 we will need the following

Proposition 111: Let $u : (\Sigma, j) \rightarrow \mathbb{C}^n$ be a holomorphic curve (in Algebra: "complex curve"), $u(p) = 0$ for $p \in \Sigma$ and $r > 0$ such that $u^{-1}(B^{2n}(r)) \subset \Sigma$ is compact. Then

Monotonicity

For the proof of Theorem 110 we will need the following

Proposition 111: Let $u : (\Sigma, j) \rightarrow \mathbb{C}^n$ be a holomorphic curve (in Algebra: "complex curve"), $u(p) = 0$ for $p \in \Sigma$ and $r > 0$ such that $u^{-1}(B^{2n}(r)) \subset \Sigma$ is compact. Then

$$\text{area}(u(\Sigma)) \geq \pi r^2.$$

Proof of Non-Squeezing

Embedd $\iota : (Z^{2n}(R), \omega_{st}) \hookrightarrow (S^2(R) \times \mathbb{R}^{2n-2}, \omega) =: (M, \omega)$ with $\omega = \pi_1^* \omega_R + \pi_2^* \omega_{st}$, where $\omega_R \in S^2$ area form with

$$\int_S \omega_R = \pi R^2.$$

Proof of Non-Squeezing

Embedd $\iota : (Z^{2n}(R), \omega_{st}) \hookrightarrow (S^2(R) \times \mathbb{R}^{2n-2}, \omega) =: (M, \omega)$ with $\omega = \pi_1^* \omega_R + \pi_2^* \omega_{st}$, where $\omega_R \in S^2$ area form with

$$\int_S \omega_R = \pi R^2.$$

Sufficient to show that $\varphi : (B^{2n}(r), \omega_{st}) \hookrightarrow (M, \omega)$ implies $r \leq R$.

